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1 Management of the eel is slipping through our hands!

2 Distribute control and orchestrate national protection.

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6 Abstract

7 Following a multi-decadal decline of the European eel stock all across the continent, the EU adopted a
8 protection and recovery plan in 2007, known as the Eel Regulation. Implementation, however, has come to a
9 standstill: in 2015, the agreed goals had not been realised, the required protection had not been achieved, and
10 from 2012 to 2015, no further reduction in mortality has been accomplished – while the stock is at a historical
11 minimum. To analyse this manifest impasse, this article characterises the steering framework of the Eel
12 Regulation as a governance problem. The Eel Problem is found to be extremely complex, due to many
13 knowledge uncertainties and countless societal forces having an influence. The Eel Regulation divides this
14 complexity along geographical lines, obliging national governments to implement national protection plans.
15 This deliberate distribution of control has improved communication between countrymen-stakeholders, and has
16 stimulated protective action in most EU Member States and elsewhere. In the absence of adequate international
17 coordination and feedback on national plans, however, coherence is lacking and the common goals are not met.
18 Actions and achievements have been assessed at the national level, but these assessments have not been
19 evaluated internationally. Full geographical coverage has not been attained, nor is that plausible in future.
20 Meanwhile, ICES' advice remained focused on whole-stock management, a conservative approach not
21 matching the structure of the Eel Problem or the approach of the Eel Regulation. Hence, essentially localised
22 problems (non-reporting, insufficient action) now lead to a hard fail, paralysing the whole European eel
23 recovery plan. Here I argue that immediate re-focusing protective actions, assessments, evaluations and advice
24 on mortality goals and indicators, for each management area individually, will enable feedback on national
25 protection plans, and in that way, will break the impasse.

26 **Key words:** European eel, *Anguilla*, protection, governance, impasse, uncertainty, hard fail, distributed control,
27 feedback, mortality limits.

28 Introduction

29 The stock of the European eel *Anguilla anguilla* (L.) is at a historical minimum. In 2007, the EU adopted a
30 European recovery plan (Anonymous, 2007a), but recent post-evaluation indicates that implementation has
31 come to a stand-still (ICES, 2016). This article analyses the background of this stagnation, discusses the steering
32 framework of the recovery plan and the role of scientific advice, and suggests improvements.

33 Since the mid-1900s, fishing yield of eel has diminished to below 10 % of the quantity caught before, and
34 over the last three decades, recruitment of glass eel has rapidly fallen to 1-10 % of the 1960-1970s level
35 (Dekker, 2004; ICES, 2016). In 2007, the European Union adopted a protection and recovery plan for the eel
36 (Anonymous, 2007a). This so-called ‘Eel Regulation’ instructed EU Member States to develop national Eel
37 Management Plans by 2009, aiming at a common objective: to reduce anthropogenic mortality in order to
38 restore a spawner run of at least 40 % of the notional pristine run. Accordingly, national management plans
39 have been developed, protective actions have been implemented and more information on the status of the stock
40 has been compiled in nineteen EU countries.

41 Since the adoption of the Eel Regulation, the absence of reliable catch and effort data for the stock as a
42 whole has made ICES invariably advise on precautionary grounds – to reduce all anthropogenic mortality to a
43 minimum (ICES, 2007, 2015a). ICES has not evaluated the Eel Regulation.

44 National post-evaluations in 2012 have shown that most countries by far did not reach the objectives
45 specified in the Eel Regulation and – noting the high average anthropogenic mortality reported – these
46 objectives are very unlikely to be approached in future (ICES, 2013a). Post-evaluation in 2015 recently
47 indicated that hardly any improvement in the status of the stock has been achieved, and that – on average –
48 mortality has not been reduced any further since 2012 (ICES, 2016). That is: implementation of the European
49 recovery plan has essentially come to a standstill, while the required protection has not been achieved.

50 In this article, I will argue that the international scientific advice plays a key role in this impasse. The
51 conservative advice, focused on whole-stock management, does not lead to effective management of a stock as
52 unconventional as the eel. Analysing sustainable management of the eel as a steering problem, the setup of the
53 Eel Regulation is evaluated as a viable model. But without scientific advice providing feedback on its operation,
54 it will fail hard.

55 In the following, I will present a brief description of the eel, its fisheries and other anthropogenic impacts
56 (the system to be controlled), and discuss the ways the eel has been managed in the past and since the adoption
57 of the Eel Regulation (the controlling system). Subsequently, I will analyse eel management as a complex
58 governance problem and the Eel Regulation as a simple cybernetics system, identifying bottlenecks and

59 breakdowns in current eel management. Finally, suggestions will be given, to slip out of the impasse and to get
60 better grip on the eel's recovery.

61 Eel, fisheries and other impacts

62 The European eel occurs in habitats as diverse as the open ocean, high seas and sheltered coasts, large lakes
63 and small ponds, main rivers and smallest streams. Continental habitat-units are typically less than 10 km² in
64 size (Dekker, 2000). Yet the eel constitutes the most widely distributed single fish stock in Europe, spread all
65 over the continent and the Mediterranean (Europe, northern Africa and Mediterranean parts of Asia; Dekker,
66 2003a). Natural reproduction has never been observed in the wild. The occurrence of the smallest larvae in the
67 Sargasso Sea indicates the most likely location of the spawning place (Schmidt, 1922). Noting the remarkably
68 low genetic variation observed in eels from continental waters, the whole stock is considered to constitute a
69 single panmictic population (Palm *et al.*, 2009). However, it is not known which part (or all) of the continental
70 distribution actually contributes to the oceanic spawning stock. Spent eel has not been observed returning to
71 the continent; they are supposed to die in the Sargasso Sea, spawning only once in their lifetime (semelparity).

