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1 Otolith chemistry indicates recent worsened Baltic cod condition is linked to hypoxia exposure

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8 Abstract

9 Deoxygenation worldwide is increasing in aquatic systems with implications for organisms'
10 biology, communities and ecosystems. Eastern Baltic cod has experienced a strong decline in mean
11 body condition (i.e. weight at a specific length) over the past 20 years with effects on the fishery
12 relying on this resource. The decrease in cod condition has been tentatively linked in literature to
13 increased hypoxic areas potentially affecting habitat range, but also to benthic prey and/or cod
14 physiology directly. To date, no studies have been performed to test these mechanisms. Using
15 otolith trace element microchemistry and hypoxia-responding metrics based on Manganese (Mn)
16 and Magnesium (Mg), we investigated the relation between fish body condition at capture and
17 exposure to hypoxia. Cod individuals collected after 2000 with low body condition had a higher
18 level of Mn/Mg in the last year of life, indicating higher exposure to hypoxic waters than cod with
19 high body condition. Moreover, lifetime exposure to hypoxia was even more strongly correlated
20 to body condition, suggesting that condition may reflect long-term hypoxia status. These results
21 were irrespective of fish age or sex. This implies that as Baltic cod visit poor-oxygen waters,
22 perhaps searching for benthic food, they compromise their own performance. This study
23 specifically sheds light on the mechanisms leading to low condition of cod and generally points to
24 the impact of deoxygenation on ecosystems and fisheries.

25 **Keywords:** hypoxia, body condition, *Gadus morhua*, otoliths, trace element analyses

26

27 Introduction

28 Cod (*Gadus morhua*) is a key demersal fish species in the North Atlantic, both ecologically and
29 economically. In the Baltic Sea, since the mid-1980s, the frequency of very slender specimens of
30 Eastern Baltic cod has been increasing progressively, and the mean body condition of individuals
31 has decreased by around 30% [1-2]. The average weight of a 40-cm long cod has dropped from
32 900g to 600g from the early 1990s to 2018. This is of biological concern in terms of affecting
33 population reproductive potential [3] and mortality [4], but also changing trophic interactions [5-
34 6]. Additionally, the increase of slender cod has been detrimental for the fisheries industry that
35 complained about increased catches of scrawny individuals with little or no commercial value.

36 A number of hypotheses have been proposed to explain the decline in cod condition, including
37 increased extent of hypoxic waters, decreased abundance of pelagic prey, increased parasite
38 infection, or a combination of these factors [1-2]. A recent study [2] found a strong statistical
39 correlation between the temporal changes in the extent of hypoxic areas and changes in cod mean
40 condition in the central Baltic Sea. Hypoxic areas could affect cod condition directly via
41 physiological stress induced by exposure to hypoxia, indirectly by reducing the availability of
42 benthic prey, or by contraction of suitable habitat [2]. However, no direct evidence has been
43 provided to date to support or refute any of these hypotheses.

44 Otolith chemistry may offer a direct test of whether low condition of individual fish relates to
45 past hypoxia exposure. Otoliths, the small aragonitic concretions within the hearing/balance
46 system in teleost fishes, readily take up the trace element manganese (Mn) when present in the
47 environment, and Mn^{2+} and Mn^{3+} become available (dissolved) under suboxic/hypoxic conditions
48 [7-8]. Otolith Mn/Ca ratios distinguish fish from hypoxic vs normoxic environments, but

49 manganese uptake is also affected by growth rate [8]. Therefore, a new otolith chemical proxy for
50 hypoxia has been recently developed [9], that is the ratio of Mn to the trace element magnesium
51 (Mg), which is also taken up in otoliths but is regulated by growth processes (eg [10-12]). Thus,
52 in this paper we investigated whether direct exposure to hypoxia, as proxied by the Mn/Mg ratio
53 accumulated in the otoliths, could explain the difference in condition between cod individuals
54 collected in the open Baltic Sea in the period of worsening hypoxia (i.e., after 2000) [2].

