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**Protecting forest areas for biodiversity in Sweden 1991-2010:
the policy implementation process and outcomes on the ground**

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Per Angelstam (1), Kjell Andersson (1), Robert Axelsson (1), Marine Elbakidze (1), Bengt-Gunnar Jonsson (2), Jean-Michel Roberge (3)

(1) School for Forest Management, Faculty of Forest Sciences, Swedish University of Agricultural Sciences (SLU), SE-73921 Skinnskatteberg, Sweden

(2) Department of Natural Science, Engineering and Mathematics, Mid Sweden University, SE-851 70 Sundsvall, Sweden

(3) Department of Wildlife, Fish and Environmental Studies, Faculty of Forest Sciences, Swedish University of Agricultural Sciences (SLU), SE-90183 Umeå, Sweden

per.angelstam@slu.se; kjell.andersson@slu.se; robert.axelsson@slu.se; marine.elbakidze@slu.se; jean-michel.roberge@slu.se; bengt-gunnar.jonsson@miun.se

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48

49 Abstract

50

51 Current Swedish forest and environmental policies imply that forests should be
52 managed so that all naturally occurring species are maintained in viable
53 populations. This requires maintenance of functional networks of representative
54 natural forest and cultural woodland habitats. We first review the policy
55 implementation process regarding protected areas for biodiversity conservation in
56 Sweden 1991-2010, how ecological knowledge was used to formulate interim
57 short-term and strategic long-term biodiversity conservation goals, and the
58 development of a hierarchical spatial planning approach. Second, we present data
59 about the amount of formally protected and voluntarily set aside forest stands, and
60 evaluate how much remains in terms of additional forest protection, conservation
61 management and habitat restoration to achieve forest and environmental policy
62 objectives in the long-term. Third, to demonstrate the need for assessment of the
63 functionality of forest habitat networks a case study in central Sweden was made
64 to estimate the functionality of old Scots pine, Norway spruce and deciduous
65 forest habitats, as well as cultural woodland, in different forest regions. Finally,
66 we assess the extent to which operational biodiversity conservation planning
67 processes take place among forest owner categories and responsible government
68 agencies. We conclude that Swedish policy pronouncements capture the
69 contemporary knowledge about biodiversity and conservation planning well.
70 However, the existing area of protected and set-aside forests is presently too small
71 and with too poor connectivity to satisfy current forest and environmental policy
72 ambitions. To bridge this gap, spatial planning, management and restoration of
73 habitat, as well as collaboration among forest and conservation planners to create
74 and maintain functional habitat networks need to be improved.

75

76 Keywords: forest protection, restoration ecology, forest policy, connectivity,
77 green infrastructure, umbrella species, forest disturbance regimes, participation
78 and collaboration

79

80

81 1 Introduction

82

83 Concerns about species' extinction emerged in Sweden more than a century
84 before (Säve 1877) the term biodiversity appeared (Wilson 1988). Already in
85 1909 the Swedish Parliament passed an act for the establishment of national parks
86 in order to protect the natural environment for the benefit of science and tourism.
87 However, modern forest conservation including area protection to secure habitat
88 for species emerged only in the mid to late 20th century. This can be traced to
89 public reactions against intensive forest management, which commenced after the
90 1948 forest policy that focused on sustained yield forestry. That policy resulted in
91 forest plantation on cultural woodlands, loss of old-growth forest (Rosén 1953),
92 creation of large clear-cuts (Jordbruksdepartementet 1974), and use of herbicides
93 to remove the deciduous component in young forests in the 1970s (Enander
94 2003).

95

96 The State Forests (*Domänverket*) began setting aside forest areas for conservation,
97 so called *Domänreservat*, already in 1913, and stipulated nature considerations in
98 managed forests in 1924 (Domänverket 1951, Oldertz 1959). The Nature
99 Conservation Act of 1964 permitted the establishment of nature reserves, and the
100 Swedish Environmental Protection Agency was created in 1967, one of its
101 missions being nature conservation. At the end of the 1980s, an increase in
102 protected areas was linked to the information gained from a nation-wide old-
103 growth forest inventory conducted between 1978 and 1981 (Naturvårdsverket
104 1982). This inventory was the first systematic effort carried out to improve forest
105 protection for nature conservation purposes. In 1988, county administrative
106 boards, especially in northern Sweden, protected a number of large sub-alpine
107 forests as nature reserves. In the early 1990s there was a substantial area increase
108 in the amount of formally protected areas, mostly due to the conversion of
109 protected state forest company reserves to nature reserves in Norrbotten County in
110 northernmost Sweden (Höjer 2009).