72 In almost the whole distribution area, commercial eel fishing provides an essential income to small-scaled
73 inland fisheries (Moriarty and Dekker, 1997; Dekker, 2003a; Dekker & Beaulaton, 2016a). The targeted life
74 stage varies by region. Glass eel, recruiting from the ocean towards the continent, is exploited in the countries
75 around the Bay of Biscay. Silver eel, returning to the ocean after 3-30 years on their spawning migration, is
76 fished throughout the distribution area, and dominates in areas of low abundance, especially in the north. The
77 growing stages in-between, the yellow eel, is exploited throughout the distribution area, though less in areas of
78 low abundance. Recreational fishing for eel is wide-spread, but rarely documented (e.g. Dorow, 2014; van der
79 Hammen *et al.*, 2015).

80 In addition to these fisheries, many other anthropogenic activities have an impact on the stock, including
81 land reclamation, water management, water pollution, hydropower generation, and many more. Their impacts
82 vary from country to country, as well as from habitat to habitat type. Recent assessments (ICES, 2016) indicate
83 that fishing and non-fishing mortalities often have a comparable impact.

84 Over the decades, fishing yield has gradually diminished by approx. 5 % per year to below 10 % of the
85 quantity caught half a century ago (Dekker, 2003b; ICES, 2016; **Figure 1**), and there are unquantifiable
86 indications of a substantial decline before (Dekker & Beaulaton, 2016a). Since 1980, recruitment of glass eel
87 has rapidly fallen by approx. 15 % per year to 1-10 % of the 1960-1970s level (Dekker, 2000; ICES, 2016;
88 **Figure 2**). Since 2010, however, recruitment indices have generally turned upwards, though not in 2015.

89 From 2011 to 2014, the average reported survival from anthropogenic mortality decreased from 14 % (in
90 comparison to a situation without any anthropogenic mortality) to 11 %, while the estimated spawner
91 escapement went slightly up from 8 % to 10 % of the pristine escapement (ICES, 2016). That is far below the
92 objective of the Eel Regulation of 40 % escapement, while a survival from anthropogenic mortality below 40 %
93 is not likely to enable approaching that objective (Dekker, 2010).

94 The long-lasting downward trends in stock and fishing yield have been noted through times, all across
95 Europe (Italy: Bellini, 1899; France: Anonymous, 1865; Germany: Walter, 1910; Sweden: Puke, 1955;
96 European: EIFAC, 1968; Dekker, 2003b). Since the mid-1800s, attention of managers and scientists focused
97 on optimistic compensation measures, including artificial reproduction and restocking, but these measures have
98 failed to sustain the stock (Dekker and Beaulaton, 2016a). Artificial reproduction has not been achieved.
99 Instead, young recruits are taken from the wild and raised in (indoor) culture facilities, a practice known as
100 aquaculture. Aquaculture made a slow start in the 1960s, and since 1995, its production exceeds the fishing
101 yield in the wild (Figure 1).

102

103 The existing management system

104 Traditionally, eel fisheries throughout Europe have been managed as freshwater fisheries, on a very local
105 geographical scale. Objectives were often unspecified, and governmental actions predominantly focused on
106 local conflict resolution, among fishers or between fishers and non-fishing stakeholders involved in water
107 management, hydropower generation or many land uses (Dekker, 2008). In the late 1800s, technical
108 developments (glass eel restocking, eel-ladders, gears, hot-smoking, long-distance trade, etcetera) led to a rapid
109 exchange of expertise all over the continent, but not to coordinated action. It was only in 1925, that German
110 glass eel imports from England to Hamburg for restocking were shared with neighbouring countries – but that
111 cooperation ended in World War II, and did not resume afterwards (Dekker and Beaulaton, 2016b).

112 Deelder (1970) summarised existing protection and management, without even considering management
113 of the whole stock. Local management actions were strictly aimed at improving the income of fishers. Actions
114 included minimum legal sizes, closed seasons, restocking, restricted licensing, gear restrictions, and more.
115 **Figure 3** presents an example of how complex national legislation often could be, and in many cases still is.

116 The majority of eel fisheries are small-scaled and scattered over rural areas. Larger concentrations (e.g.
117 Comacchio, Lough Neagh, and L. IJsselmeer) are rare, and jointly, these exploit only a few percent of the total
118 stock (Dekker, 2000). More often, fisheries, and its interactions with non-fishing stakeholders, occur in very

119 local settings with little governmental involvement. Consequently, the boundaries between documented and
120 undocumented, commercial and non-commercial fisheries, recreational catch and poaching can be extremely
121 vague (ICES, 2016).

122 For the interactions with non-fishing stakeholders, there is ample evidence of early (e.g. water
123 management), frequent (e.g. agricultural pollution), wide-spread (e.g. migration barriers) and overwhelming
124 (e.g. industrial spills) impacts on local eel stocks. Commonly, eel fishing ranked below the interest of competing
125 stakeholders (e.g. hydropower generation). Impacts thus being accepted, sometimes mitigated (e.g. elver
126 ladders) or compensated (e.g. restocking) – but rarely fully remedied – detrimental effects on local eel stocks
127 ordinarily persisted. In most cases, governments initiated mitigation and compensation programmes, often
128 funding and controlling implementation themselves.