55 **Methods**

56 Otoliths from 134 cod individuals sampled in February-March during the Baltic International
57 Trawl Survey (BITS) in ICES subdivisions 25 and 27 (Fig. 1) were extracted from archives; this
58 is within the range of the Eastern Baltic cod population where the occurrence of Western Baltic
59 cod is considered minor [13]. Fish were collected in 1990-1995, 2000 (N = 57 up to 2000), 2005,
60 2010-2015, and 2017 (N = 79 for 2000 onward). Otoliths from fish in good body condition
61 (Fulton's condition factor K [$K = (\text{total Weight (g)} / (\text{total Length}^3 \text{ (mm)})) \times 10^5 \geq 0.9$]) and poor
62 condition ($K < 0.9$) at capture were randomly selected for each time period. Transverse thin
63 sections exposed each otolith's entire depositional sequence from core formation (birth) to the
64 outer edges (death). Microchemical analyses were made with laser ablation inductively coupled
65 plasma mass spectrometry; lasered transects ran from core to outer edge, along the major dorsal
66 growth axis (for details see [8]). Post-processing included parsing the data contained within a
67 year's otolith growth by superimposing chemical transects on an otolith image and assigning
68 annulus marks (Fig. 2A, B).

69 The data analyzed were mean and cumulative Mn/Mg within annual otolith growth zones.
70 Duration of hypoxia exposure within a year was defined as the distance (in micrometers), from
71 one annulus to the next, on the otolith transect where Mn/Mg exceeded the age-based median
72 values for all the samples [9]. These durations were then expressed as percentages of years by
73 dividing the "hypoxic" distances within a given annulus by its total distance. Percent durations
74 were subsequently grouped into quartiles ($< 25\%$, $25-49.9\%$, $50-74.9\%$, and $\geq 75\%$) to define
75 "hypoxia exposure groups" (HEGs), where HEG-1 were the least exposed and HEG-4 the most
76 exposed [9].

77 Analysis of variance (ANOVA) tested whether cod in good versus poor condition at time of
78 capture were exposed to different levels of hypoxia during their lifetime, examining the period
79 prior to 2000 (characterized by relatively good oxygen levels) separately from 2000 onward
80 (period of chronic Baltic hypoxia). We tested the average and cumulative lifetime exposure, as
81 well as the average and cumulative exposure during the most recent year of life. We tested both
82 levels of Mn/Mg (degree of exposure) and duration of exposure (as defined above). Additionally,
83 we tested the proxy of metabolic activity (Mg/Ca, see [12]), i.e. the lifetime accumulated Mg/Ca
84 ratio, against age and HEG to test for long-term metabolic effects. Analyses were checked for
85 normality and homogeneity of variances, and transformed or variance-weighted as needed.

86 **Results**

87 A total of 134 cod with equal sex ratios were analyzed. Fish lengths ranged between 340-969 mm
88 and the estimated ages ranged between 3 to 9 years. Cod in poor condition (mean $K = 0.721 \pm$
89 0.088 s.d., range $0.482-0.889$, $N = 64$) were distinct in the data set from high-condition fish (mean

90 $K = 1.105 \pm 0.084$ s.d., range 0.90–1.380, $N = 70$). Example otolith transects showing Mn/Ca
91 (hypoxia proxy uncorrected for growth) and corresponding Mg/Ca (proposed proxy of metabolic
92 activity and growth) for a poor condition cod (Fig. 2A, left) vs. a high condition cod (Fig. 2B, left)
93 demonstrate how fish of either condition status may experience summertime hypoxia (peaks in
94 Mn/Ca), but the magnitudes of exposure are higher in the low condition fish. Additionally, Mg/Ca
95 tracks the seasonal pattern of Mn/Ca in the healthy fish (Fig. 2B, left), but decouples from the
96 Mn/Ca pattern in the fish with low K (Fig. 2A, left). Dividing the Mn by Mg results in the proxy
97 of hypoxia exposure (Fig. 2A and B, right).

98 Proxies of hypoxia exposure differed considerably between time periods (Table 1). Overall,
99 Mn/Mg proxies were elevated during the 2000s, the period of chronic hypoxia intensity. Mean
100 Mn/Mg during the last year of life differed by condition class significantly in the 2000s (Table 1,
101 Part A), irrespective of fish sex and age. Mean Mn/Mg values were much more similar and not
102 significantly different in the pre-2000 (Table 1, Part A). Duration of hypoxia in the final year of
103 life was nearly significant for the 2000s ($p = 0.06$) but not so for the period pre-2000 ($p = 0.86$),
104 irrespective of fish sex and age. Over entire lifetimes, mean and cumulative Mn/Mg ratio and
105 lifetime duration of hypoxia exposure (one-way ANOVA, Table 1, part B) were also strongly
106 separated by condition class in the 2000s but not in the pre-2000s. In the 2000s low and high
107 condition classes differed significantly ($p = 0.012$) from Age 2 onwards, with increasing
108 divergence observed during fish life (variance-weighted ANOVA, Fig. 2C), irrespective of sex.