111

112 Simultaneously, a gradual development of nature conservation policy regarding
113 the managed forest landscape took place. In 1979 a section (§21) was added to the
114 1948 forestry act with the aim to implement stand-scale nature considerations in
115 operational forest management in general. Following its tradition of using advice
116 to forest owners and education as its main policy implementation tools, the
117 National Board of Forestry arranged several broad educational programmes where
118 nature conservation was an important part, and green forest management plans
119 with specific focus on maintaining habitat for species appeared (Angelstam 2003,
120 Naturvårdsverket and Skogsstyrelsen 2005).

121

122 From the late 1980s forest conservation was mainly influenced by various
123 national and international environmental organizations (e.g., Kortelainen 2010),

124 the emergence of the concepts of sustainable development and sustainability
125 principles (Axelsson et al. in press), and different international agreements and
126 conventions about forests (Angelstam et al. 2004a). This development also led to
127 the introduction of the woodland key habitat (WKH) concept (Nitare and Norén
128 1992, Timonen et al. 2010) for voluntarily set-aside of forests and a corresponding
129 nation-wide mapping of WKHs, the introduction of environmentally driven forest
130 certification (FSC and PEFC; e.g., Auld et al. 2008), and substantially increased
131 resources for protection of forest areas with high natural values for conservation
132 purposes. In the early 1990s the first ideas about landscape planning and the use
133 of a landscape perspective for forest conservation emerged, encouraging
134 collaboration among forest owners (Angelstam 2003), and the first Swedish
135 nature conservation strategy appeared (Naturvårdsverket 1991).

136

137 Hence, the conservation of biodiversity – i.e. the composition, structure and
138 function of ecosystems (Noss 1990) – became one of the nationally agreed
139 objectives of forest management in Sweden (Regeringens proposition
140 1992/93:226, Regeringens proposition 2007/08:108). Since 1993 conservation and
141 production are formally recognized as equal objectives of forest management in
142 Sweden (Bush 2010). In addition to this national policy development, Sweden has
143 adopted several Pan-European (MCPFE 1993, European Landscape Convention
144 2000) and EU policies and directives such as the EU Birds, Habitat and Water
145 Framework Directives (European Commission 1979, 1992, 2000), all of which
146 include different legal obligations for biodiversity conservation in forests.

147

148 Biodiversity conservation involves the establishment, management and restoration
149 of functional habitat networks including protected areas. The term ‘green
150 infrastructure’ captures this (Regeringens proposition 2008/09: 214). Realising
151 this is an example of a societal process about implementing policies on ecological
152 sustainability. Consequently the topic is inherently interdisciplinary (e.g.,
153 Angelstam et al. 2003a, Vucetich and Nelson 2010). While biodiversity
154 conservation has been clearly pronounced in international and national policies,
155 the subsequent implementation process needs to be assessed as to its effectiveness
156 (Lee 1993, Angelstam et al. 2003a). Indeed, evaluating policy and governance
157 processes and management outcomes for biodiversity conservation is a crucial
158 step in the progress toward agreed policy goals. In the case of biodiversity
159 conservation this requires both an evaluation of the policy process, and of the
160 outcomes of this process (Rauschmayer et al. 2009). Evaluation of the policy
161 process involves assessment of what is good or democratic governance (Currie-
162 Alder 2005, Baker 2006, United Nations 2010), including elements such as more
163 and improved information management and learning, a legitimate process, and the
164 normative aims of transparency and participation. The outcomes of policy
165 processes have two parts (Rauschmayer et al. 2009). Firstly, the outputs in terms
166 of implementation of policy norms and rules to be applied by governors, and
167 pronouncements in terms of strategic performance targets for short-term and long-
168 term goals for the amount of protected areas (e.g., Angelstam and Andersson
169 2001), retention of fine-scale nature consideration elements in forestry operations

170 (Vanha-Majamaa and Jalonen 2001), as well as tactical spatial planning and
171 management approaches (Eriksson and Hammer 2006). Secondly, the
172 consequences of actual operational implementation of plans on the ground by
173 managers in terms of a sufficiently extensive network of representative habitats,
174 and spatial planning to enhance functionality for species and processes.