129 Ultimately, the decline of the stock over the whole continent led to a call for international action (EIFAC,
130 1968; Dekker *et al.*, 1993; Sjöstrand & Sparholt, 1996; Dekker, 2003c; Dekker *et al.*, 2003; Dekker and
131 Casselman, 2014). Since the early 1970s, the European Inland Fisheries Advisory Commission (EIFAC, 1971)
132 and the International Council for the Exploration of the Sea (ICES, 1976) organised a standing Eel Working
133 Group, to document the status of the stock and to investigate potential mitigation measures. Although this group
134 eventually discussed the need for continent-wide protection in the 1990s, its recommendations primarily
135 focused on national or even localised protective measures.

136 The state of Monaco (1996) was the first to propose continent-wide coordinated action, under the Bern
137 Convention – but when others questioned the need for action, Monaco disappointedly withdrew its proposal.
138 Meanwhile, the European Commission had asked (Cavaco, 1997) and received scientific advice (ICES, 1999)
139 on the alarming state of the stock. Following a period of stakeholder consultation and deliberations, the
140 Commission proposed establishing detailed targets for eel abundance in each life stage, across all rivers in
141 Europe (Anonymous, 2003). Existing knowledge, however, was considered insufficient to develop such a
142 system. Emergency measures were investigated, but equitable and effective measures were hard to find.
143 Ultimately, a fortnightly closure of all fisheries throughout Europe was proposed (Anonymous, 2003).

144 Subsequently, Dekker (2004, 2009) questioned the need for a detailed international control over all rivers
145 and lakes. Local eel stocks in different catchments interact only through the oceanic life stages. Hence,
146 international interventions in national management practices need only concern the inputs (glass eel) and
147 outputs (silver eel) of national systems, not their internal state and local means and consequences. Setting a
148 shared target for silver eel outputs at the international level, taking into account (past and present) glass eel
149 inputs, could suffice to protect the oceanic stock - while the means to achieve those targets in each particular

150 river could be managed under national responsibility. Though somewhat naively expressed in common words,
151 Dekker (2004, 2009) essentially proposed a system of distributed control (Trentesaux, 2009), under the
152 supervision of international orchestration and coordination. Following this proposal, the European Union
153 adopted a stock recovery plan, the Eel Regulation (Anonymous, 2007a), in which common objectives, uniform
154 reference points and an international evaluation process were specified, while design and implementation of
155 protective actions and monitoring were delegated to the Member States. Accordingly, Member States developed
156 national Eel Management, either for their whole territory or for specific areas, so-called Eel Management Units
157 (often in accordance with the Water Framework Directive river basin districts; Figure 4).

158 In complement to the Eel Regulation, a proposal to list the European eel on Appendix II of the CITES
159 convention was prepared (Anonymous, 2007b), which was adopted on the same day as the Regulation and
160 came into effect in spring 2009. Since the end of 2010, trade of European eel to or from the EU has been
161 prohibited; internal trade is not affected.

162

163 Eel management as a steering problem

164 In past decades, radically different steering frameworks for management of the European eel stock and fisheries
165 have been attempted: uncoordinated local action (traditional); uniform actions throughout Europe (initial
166 discussions in EU); and a hierarchical system of distributed control (the Eel Regulation). The first has failed;
167 the second was considered unworkable; and the third is now sliding into an impasse. In order to analyse this
168 sombre track-record, I will apply a typology of steering strategies developed by Voß *et al.* (2007). Obviously,
169 this typology is not set in stone, but the line of reasoning on which it is built might shed some light on the issues
170 involved in the current impasse. The typology of Voß *et al.* characterises steering problems in three dimensions:
171 the ambivalence of goals, the distribution of power, and the uncertainty in knowledge (Table 1). First, I discuss
172 each of these dimensions for eel; then I type-cast the eel in this typology, and type-cast the steering model of
173 the Eel Regulation.

174

175 Ambivalence of goals

176 Historical sources rarely identify the goals of management actions, but their actions and expectations often
177 allow us to deduce implicit objectives (Dekker & Beaulaton, 2016a, b). Before the mid-1800s, fishers have
178 been exploiting local eel stocks, and conflict resolution between them has been the prime goal of governmental
179 interventions. Other fisheries (e.g. on salmon: Anonymous, 1958; on crayfish: Svårdson, 1972) experienced the

180 eel as an unwanted competitor or a voracious predator, leading to further conflicts between fishers.
181 Additionally, commercial and recreational fishers often had conflicting interests.

182 In the late-1800s, non-fishing impacts had seriously deteriorated the habitats, and actions were initiated in
183 many countries to expand or recover local eel fisheries. Though stated objectives and actions were clearly and
184 unanimously aiming to support the fisheries, a clash of interests with non-fishing stakeholders (water managers
185 and many land-based actors) was the ultimate reason to act. At best, those non-fishing stakeholders intended to
186 minimise their (compensation costs for) collateral damage to the eel stock, but otherwise, they had no objectives
187 on eel by themselves.

188 It was only in the late 1990s, after the crash in glass eel recruitment had begun, that focus gradually shifted
189 towards protection and recovery of the depleted stock. Those objectives now dominate the discussions, though
190 support for the waning fisheries is also pursued. The Eel Regulation formulates its aims as “protection and
191 sustainable use”, but societal discussion remains whether the state of the stock currently allows any exploitation
192 or not (e.g. Seeberg *et al.*, 2015).