109 Lifetime cumulative Mg/Ca, our proxy of lifetime metabolism [12], when tested against age
110 and HEG groups, showed highly significant divergences (Fig. 2D): the least hypoxia exposed
111 (HEG-1) and most exposed (HEG-4) separated the most, whereas the intermediate groupings
112 HEG-2 and HEG-3 largely overlapped each other (Fig. 2D). The (Age x HEG) interactions were
113 significant for the period 2000s onward ($p = 0.021$) and both periods combined ($p = 0.008$), but
114 not for the period pre-2000 ($p = 0.916$).

115 **Discussion**

116 During the past two decades (2000 onwards), a period of rapidly increasing, chronic hypoxia, cod
117 in poor condition at capture had experienced a higher degree of hypoxia exposure, as suggested in
118 our analyses by the higher Mn/Mg ratio, both in the last year of life and over entire lifetimes.
119 Additionally, cumulative indices of duration of exposure were significantly parsed by condition
120 classes (Table 1), becoming more so with increasing age (Fig. 2C). This suggests an accumulative
121 effect of recurring hypoxia exposures on condition. In strong contrast, both low and high condition
122 fish collected before 2000 experienced relatively little hypoxia as indexed by our proxies. This
123 suggests that other factors affected cod condition prior to 2000, such as pelagic prey availability
124 and density-dependent processes [2]; and that perhaps a change in system functioning occurred
125 after 2000 due to deoxygenation.

126 Beginning in the mid-1990s, the mean body condition of Eastern Baltic cod decreased by
127 around 30% [2] and the proportion of fish with condition close to lethal levels (Fulton's $K < 0.8$)
128 has increased, reaching up to 35% in recent years [13]. These changes in cod body condition co-
129 occurred with expansion of hypoxic and anoxic areas, mirroring a general deoxygenation of the
130 central Baltic Sea [14]. Our analyses independently support the conclusions of Casini et al. [2]

131 linking declines in body condition to increasing hypoxia, as evidenced directly by otolith
132 chemistry.

133 Our results shed light on some of the processes leading to low condition in Baltic Sea cod.
134 The findings indicate that cod do not entirely avoid hypoxic waters but instead at least partially
135 persist there, likely in search of benthic organisms [2] which constitute a key food resource for
136 adults [15]. Moreover, the exposure to hypoxia appears to increase during the second year of life,
137 when cod switch from a diet of semi-pelagic invertebrates to a predominance of benthic prey. Cod
138 otolith chemistry (Sr/Ca ratios) indicates directed offshore movements into deeper, saltier water at
139 about that age [7]. Tagging experiments have shown that cod undertake short, frequent visits to
140 hypoxic deep waters [16], presumably to forage. Our study suggests that these sojourns in oxygen-
141 poor waters (indexed by Mn/Mg) produce physiological stress in cod (indexed by lower Mg/Ca),
142 mirrored by a decrease in body condition as shown in our analyses and also demonstrated in
143 controlled experiments in fish including cod [17-18]. -Lifetime cumulative Mg/Ca, an index of
144 lifetime metabolic activity, split out by hypoxia exposure group (Fig. 2D), with highest cumulative
145 metabolic activity in the least exposed group, and vice-versa. We suggest this is further evidence
146 of the long-term impact of living in environments with recurring seasonal hypoxia.

147 As deoxygenation spreads due to climate warming and continued eutrophication [19], more
148 organisms and ecological communities will be confronted with low oxygen as a metabolic
149 constraint (eg [17, 20-23]. Eastern Baltic Sea cod present a dramatic case of a population being
150 driven into decline by a combination of environmental pressures and overfishing [24]. Hypoxia
151 and weakened condition appear to have made this population susceptible to a cascade of ecological
152 changes, including increased predation by seals and parasitic infections [1] as well as heightened
153 competition from flounder [25]. More study of the complex responses of ecological communities
154 to hypoxia will be urgently needed as hypoxia continues to spread. This also points out the
155 immediate societal need to address the drivers of hypoxia.

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163 microchemistry analyses, Steve Stehman (SUNY ESF) for statistical advice, and Monica Mion for
164 assistance in producing the map.