175

176 In 1999, a series of national environmental objectives were adopted by the
177 Swedish parliament, including the “Sustainable Forests” objective. One of the
178 interim targets under that objective was to increase the amount of formally
179 protected forest by 400,000 ha and the area voluntarily set aside forests by
180 500,000 ha in productive forests below the mountain region before the end of
181 2010 (Regeringens Proposition 1997/98:145, 2000/01:130). In 2006, the Swedish
182 Forest Agency began an in-depth evaluation of the Sustainable Forests objective
183 (Skogsstyrelsen 2007, Statskontoret 2007, Miljömålsrådet 2008). The aims of this
184 assessment were three-fold. First, to review empirical knowledge about
185 conservation biology as a basis for updating the strategic short-term (the interim
186 target) and long-term goals for biodiversity conservation by forest protection,
187 which were formulated in 1997 (SOU (Statens Offentliga Utredningar) 1997a,b).
188 The results did not evoke changed goals. Second, to describe the development of
189 the amount of protected areas in Sweden’s managed productive and non-
190 productive mountain forests. This evaluation concluded that the environmental
191 quality objective of Sustainable Forests was not met. It was assessed that it would
192 be very difficult to meet the national objective by 2010 even if further action was
193 taken. Third, based on quantitative and qualitative analysis, to assess how much of
194 additional protected areas, nature conservation management, and restoration are
195 needed for biodiversity conservation with different levels of ambition. The result
196 was that to reach the long-term policy goal formulated in SOU (1997a,b), habitat
197 restoration and spatial planning of landscapes and regions were needed. Although
198 summarised in Swedish (Angelstam et al. 2010), this assessment is of general
199 interest for an international audience. A detailed review and discussion of the
200 details of this process and what it may deliver on the ground can be found in
201 Angelstam et al. (2011), also including the policy formulation process itself.

202

203 The aim of this paper is to make an assessment of the chain of events from the
204 first nature conservation strategy (Naturvårdsverket 1991) as well as the
205 formulation of short-term and long-term targets for formal protection and
206 voluntary set-aside of forests in Sweden (SOU 1997a,b) to the outcomes of the
207 policy implementation process in terms of its outputs and their consequences
208 (sensu Rauschmayer et al. 2009, but without considering of the policy process
209 itself). This article thus (1) addresses how ecological knowledge was used as part
210 of the policy process to formulate qualitative and quantitative performance targets
211 or norms (Lammerts van Buren and Blom 1997), and how policy implementation
212 was carried out in a hierarchical manner at national, regional and local levels (e.g.,
213 Carlsson 2008), describes the consequences in terms of (2) the increased area of
214 formally protected and voluntarily set-aside forests in relation to short-term
215 interim targets. Using a quarter of Sweden as a case study we also attempt to

216 assess (3) the functionality of Sweden’s main natural forest and cultural woodland
217 habitats, and (4) the conservation planning process among land managers
218 representing different land owner categories and responsible government units in
219 relation to the policy.

220
221

222 2 Methods

223

224

225 2.1 Policy implementation process

226

227 The formulation of a new forest policy in the early 1990s (Regeringens
228 proposition 1992/93:226) triggered a long sequence of activities to translate policy
229 into practice via strategic, tactical and operational steps, and finally, tangible
230 consequences on the ground. To describe the policy implementation process
231 concerning protected areas we reviewed documents and reports, and interviewed
232 eight key staff members within government agencies for forest and conservation
233 at national and regional levels. All interviews were open-ended, qualitative
234 research interviews (Kvale 1996, Kvale and Brinkman 2008). The paper also
235 builds on our own participatory observations of these processes as we collectively
236 have taken part in several of the steps (e.g., see Angelstam and Andersson 2001,
237 Angelstam et al. 2010).