193 The international discussion on protection and recovery has been initiated by scientists, and the Eel
194 Regulation was compiled and debated primarily in discussions with and among national governments.
195 Consulted stakeholders (anglers, conservationists, water managers, hydropower industry and most fishers)
196 participated in that process only marginally (Dekker, 2008). Hence, it is rather doubtful to what degree opposing
197 forces have really united on the common goals – though few parties nowadays doubt the depleted state of the
198 stock, or doubt the need for protection.

199 In conclusion: there is a recent unification on protection and recovery as a minimal precondition for all
200 anthropogenic impacts on the stock.

201

202 Distribution of power

203 “Who is in charge here? [...] In modern political life, the power to influence outcomes of societal processes is
204 shared across society” (Meadowcroft, 2007), and fisheries management is no exception to that. Amongst other
205 fisheries, however, management of the eel appears to be one of the most complex cases, due to the extreme
206 number of parties involved. First, like any other inland fishery, the small size of typical habitats amidst many
207 other human activities results in frequent interaction with many other (land-based) stakeholders. Additionally,
208 there are multiple fishing stakeholders (commercial and non-commercial fisheries, recreation and poaching).
209 Secondly, the vertical layering of political jurisdictions involved in eel management may concern local fishers,
210 water owners, municipalities and provincial authorities, national and international governments – each of them

211 often represented by different functional divisions. Finally, the sheer scattering of the stock over all of Europe
212 and the Mediterranean means that each of the powers described in the previous sentences occurs in an endlessly
213 replicated form, with endless small variations (Dekker, 2000).

214 The historical decline of the stock indicates that uncoordinated actions by local managers alone could not
215 sustain the stock. Following the total ban on eel exports from Europe in 2010, evidence on substantial illegal
216 exports of glass eel out of Europe (Shiraishi and Crook, 2015) illustrates the limits of centralised powers. In
217 conclusion: to recover the depleted eel stock, cooperation from an extremely numerous and diverse group of
218 entities, high and low, big and small, is required.

219

220 Uncertainty in knowledge

221 Effective steering requires knowledge of the system state, its dynamics, and a realistic view on available
222 options. Below, I will discuss the uncertainties in each of these.

223 System state

224 Though it has taken decades to figure out the continental scale of the locally observed downward trends
225 (Dekker, 2004; Dekker and Beaulaton, 2016a), the current depleted state of the whole stock is now well
226 recognised (Jacoby and Gollock, 2014). In on-going debates, some still deny or question the facts, but with
227 diminishing impacts on the discussions.

228 The stock is scattered over a myriad of small habitats all over Europe and the Mediterranean. Compilation
229 of stock-wide statistics (e.g. recruitment, abundance, landings, etc.) is hampered by the absence of information
230 from many areas, and incomparable statistics from many others (ICES, 2016). Local monitoring, on the other
231 hand, is easily adapted to local information needs, but these rarely match the stock-wide information needs.
232 Though coordination and standardisation can undoubtedly improve, it is unlikely that local monitoring agencies
233 address the stock-wide requirements adequately, or that a stock-wide assessment can cope with all locally
234 relevant details. Bounded rationality - of the local monitors, and of the international compilers - restricts our
235 view on the status of the stock at a far from “near-optimal” level (Simon 1955).

236 Both the scientific advice on reference points (ICES, 2002) and the objective of the Eel Regulation refer to
237 a percentage of pristine spawner production. Since the estimation of pristine production is far from
238 straightforward (including or excluding habitats lost, restocking, human-induced eutrophication, increased
239 abundance of cormorants, etcetera) and often highly speculative, the reference to a percentage of an unknown,
240 notional quantity incorporates a high degree of uncertainty in the perception of the current state of the stock.

241 System dynamics

242 For the development of national management plans, all Member States constructed some model to quantify
243 their stocks and to assess the effect of their protective actions (ICES, 2013b). Implicitly, this presupposed that
244 local stock dynamics were well understood and quantifiable – even complex processes such as potential density-
245 dependence of growth, mortality and sex-determination. Noting the on-going scientific debates about, among
246 others, carrying capacity and about natural mortality, national assessments in general had a rather optimistic
247 view. In particular, the slow but persistent decline of the continental stock in the decades before the onset of
248 the recruitment failure is rarely addressed (Dekker, 2004; Dekker and Beaulaton 2016a) and not understood.

249 Since 1980, glass eel recruitment across Europe has shown a downward trend (Figure 2), which persisted
250 until 2010. For the causes, it has been hypothesised that either spawner escapement from the continent might
251 have been restricting the production of progeny (Dekker, 2003b), or spawner quality (ICES, 2015b), or oceanic
252 survival and productivity (reviewed by Miller *et al.*, 2009). The rather abrupt onset (in 1980) and prolonged
253 duration of the decline (an almost constant rate of decline of 15% per year over three decades) remains largely
254 unexplained, though Dekker (2004) speculated on a depensatory stock-recruitment relation. In the absence of
255 conclusive evidence to either side, ICES recurred to precautionary advice: to reduce anthropogenic mortalities
256 in order to restore spawner escapement, provisionally aiming at 30-50 % of the pristine escapement (ICES,
257 2002). Whether an increase in spawner escapement will indeed restore recruitment remains to be seen.