165 **Supplementary material.**

167 Table S-1. Annulus data used in the study.

168 Table S-2. Lifetime average data used in the study.

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235 **List of Figures.**

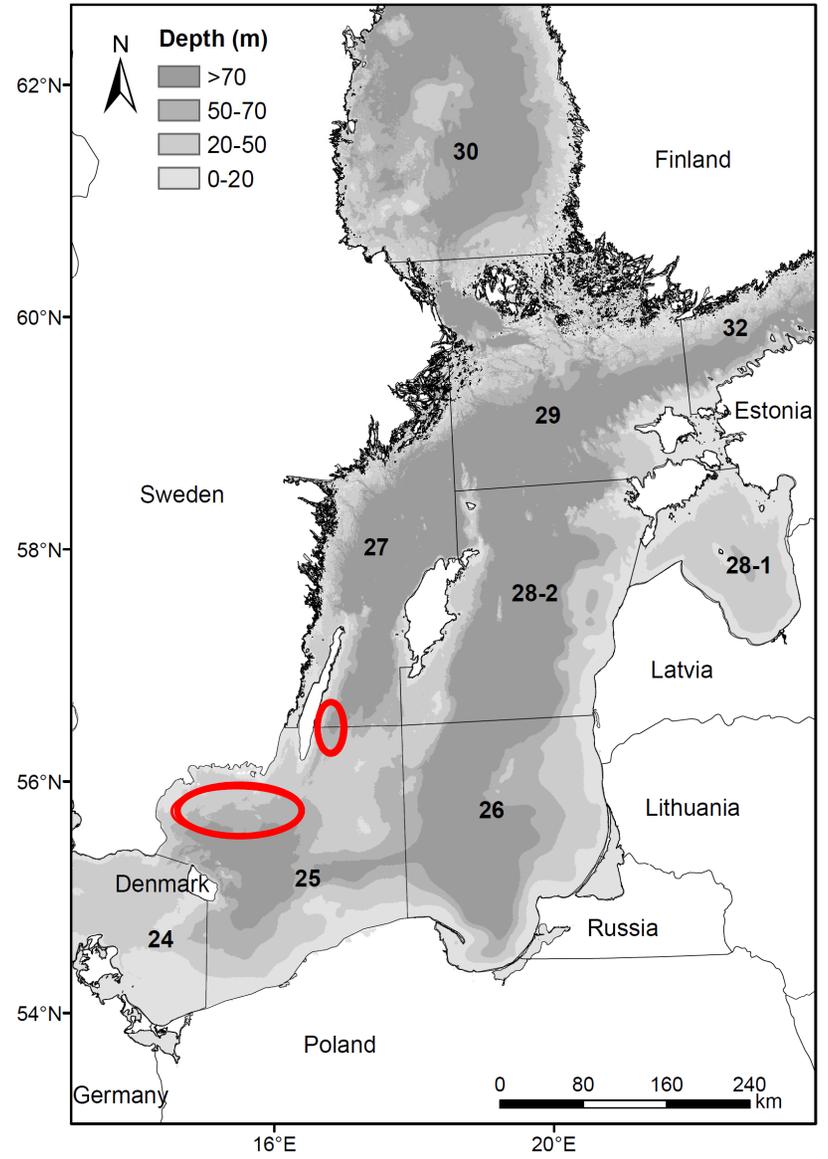
236

237 1. Left: examples of cod taken from different areas of the Baltic sea indicative of wild cod in very
238 good and very poor condition. Right: Map of the Baltic Sea showing the sampling areas (red
239 ellipses). Waters with oxygen concentration < 2 ml/l (defined as hypoxia limit in the Baltic Sea)
240 are frequently found below 70 m depth in the sampling areas. Numbers indicate ICES subdivisions,
241 see Tables S-1 and S-2. Photos: Y. Heimbrand and J. Pönni.