238

239 We divided the process of implementing Swedish biodiversity conservation policy
240 by forest protection into four phases: (1) interpretation of policy content and
241 norms for implementation in planning and practice, and the subsequent
242 hierarchical conservation planning process in terms of (2) formulation of long-
243 term strategic quantitative targets regarding the amount of protected forest areas
244 in Sweden, (3) development of tactical planning in terms of selecting different
245 types of protected areas, and (4) operational execution of these plans by creating
246 protected areas, including the allocation of funding to acquire forest land for
247 conservation, or to pay compensation to land owners for the limitations in land
248 use that follows from area protection.

249

250 2.2 Outcomes on the ground

251 2.2.1 Protected area development

252

253 We compiled data about the amount of protected areas presented in official
254 publications from the Swedish Environmental Protection Agency and the Swedish
255 Forest Agency, and also requested additional data from these government
256 agencies. Data is presented both for the period 1991-97, i.e. before the short-term
257 interim target for protected forests was formulated, and for the period of new
258 policy implementation 1998-2010.
259

260 **2.2.2 Analyses of habitat network functionality**

261

262

263 We analysed habitat network functionality in south-central Sweden. The study
264 area covered 145,000 km² and included all Swedish boreal and hemiboreal
265 ecoregions (Fig. 1). The extent to which the land-cover proportion of formally
266 protected and voluntarily set aside forests is functional for given species with
267 particular life history traits (e.g., Angelstam et al. 2004b) depends on the quality
268 and size of constituent habitat patches and their spatial configuration (e.g.,
269 Laforteza et al. 2005). Given the existing knowledge about the
270 interconnectedness and functional links for species, habitats and processes in
271 boreal forests (e.g., Korpilahti and Kuuluvainen 2002, Angelstam and
272 Kuuluvainen 2004, Angelstam et al. 2004c), rapid assessment using estimator-
273 surrogate data such as habitat types (sensu Margules and Sarkar 2007) is possible.
274 Habitat suitability modelling is such a tool (Scott et al. 2002). This requires (1)
275 digital spatial data of the land covers of interest, (2) knowledge about focal
276 species' habitat requirements, and (3) suitable spatial modelling algorithms (Store
277 and Jokimäki 2003).

278

279 We used two land cover data bases for year 2000: the dataset produced by the
280 Swedish University of Agricultural Sciences (Reese et al. 2003), derived using a
281 combination of remote sensing of satellite scenes and data from the Swedish
282 National Forest Inventory (kNN-Sweden), and the Land Cover Data (SMD) from
283 the National Land Survey. The SMD originates from the EU CORINE land cover
284 programme (Engberg 2002).

285

286 Historically, habitat networks in a given landscape were maintained by natural
287 and anthropogenic disturbance regimes. Natural disturbance regimes in boreal
288 forest can be divided into three broad types of forest dynamics (e.g., Angelstam
289 and Kuuluvainen 2004). These are (1) gap dynamics where regeneration of shade-
290 tolerant trees (e.g., Norway spruce *Picea abies*, H. Karst.) takes place in small
291 patches (i.e. gaps) created when one or a few trees disappear from the canopy
292 because of mortality, (2) succession dynamics related to large-scale disturbance
293 caused by high intensity fire, wind throw or insect outbreaks, often favouring
294 deciduous trees in early and mid successions, (3) cohort dynamics with partial
295 loss of shade-intolerant trees (e.g., Scots pine *Pinus sylvestris*, L.) caused by low

296 intensity fires. In addition, biodiversity is linked to cultural landscapes with a
297 mosaic of forest, wooded grasslands, large trees and agricultural land which are
298 mainly formed by (often traditional) anthropogenic disturbance regimes (Sjöbeck
299 1927, Erixon 1960).

300

301 The focal or umbrella species approach (Lambeck 1997) is based on the idea that
302 conservation of specialised and area-demanding species can contribute to the
303 protection of many less demanding co-occurring species (Roberge and Angelstam
304 2004). Empirical studies have confirmed that this is a useful approach (Roberge et
305 al. 2008, Roberge and Angelstam 2006, 2009; see also Rompré et al. 2010).