258 Predictability and uncertainty

259 Glass eel recruitment is currently at 1-10 % of its abundance before 1980. Hence, even if all anthropogenic
260 mortalities would be reduced to zero immediately, it is unlikely that spawner production can restore to the level
261 aimed for by the Eel Regulation (40 %) within one generation. In fact, a speculative assessment of the full life
262 cycle dynamics indicates, that at least four generations might be required, and much longer so if mortality
263 cannot be zeroed completely (Åström and Dekker, 2007). Planning protective actions with effects a full
264 generation time ahead (3-30 years) involves a high degree of uncertainty, and the stronger so for multi-
265 generational effects. The reproductive process in the ocean undoubtedly involves spawners derived from much
266 more than a single Eel Management Unit in continental waters. Multi-generational effects in individual Eel
267 Management Units depend strongly on future recruitment, which in turn depends on (future) spawner
268 abundance, and thus on protective actions in other Eel Management Units. Because of this interdependence
269 between management units, a goal formulated in terms of (future) spawner biomass is fully unpredictable for
270 the individual management unit, until it has been nearly met.

271 Several Member States decided in their national management plans to intensify research on topics such as
272 artificial reproduction, restocking, eel ladders, screening of migration barriers, and more. The effect of some of
273 their protective measures relies on the success of that research to solve the knowledge problems and some
274 measures were postponed until such was achieved. Noting that some of these research lines have been pursued
275 for over a century, and all of them for many decades, without solving the underlying problems, the expected
276 success-rate of this approach appears to be less than optimal (Dekker and Beaulaton, 2016a).

277 Summarising the above discussion of the system state, its dynamics and predictability, a number of crucial
278 uncertainties has been identified. These fall into two distinct groups: short-term local problems (local stock
279 dynamics) versus long-term global issues (dynamics of reproductive phase, multi-generational effects, spatial
280 coverage and intensified research).

281

282 Type-casting the Eel Problem

283 In the 1800s and 1900s, eel fisheries developed in many countries in parallel: sharing the aim to develop
284 (restore) national fisheries, uncoordinated actions were taken across the stock, with a high level of uncertainty
285 (though the latter was not foreseen in the mid-1800s). In the typology of Voß *et al.* (2007; Table 1), the poor
286 understanding of the dynamics of the stock, and the divergent objectives of fishing and non-fishing stakeholders
287 definitely classify those developments as Awkward Drifting. Contemporary people involved in eel
288 management, however, usually focused exclusively on the development of the fisheries (a shared objective)
289 while ignoring the other impacts. Additionally, one had an over-optimistic view on the effectiveness of the
290 mitigation measures (perceived understanding of system dynamics, ignorance of the deteriorating system state.
291 Dekker & Beaulaton 2016a). Hence, the development of the eel fisheries was historically perceived as
292 Collective Action, all over Europe. In as far as the poor understanding of eel biology was faced - in particular
293 considering the unknown reproduction (“the Eel Problem”) - the hope to, one day, find the spawning places
294 and to achieve artificial reproduction remained – a Utopian deadlock, that persists until today (Dekker &
295 Beaulaton 2016a). An extremely prolonged decline in fishing yields; recruitment crashing after 1980; a
296 continued poor understanding of eel biology; fishers uninvolved, often in denial; ignorance from non-fishing
297 stakeholders and governments; and scientists alarming for years – Awkward Drifting it was.

298 Following the adoption of the Eel Regulation in 2007, there is now unanimity on the need to protect and
299 recover the stock – though the unanimity concerns the objectives, not the means. Restocking and fishing
300 restrictions are the main tools of the Eel Regulation to achieve a rapid recovery, and both are considered
301 controversial (e.g.: Westin, 2003 versus Brämick *et al.*, 2016 on restocking; Seeberg *et al.*, 2015 versus sources

302 quoted in van Herten and Runhaar, 2013, on fishing). Addressing the resulting Utopian deadlock, some
303 (national management plans, fishing stakeholders) promote intensifying research (reducing uncertainties to
304 achieve Collective Action), while others (conservationists) call upon the central force (the EU Commissioner)
305 to accrue more power and close all fisheries (act as a Blind Goliath, setting forceful but untested measures).
306 Noting on the one side the unpredictable outcome of research, and on the other side the many non-fishing
307 impacts and the limited central power, neither of these advocacies will constitute a secure tactic to break the
308 Utopian deadlock. Actually, the disagreement on the means appears to drown the unity on the objectives in
309 ongoing discussions, leading to a relapse to Awkward Drifting.

310

311 Type-casting the Eel Regulation

312 The current impasse in the implementation of the Eel Regulation signals a continuation of the historical
313 Awkward Drifting. Is that due to “bungling craft and lacking will” (Voß *et al.*, 2007), or is there a more
314 fundamental shortcoming in the steering framework of the Eel Regulation? To examine this, I will analyse the
315 Eel Regulation as a supervised system of distributed control, successively type-casting the dispersed
316 management units, the central supervision and their interrelations. Alternative steering systems will be
317 contrasted in the Discussion.

318 National management plans

319 In accordance with the Eel Regulation, nineteen EU Member States have developed and implemented
320 national Eel Management Plans (Anonymous, 2014), for 89 Eel Management Units in total. In 2012, estimates
321 of biomass of the silver eel run were reported for 56 areas, and independent estimates of anthropogenic mortality
322 for 39; in 2015, 80 areas reported on biomass, and 31 provided independent estimates of mortality (ICES, 2016).
323 This indicates that the majority of areas considered their understanding of local stock dynamics to be sufficient
324 to develop an assessment, although these assessments have not been evaluated independently.

325 The level of stakeholder involvement has varied from country to country – but to my knowledge, no
326 international overview of the societal discussions on Eel Management Plans has been compiled. Though fierce
327 discussions between opposing stakeholders occurred and still occur in many countries, nowhere have conflicts
328 completely blocked the development and implementation of national management plans.