242

243 2. Differences in otolith chemistry as related to hypoxia and fish condition (measured by Fulton's
244 K). **A, B**. Otolith cross sections with Mn/Ca (blue), a hypoxia proxy partly affected by growth, and
245 Mg/Ca (orange), a proxy for metabolic activity and growth; arrows point to transects (right-hand
246 panels) made by dividing Mn by Mg, to correct for growth effects on Mn. Yellow dots indicate
247 the locations of winter annuli (left panels). X-axis denotes the distance (in microns) from the otolith
248 core. **A**, fish 420 mm long and Age-5, was caught in February 2014 and had a low Fulton's K
249 value; note persistently high seasonal hypoxia events and decoupling of Mg/Ca in third year. **B**,
250 fish 450 mm long and Age-3 was caught in March 2005 and had high Fulton's K, lower Mn/Ca
251 and higher Mg/Ca. **C**, Lifetime accumulated metric of hypoxia exposure duration measured by
252 the otolith proxy as the lifetime Mn/Mg exceeding year-specific thresholds vs. age and categorized
253 condition factor (high condition is 0.9 or greater) for pre-2000 and 2000s; p-values shown are
254 calculated for (Fulton's K x Age) separately for each period (joint p-value of Fulton's K x Age x
255 Period = 0.15). **D**, Cube root-transformed lifetime cumulative Mg/Ca, a metabolic proxy, as a
256 function of age and hypoxia exposure group (HEG, quartiles of hypoxia duration) for pre-2000
257 and 2000s. Error bars for **C** and **D** are 95% confidence intervals.

258



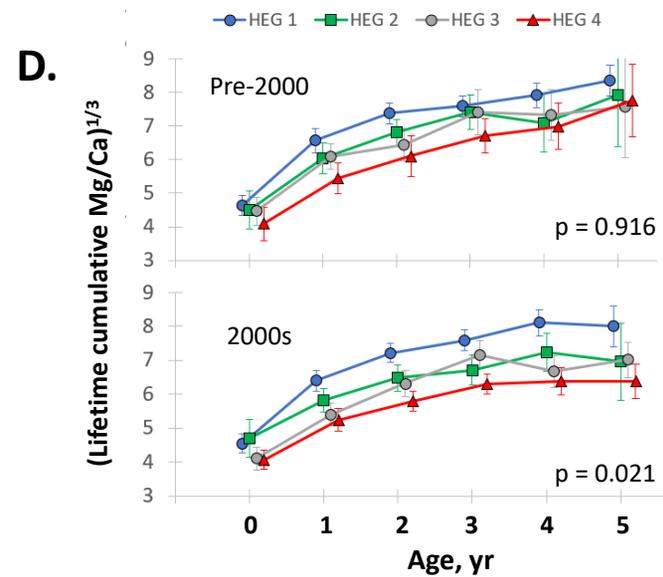
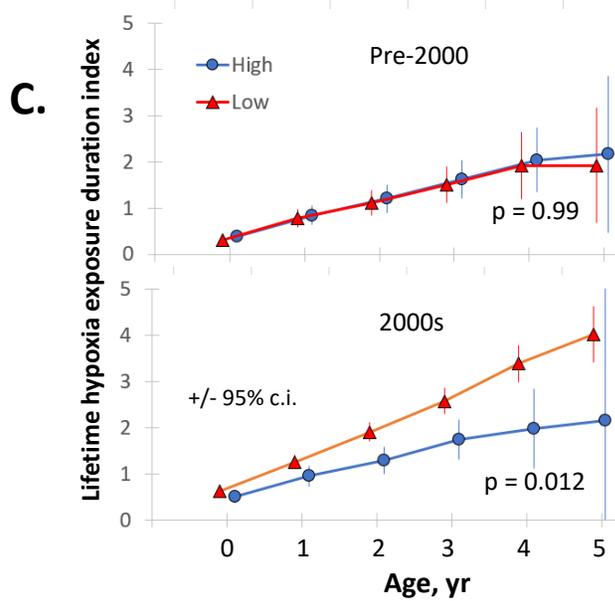
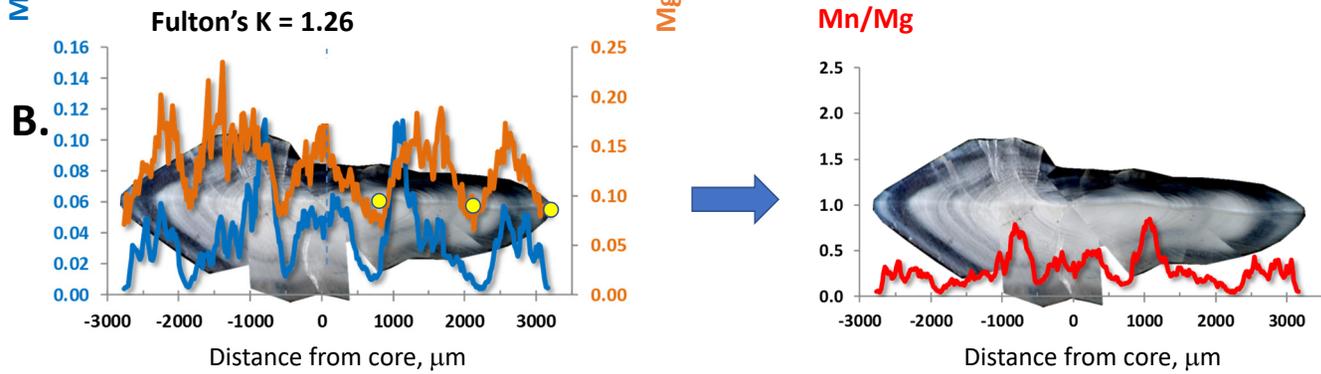
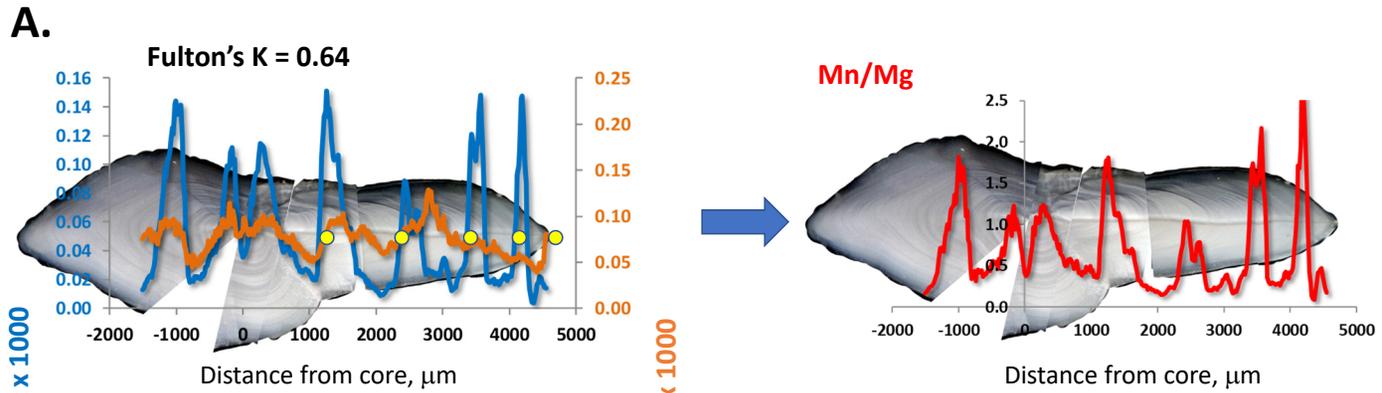