306 Habitat suitability index models were built for umbrella species in three main
307 steps using raster land cover data and GIS (e.g., Store and Jokimäki 2003). First,
308 the land cover types at the raster pixel level were selected in the digital spatial
309 database to mirror the habitat selection of the focal species. Second, stands which
310 provide sufficient amount of the relevant vegetation type necessary to meet the
311 requirements of focal species individuals were identified. Finally, tracts with
312 concentrations of suitable habitat that satisfy species-specific critical thresholds
313 for the occurrence of a local population were identified. Focal species for older
314 Norway spruce dominated forest, deciduous forest, old Scots pine forest, as well
315 as for forest-field edge as a proxy for cultural woodlands, and relevant parameter
316 values for modelling, were selected according to Angelstam et al. (2003b; see
317 Table 1).

318

319

320 **2.2.3 Planning processes among forest owner categories**

321

322 The operational spatial planning process to implement biodiversity conservation
323 policy on the ground was studied through qualitative interviews that followed
324 Kvale (1996), Kvale and Brinkman (2008) and Ryen (2004). We focused on the
325 bottom level of the conservation planning process, operational forest planning,
326 and through this perspective connected to higher levels (Sabatier 1986, Lundqvist
327 1987). The interview manual focused on planners' understanding, capacity, and
328 willingness to act related to landscape ecological planning and collaboration
329 among stakeholders (Lundqvist 1987). The interviews were semi-structured with
330 mainly open-ended questions. Some more general questions were followed by
331 several specific questions to identify the strategy, and capacity for landscape
332 planning. The interviewees were given full freedom to express themselves. The
333 interviews were transcribed and analysed with qualitative methods to ensure that
334 the results should be thoroughly supported in empirical data (Glasser and Strauss
335 1967, Kvale 1996, Ehn and Löfgren 2001, Ryen 2004).

336

337 All 25 interviewees were responsible for forest or conservation planning and were
338 selected from the following categories: (1) public, state forest, industrial private
339 and non-industrial private forest land owner categories identified using a national

340 GIS database showing the different types of forest land ownership (Wennberg and
341 Höjer 2005); (2) organisations and businesses making forest management plans
342 (e.g., forest owner associations, forest industries and forest consultancy bureaus);
343 (3) municipalities; (4) forest agency districts; (5) county administrative boards,
344 i.e. regional government agencies; (6) other actors mentioned by groups 1-5.
345
346

347 **3 Results**

348

349

350 **3.1 The policy implementation process in Sweden**

351

352

353 **3.1.1 Interpretation of policy**

354

355 Already in the early 1990s the principle that not only the state was responsible for
356 investment in environmental and nature protection, but also the forest sector itself,
357 was established. Analogous to the polluter pays principle, governance and
358 conservation of natural resources and biological diversity was expected to be a
359 normal part of forestry (Jordbruksutskottets betänkande 1990/91). During the
360 1990s this was further elaborated in a series of policy documents. In a government
361 bill from 1990, reflecting a strong Swedish and Fennoscandian species-centred
362 tradition, it was stated that plant and animal communities should be conserved in a
363 way that maintains viable populations of all naturally occurring species and under
364 natural conditions (Regeringens proposition 1990/91:90). This was continued with
365 a policy addition aiming to secure the productive capacity of all forest land and to
366 increase the protection for threatened species and different types of habitats
367 (Regeringens proposition 1992/93:226). In accordance with the principle of
368 representation of conservation areas by ecoregions, the nature conservation
369 discussion concerning forest was divided in 1991 between productive forest
370 within and below the mountain forests (*fjällnära skog* in Swedish; see SOU
371 2009:30). Moreover, the natural functions and processes in forest ecosystems
372 should be maintained (Regeringens proposition 1997/98:145). The environmental
373 quality objective Sustainable Forests, and its four interim targets (of which one
374 focused on protected areas), has a strong focus on biodiversity (Regeringens
375 Proposition 1997/98:145, 2000/01:130).
376

377 To conclude, the Swedish policy pronouncements capture the definitions of
378 biodiversity and conservation well. Science-based biodiversity conservation thus
379 emerged gradually. This is clearly an adaptation to the internationally agreed
380 goals of the Convention of Biological Diversity that was established in the early
381 1990s (CBD 1992). The environmental objective of the Swedish forest and
382 environmental policy pronouncements can be interpreted as having three key
383 words and phrases concerning biodiversity conservation. These are “*all*”,
384 “*naturally occurring species*” and “*viable populations*”.