329 According to the Eel Regulation, the objective for all national management plans shall be “to reduce
330 anthropogenic mortalities so as to permit [...] the escapement [...] of at least 40 % of the silver eel biomass
331 [relative to the notional pristine biomass]”. Though this objective is first and foremost centred on a reduction
332 in mortality, most national Eel Management Plans have expressed their goals in terms of biomass (or numbers),

333 and have focused their post-evaluation on biomass indicators. Those Eel Management Plans generally note well
334 that achieving the biomass goals from the current poor recruitment is beyond their own control (e.g. Brämick
335 *et al.*, 2016). Apart from this inability to control, the choice for out-of-reach biomass goals has led to pointless
336 discussions on their quantification (e.g. Eijsackers *et al.*, 2009) and increased tension between opposing
337 stakeholders (e.g. van Herten and Runhaar, 2013). Refocusing future post-evaluations on mortality indicators,
338 on actually achievable protection levels, will refocus the discussion on controllable aspects, can reduce
339 uncertainty in the evaluations, and reduce conflicts between opposing stakeholders.

340 In theory, the development of national Eel Management Plans could classify as a case of successful
341 Collective Action: agreement on the objectives to protect and restore national stocks; no major obstacles due
342 to misunderstanding the system state and dynamics (or existing ones can be solved by refocusing on mortality
343 goals and indicators); and cooperative involvement of all EU Member States and stakeholders. In reality, the
344 recent post-evaluation evidences that current national control is ineffective, revealing the incapacity of many
345 governments to achieve their objectives on eel protection on their own (ICES, 2016). While each national Eel
346 Management Plan strives for a Utopian recovery, the Awkward Drifting effectively continues.

347 International coordination

348 Distributed control systems can range from fully supervised, strongly hierarchical systems to unsupervised,
349 heterarchical systems (Trentesaux, 2009). Until recently, the eel was managed by a fully unsupervised
350 management, on local objectives only – but the historical stock decline has evidenced the failure of this
351 approach. At the opposite end, authoritarian centralisation has been advocated recently (e.g. Svedäng and
352 Gipperth, 2012; Seeberg *et al.*, 2015), but this approach has never been applied for eel before. Though
353 authoritarian centralisation might be feasible, introducing such a radical overhaul of the management system,
354 now, would bring about many avoidable risks in a time of crisis. Therefore, I will approach the problem here
355 from the reverse side, in a conservative and risk-averse approach: identifying the minimum functionalities of
356 the supervisor, i.e. those functionalities that are not or cannot be covered by the dispersed management units.
357 Three aspects will be discussed: cooperation among management areas (including their communication),
358 coherence of their actions, and control-uncertainty (Decker, 1987).

359 Horizontal cooperation and communication among areas on eel management have never occurred in history
360 (with the exception of the German restocking supply to other countries in the 1920s and 1930s). Rivalry or
361 local conflicts between countries dominated discussions (Dekker, 2008, 2009). Since the adoption of the Eel
362 Regulation, however, there is general agreement on the objectives to protect and restore, and national action is
363 taken by countries in parallel. Nonetheless, horizontal communication and cooperation between countries are

364 still uncommon, and these are exclusively focused on shared waterbodies. To establish adequate
365 communication and cooperation for the whole stock, supervisory orchestration is required.

366 In the years following the adoption of the Eel Regulation, a standardised reporting system for national stock
367 indicators has been developed, that allows for mutual comparison, international integration and evaluation
368 against the targets, at a minimum of communication costs – the so-called 3B& Σ A indicator system (Dekker,
369 2010; ICES, 2010; ICES, 2016). This reporting system is focused on the quantification of the silver eel run
370 (Biomass of the current run, Biomass of the potential run without anthropogenic impacts, and Biomass of the
371 notional pristine run; the 3 B's) and their relation to the incoming recruitment, i.e. the lifetime (' Σ ')
372 Anthropogenic mortality Σ A. This exceptional assessment framework is adapted to the peculiarities of the eel.
373 For any semelparous species, the spawning stock size is directly related to the lifetime mortality, more than to
374 conventional annual mortalities. For eel, both once-in-a-lifetime as well as continuously impacting
375 anthropogenic mortalities occur. Since average lifetimes may vary from 3-30 years, depending on the location,
376 these different mortalities are difficult to compare when expressed on a per annum basis. Hence, the choice for
377 a lifetime mortality approach, relating the silver eel output directly to the glass eel input from which it
378 originated.

379 Though not quite all countries provided estimates of the 3B& Σ A indicators, the vertical communication
380 between the national authorities and the international level, as well as the international integration based on
381 these indicators were effective: the achievements by area were assessed and problems (non-reporting or under-
382 achievements) identified (ICES, 2016; Figure 4, Figure 5). However, that information has not been used in
383 providing management advice (ICES, 2015a), and so far no supervisor feedback on the achievements of
384 national management plans has been given (Anonymous, 2014). That is: the upward communication of
385 assessment results (sensory information) has been achieved, but the downward communication providing
386 feedback on achievements (actuator signals) has not. Without two-way communication, the supervisory
387 feedback system is doomed to fail.