Table 1. Analysis of variance results for hypoxia exposure proxies and fish condition (Fulton's K)¹ ; s.e. = standard error

A. During last year of life (* = 2 high extreme outliers deleted based on Q-Q plots)

Proxy	Period	High K	s.e.	Low K	s.e.	df	p
Mean Mn/Mg	pre-2000	0.094	0.01	0.08	0.01	44 (*)	0.377
	2000s	0.163	0.03	0.294	0.03	63 (*)	0.006
Cumulative Mn/Mg	pre-2000	54.3	9.1	62.9	11.9	47	0.565
	2000s	103.5	17.3	127.9	16	63 (*)	0.304
Duration of hypoxia proxy (as fraction of last year)	pre-2000	0.339	0.06	0.321	0.008	47	0.855
	2000s	0.479	0.06	0.639	0.06	66	0.058

B. Over entire lifetime

Proxy	Period	High K	s.e.	Low K	s.e.	df	p
Lifetime mean Mn/Mg	pre-2000	0.304	0.02	0.298	0.02	53	0.849
	2000s	0.406	0.06	0.539	0.05	77	0.069
LN(Lifetime cumulative Mn/Mg)	pre-2000	7.00	0.079	7.09	0.084	54	0.434
	2000s	7.07	0.073	7.34	0.063	75	0.008
LN(1 + Mn/Mg duration over lifetime)	pre-2000	0.894	0.081	0.908	0.096	52	0.918
	2000s	0.968	0.071	1.444	0.055	76	< 10 ⁻⁶

¹ Fulton's K mean values (± s.e.) are pre-2000 high K: 1.12 (0.015); pre-2000 low K: 0.72 (0.019); 2000s high K: 1.07 (0.015); 2000s low K: 0.72 (0.013).