385
386 Firstly, “*all*” refers to the interpretation that not only generalist species should be
387 maintained, but also specialized species, which often have high demands on the
388 habitat area and its qualities. Complementing the focus on red-listed species, the
389 umbrella species concept (Lambeck 1997, Roberge and Angelstam 2004) was
390 accepted as a concept that determined the kinds of species that could be used to
391 formulate quantitative conservation targets (SOU 1997a).

392
393 Secondly, the term “*naturally occurring species*” links to the notion of
394 representativeness, namely that networks of protected areas should represent the
395 biological variation in a given region (Austin and Margules 1986, Scott et al.
396 1993). Sweden is a country with several types of natural forests (Nordic Council
397 of... 1983) and cultural woodland regions (Sporrong 1996) with a wide range of
398 habitats holding different species pools, all of which need to be represented when
399 designing green infrastructures for biodiversity conservation, and thus in the
400 formulation of conservation targets.

401
402 Thirdly, the term “*viable populations*” refers to population ecology in the short
403 term and population genetics in the long term. Viability means that a population
404 should be able to persist for a long time. Species whose individuals are small are
405 likely to require less area than large-sized species to persist in viable populations.
406 However, while the policies and guidelines on biodiversity are reasonably
407 explicit, it is not clear at which spatial scales species conservation shall apply:
408 in each municipality, county, natural region, or at the national level? This leaves
409 room for actors with different interests and power to interpret policies differently.

410
411

412 **3.1.2 Hierarchical conservation planning**

413

414 The policy implementation process to conserve biological diversity followed the
415 principle of hierarchical planning with strategic, tactical and operational planning
416 in several steps (e.g., Sundberg and Silversides 1996). The first assessments and
417 plans toward systematic conservation planning were developed in the early 1990s
418 (Naturvårdsverket 1991, 1992). Later a quantitative gap analysis was done for
419 each forest region, thus considering representativeness (SOU 1997a,b). It built on
420 the fact that conserving viable populations requires sufficiently large amount of

421 suitable habitat with adequate quality distributed in the landscape so as to form
422 functional networks (Taylor et al. 1993, 2006). This corresponded to the strategic
423 planning step, which was followed by the development of a system to prioritise
424 areas for protection (Naturvårdsverket and Skogsstyrelsen 2005). Finally, tactical
425 plans based on habitat network functionality criteria were made at the level of
426 county administrative boards, followed by operational planning in the form of
427 designation of protected areas.

428

429

430 *3.1.2.1. Regional gap analysis*

431

432 The purpose of a gap analysis is to estimate how much of different habitats remain
433 in different regions compared to the historic potential (Dudley and Parish 2006,
434 Scott et al. 1993, Krever et al. 2009). SOU (1997a,b), summarised by Angelstam
435 and Andersson (2001), took the gap analyses concept one step further by defining
436 also the extent to which there were gaps in the amount of habitat to maintain
437 viable populations of naturally occurring species.

438

439 A short ABC for a quantitative gap analysis (Angelstam and Andersson 2001)
440 includes the following three steps (Table 2). The first is to estimate the historical
441 area of different forest habitats by inventories in a similar region under reference
442 conditions (A). SOU (1997a,b) used the pre-industrial natural forest and cultural
443 woodland as a baseline. By comparing (A) with estimates of the current quantities
444 of various forest types (B), one can get an idea of how representative different
445 habitats are today. Representativeness is simply a measure of the difference
446 between A and B, or the proportion of the original conditions that remains in
447 relation to what species have adapted to. Finally, with knowledge about the
448 proportion out of the area of a particular natural forest environment required for
449 retaining a viable population (C), one can estimate the areas of various
450 representative forest types needed to maintain viable populations of all species.
451 The actual gap analysis is then based on the difference between B and $A \times C$,
452 where a negative value indicates a gap in the area of habitat, and thus the need of
453 restoration and even re-creation of habitats. The realization that there are
454 extinction thresholds for how much habitat loss specialized species can withstand
455 without losing their viability (e.g., Andrén 1999, Bender et al. 1998, Fahrig 2001,
456 2002, Angelstam et al. 2004c, Rompré et al. 2010, Angelstam et al. 2011) is
457 central for the understanding of the need for both short and long-term goals to
458 conserve biological diversity.