388 Since the adoption of the Eel Regulation, nineteen EU Member States have implemented protective actions.
389 All those protective actions will have benefitted the recovery of the eel stock to some degree and at some time
390 – no countries have reported antagonistic behaviour. Though global coherence has thus been achieved in
391 principle, major differences exist between countries, in the degree to which their goals have been achieved. The
392 estimates of the silver eel run reported by different countries for 2014 (ICES, 2016) range from 1 % to 55 % of
393 the pristine biomass; net survival from anthropogenic mortalities ranges from 2.5 % to 96 % (in comparison to
394 a situation without any anthropogenic mortality). While some countries transcended, others by far did not even

395 reach the common goal. That is: no full coherence has been achieved, and gains accomplished in some countries
396 have been annihilated by the underachievement in others. To improve coherence, the international supervision
397 will need strengthening, providing feedback to countries on their individual achievements.

398 Uncertainty in the control-information is a major issue. It has been the reason for ICES to recur to default
399 precautionary advice (ICES, 2015a). Incomplete data coverage, untested data quality, a wide range of
400 incomparable and unevaluated assessment methods have been mentioned. All of these issues occurred in the
401 2012 post-evaluations, and remained in the 2015 post-evaluations – signalling a lack of standardisation between
402 management units, and their inability to address their common problems. Strengthening the international
403 orchestration and coordination will be required to reduce this uncertainty. Additionally, a major control-
404 uncertainty stems from the incongruity between the control-information and the control-decisions (Decker,
405 1987): the mismatch between, on the one side, ICES advice – addressing a centralised, top-down management
406 model – and, on the other side, the Eel Regulation and national Eel Management Plans – implementing a
407 distributed control system.

408 Type-casting the supervisory control system of the Eel Regulation according to Voß *et al.* (2007), there
409 appears to be no doubt on the objectives and goals, and agreement on the need for a supervisory power. In the
410 absence of adequate control-information, however, the international supervision does not achieve Full Control,
411 but acts as a Blind Goliath.

412 Discussion

413 The eel is an extraordinary fish, and managing this fish might call for unconventional approaches. Traditional
414 eel management was based on uncoordinated local action, as for a typical freshwater fish. Current scientific
415 advice by ICES is focused on a whole-stock approach, as for a typical marine fish. But the eel is neither, and
416 the analysis of the ambivalence in goals and the distribution of power, discussed above, indicates that neither
417 the ‘freshwater’ nor the ‘marine’ steering model is likely to be effective. Whatever steering model is embraced,
418 one has to deal with uncertainties and unknowns, the most prominent ones being the incomplete understanding
419 of the population dynamics, the imperfect information on the status of the stock, and the absence of a well-tried
420 steering model.

421 To deal with the latter uncertainty (absence of a well-tried steering model), I have tested the typical
422 freshwater approach (uncoordinated), the typical marine approach (centralised), and the Eel Regulation
423 (distributed under supervision) against the criteria of a typology of steering models (Voß *et al.*, 2007). This
424 identified likely grounds for management failures in past and present. Applying this typology to examine

425 alternative steering models, however, I run the risk of overrating the criteria of the typology as normative
426 conditions, when their universal value has been questioned (Meadowcroft, 2007). Is the approach of the Eel
427 Regulation a viable option, or the only feasible one? Rather than addressing that type of questions, Voß *et al.*
428 (2007) state that “[applying] this typology allows for deliberation of the match between the problem and the
429 strategy in [this] particular context of steering for sustainable development”.

430 The objective of the Eel Regulation is alternately worded as either “the protection” (e.g. Article 1) or “the
431 recovery” (e.g. the title of the Regulation) of the stock of European eel. Whereas protection can be achieved
432 immediately and by each management area independently, recovery is necessarily a long-term, global objective,
433 outside the competence of individual management areas, and overshadowed by uncertainties about stock
434 dynamics. The effectiveness of steering towards sustainable management would greatly improve by refocusing
435 in the short term on mortality goals and indicators, on protection. However, establishing an agreed level of
436 protection does not guarantee a recovery, due to unavoidable uncertainties in stock dynamics. In the long-term,
437 an international strategy will be required addressing those uncertainties. Mixing up short-term and long-term
438 requirements, however, is confusing societal debates, and thereby postpones the urgently required protection.

439 The spatial coverage of management reports and monitoring information is by far not complete (Figure 4).
440 Despite recent efforts to establish a major expansion in the Mediterranean (ICES, 2016), complete coverage is
441 unlikely to be achieved, ever. This incomplete coverage increases the uncertainties at the international, long-
442 term scale. Compensatory actions in other areas can be considered, but – in the absence of information on the
443 non-reporting areas – these cannot be quantified.

444 In the absence of feedback on the status of the stock and the level of protection, societal discussions have
445 drifted away from the objectives and achievements, towards questioning the means to protect, which have their
446 uncertainties indeed. Local monitoring, evaluation and feedback would have dealt with these uncertainties by
447 signalling the (in)-adequate results of actions taken, even in a rather short run. Without feedback, however, the
448 control-decisions have become ambivalent, and irresolute actions are taken. Collective Action from national
449 protection plans thus degenerates into Awkward Drifting, again.

450 The elusiveness of the eel and its management, the Eel Problem, is an extraordinarily complex issue. That
451 complexity has troubled effective management for a century or more. The approach, adopted in the Eel
452 Regulation, has been to divide the complexity along geographical lines, into independent parts that can be
453 managed more successfully. This deliberate distribution of control has triggered societal discussions between
454 countrymen-stakeholders, has initiated the national assessments of stock status and potential actions, and has
455 (re)-focused national discussions on protection and recovery. Current scientific advice (ICES, 2015a), however,

456 is focused on the whole stock (all of Europe and the Mediterranean). For the whole stock, though, no
457 comprehensive assessment could be and will ever be achieved. Hence, restricted by the absence of control-
458 information, international evaluation of control-decisions considered the implementation only; the
459 achievements of national protection plans have not been evaluated (Anonymous, 2014).