459

460 Focusing on the role of protected areas for forest biodiversity conservation,
461 Liljelund et al. (1992) pioneered attempts to formulate area targets for forest
462 protection, and Nilsson and Götmark (1992) made analyses of representation of

463 protected areas for different types of land cover. The conclusions were that the
464 area of protected forests needed to increase, and that there was a severe under-
465 representation of more productive site types. Realising the need to maintain
466 functional habitat networks, the Swedish Environmental Advisory Council
467 commissioned a study in 1996 on how much of different forest habitat types with
468 high conservation values should be set aside in the short and long term (SOU
469 1997b). The 1997 regional gap analysis was based on analyses of 14 different
470 Swedish forest habitats below the mountain forest region, of which 12 represented
471 natural forest types, and two represented cultural woodlands. Based on reviews of
472 extinction and fragmentation thresholds, and species' requirements, a 20% rule of
473 thumb was employed in the Swedish regional gap analysis (SOU 1997a, b,
474 Angelstam et al. 2011). The need for forest protection was divided into long-term
475 and short-term goals (Table 3).

476
477 By incorporating contemporary knowledge about forest ecology, forest history
478 and conservation biology, this study concluded that in the long-term (~50 years),
479 depending on the composition of different forest habitats and forest management
480 practices in different Swedish ecoregions, 8-16% of forest landscapes should
481 consist of functional networks of protected forest habitats of various kinds (SOU
482 1997b, Angelstam and Andersson 2001). The analysis thus suggested a substantial
483 increase of protected areas below the mountain forest compared to the 0.8% that
484 were protected in 1996. As a consequence, a short-term interim target was
485 formulated by the government, which stated that by the end of 2010 the amount of
486 formally protected and voluntarily set aside forests should increase by 400,000
487 and 500,000 ha, respectively (Regeringens Proposition 1997/98:145,
488 2000/01:130). These 900,000 ha correspond to 4.1 %-units increase in the
489 conservation proportion out of all Swedish productive forests.

490
491

492 *3.1.2.2 Tactical spatial planning*

493

494 After completion of the regional gap analysis, the implementation process
495 proceeded by starting to develop spatial plans to optimise functionality of forest
496 habitat networks at the county level (e.g., Länsstyrelsen Östergötland 2007). The
497 next landmark became the national compilation of high conservation value forests
498 (Wennberg and Höjer 2005), and analysis of the location of core areas for forest
499 protection (Naturvårdsverket and Skogsstyrelsen 2005). In contrast to the regional
500 analysis which distinguished only four broad forest regions, this tactical analysis
501 was spatially explicit, i.e. based on national and spatially explicit inventories of
502 natural forest values, including WKH inventories on private and company-owned
503 land and state forest inventories. The spatial planning strategy pronounced how
504 protected area candidates should be selected for formal protection. Primarily the
505 biological value of the area should be considered, including both the structure and
506 species composition of the forest itself as well as its connectivity (landscape

507 context) to other high value natural forests. A second criterion for formal
508 protection was whether or not the site satisfied social and cultural interests.
509 Finally, the extent to which the protection was practical was considered. The need
510 for dialogue with forest land owners was also stressed as an important component.
511 In 2006, the County administrative boards and the Swedish Forest Agency
512 subsequently formulated regional county level strategies, which included detailed
513 spatial analyses.

514

515

516 *3.1.2.3 Operational protection*

517

518 To facilitate the implementation of biodiversity policy, seven counties performed,
519 commissioned by the Government in 2005, a pilot project during two years. The
520 aim was to develop regionally adapted landscape strategies, i.e. working
521 arrangements and planning processes for conservation and sustainable use of
522 natural resources from a holistic and cross-cutting perspective at a local landscape
523 level (Ihse and Oostra 2009). The seven pilot areas ranged from the mountains to
524 regular managed forests in urban and rural areas. The areas also represented
525 different phases in the development of collaboration, from recently initiated local
526 cooperation to well developed collaboration based on ecological knowledge on
527 biological diversity and committed players. As a result a handbook was produced
528 (Naturvårdsverket 2010a). Similarly, the Swedish Forest Agency summarised its
529 experiences (Jonegård 2009).