460 Distributed control systems are renowned for their reliability, amongst others due to their ability to handle
461 'soft fails' (Decker, 1987): local problems can be handled locally, without paralysing the whole system.
462 Incomplete data coverage, untested data quality, a wide range of incomparable and unevaluated assessment
463 methods – all of these are wide-spread, but essentially local problems, which can be addressed locally under
464 international orchestration. Analysis of the international advice on eel, however, indicates that the absence of
465 reliable information from many areas currently blocks all feedback, even on other, more successful areas.
466 Localised problems thus have led to a 'hard fail' of the whole system, obstructing the evaluation and adjustment
467 of protective measures actually taken – and hence, the Awkward Drifting perpetuates. The whole-stock
468 approach of the current scientific advice (ICES, 2015a) does not match the characteristics of the Eel Problem
469 or the strategy of the Eel Regulation, and does not relate to on-going management actions. It is merely an echo
470 of the advice given in 2000 (ICES, 2000).

471 Conclusions

472 In my opinion, the current impasse in the implementation of the protection and recovery plan for the
473 European eel can be broken by immediately re-focusing all protective actions, assessments, evaluations and
474 advice on anthropogenic mortality goals and indicators – considering each of the management areas (countries)
475 individually. This will provide feedback to each area and all societal parties currently involved, and improve
476 effectiveness and consistency of the protection given. Second priority, although no less urgent, is the
477 compilation of a strategic plan to scrutinise and consolidate existing assessments and management plans, and
478 to expand their spatial coverage, ultimately striving towards full geographical coverage of the whole population.
479 Finally, but not as a matter of urgency, there is a requirement for a comprehensive strategy, on how to deal with
480 all the uncertainties surrounding the long-term dynamics of the population – if a fully rational strategy may
481 exist for this extraordinary fish at all. However, it is only through adopting distributed control and strengthening
482 international orchestration that a feasible management model for the European eel can be developed,
483 eliminating the most crucial uncertainty for the protection of this severely depleted stock. Only then can the
484 current Awkward Drifting turn into successful Collective Action.

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491

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632

633 Table 1 Typology of steering problems according to Voß et al 2007. Their table 1 (a list of cases) is slightly reworded and
 634 fully re-formatted here as a 3D-table. Horizontal: uncertainty in knowledge; vertical: ambivalence of goals; shading:
 635 distribution of power. The examples by Voß et al (2007) are given in italics.

636

		Knowledge and uncertainty		
		Not understood, high uncertainty	Well understood, low uncertainty	
		Utopia <i>Fighting detrimental effects of auto-mobility</i>	Collective Action <i>Commuters avoiding congestions</i>	Shared
		Blind Goliath <i>Natural parks managing ecosystem stability</i>	Full Control <i>Company management decisions</i>	Central
		Awkward Drifting <i>Global policy on sustainable development</i>	Clash of Interests <i>Extensions to public transport</i>	Shared
		Disoriented power <i>A moronic dictator issuing arbitrary decrees</i>	Value conflict <i>Decommissioning nuclear power</i>	Central

637 Figure 1 Time trend in eel production, combining fishing yield from the wild stock with aquaculture (using wild glass eel).
 638 Data from ICES (2013a); fishing yield for non-reporting countries has been reconstructed using the model of Dekker (2003b).
 639 For the fishing yield, the hatched part is what Dekker & Beaulaton (2016b) attribute to restocking. Data for later years are
 640 incomplete (ICES, 2016).

641

642 Figure 2 Time trends in 28 glass eel recruitment data series. Data from ICES (2016). Dashed lines: North Sea area; solid
 643 lines: elsewhere. Bold lines: general trends - see ICES (2016) for details on individual series and the trend analysis. Note
 644 the logarithmic scale of the vertical axis.

645

646 Figure 3 Minimum legal size limits over time in Swedish lakes and rivers, by ICES subdivision (SD) into which they drain;
 647 some lakes are identified individually, by name. Dashed: applied to silver eel in lakes but not in rivers, and to all yellow eel;
 648 solid: applied to all life stages in all waters. For coastal waters, another equally complex set of minimum size limits applied.
 649 (After Dekker et al., 2011).

650

651 *Figure 4 Estimates of silver eel runs and management targets per eel management unit, reported in 2015. This figure presents*
652 *the estimates as reported by the countries – inconsistencies in assessment methods and in interpretations exist. For each*
653 *area, estimates are given for the current silver eel run (cur., green), the potential run given the current low glass eel*
654 *recruitment (best, orange), the escapement target of the EU Eel Regulation (40%, red), and the notional pristine biomass*
655 *(prist., grey); for areas without information, a weeping smiley (☹) is shown. (Data from ICES, 2016).*

656

657 *Figure 5 Modified Precautionary Diagram, presenting the status of the stock (horizontal) and the anthropogenic impacts*
658 *(vertical) for each reporting Eel Management Unit as reported in 2015; the size of each bubble is proportional to the potential*
659 *silver eel run. The left axis shows the lifetime anthropogenic mortality, while the right axis shows the corresponding survival*
660 *rate. Note the logarithmic scale of the horizontal and right axis, corresponding to the inherently logarithmic nature of the*
661 *left axis. (Data from ICES, 2016).*

662