530

531 In the first evaluation of the implementation of the 900,000 ha area interim target
532 for forest protection (Regeringens Proposition 2004/05:150) the government
533 deemed that it would be difficult to reach it by the end of 2010, but also that this
534 interim target should not be changed. Also Miljömålsrådet (2007) stressed the
535 need for intensified activities to reach the area target. In line with this,
536 Statskontoret (2007) proposed that the government-owned Sveaskog Co. should
537 offer compensation areas for an estimated 60,000 ha of productive forestland with
538 identified conservation values on land belonging to industrial forest owners.
539 During 2008 the Swedish Environmental Protection Agency and Sveaskog Co.
540 agreed that about 70,000 ha of Sveaskog holdings, most of which was already set
541 aside as voluntary protection, should be set aside as nature reserves without
542 economic compensation to the company. Later, pressure to speed up the area
543 protection process prior to the parliament elections in autumn 2010 forced some
544 county administrative boards to primarily establish protected areas on forest
545 company land to reach the interim area target. The reason was that this was a
546 much easier and faster solution than negotiating with a large number of non-
547 industrial private forest owners. These two processes implied that county
548 administrative boards had to abandon their spatial planning of protected areas.

549 They exemplify how economical and political circumstances may overthrow a
550 well elaborated planning process.

551
552

553 **3.2 Development of the amount of protected areas in Sweden**

554

555 There are five kinds of formally protected and voluntary set aside areas in Sweden
556 (Table 4). They can be divided into areas formally protected by law (national
557 parks, nature reserves, biotope protection areas and conservation agreements), and
558 voluntarily protected areas.

559
560

561 **3.2.1 Productive lowland forests**

562

563 According to the first systematic review of formally protected areas
564 (Naturvårdsverket 1992), about 0.5% of the productive forests below the
565 mountain forest region was formally protected in 1991. By 1997, 0.8% (174,000
566 ha) of the productive forest was formally protected (SOU 1997b). It was further
567 estimated that about 4% of productive forests had high conservation value. From
568 1999 to 2006, almost 150,000 ha of forests were converted from industrial
569 forestry to biodiversity conservation areas, including about 116,000 ha as nature
570 reserves, 13,000 ha of habitat protection areas and 18,000 ha of conservation
571 agreements (Statskontoret 2007). During the period 1999-2006 the average size of
572 created nature reserves was 215 ha, ranging from 75 ha in Blekinge County in the
573 south to 842 ha in Norrbotten County in the north (Statskontoret 2007). By the
574 end of 2008, the forest protection figures had increased to 206,500 ha nature
575 reserves, 16,500 ha habitat protection areas and 21,500 ha of conservation
576 agreements (Prop. 2008/09:214 page 42). In other words 61% of the interim target
577 for formal protection in the short-term had been reached 2 years before the 2010
578 deadline. To speed up the process of reaching the interim target, the government
579 reserved in 2010 up to 100,000 ha productive forest land from Sveaskog Co. to be
580 transferred to the state for use as a pool for forest land replacement when creating
581 protected areas on private land (Regeringens proposition 2009/10:169). However,
582 the work to formally delineate and designate the areas as protected still remained
583 to be done. By the end of 2010, 80% of the interim target for formal forest
584 protection had been reached (Fig. 2).

585

586 The figures on voluntarily protected areas are less precise than the formally
587 protected areas. Voluntary set-aside of forest began in the early 1990s. By 1998
588 the area of voluntarily protected forests with conservation values was estimated at
589 230,000 ha below the mountain forest region (Skogsstyrelsen 1998a, b). Ten years
590 later, in 2008, the Swedish Forest Agency (Skogsstyrelsen 2008:6) reported that

591 about 936,000 ha was voluntarily set-aside for conservation below the mountain
592 forest region. Skogsstyrelsen (2008:7) estimated that 72-80% (i.e. 674-749,000
593 ha) of the voluntary set-asides actually had significant nature conservation values.
594 The numerical interim target of 500,000 ha voluntarily set aside forest was thus
595 probably reached by the end of 2010. Presently the plan is that after 2010 the total
596 area of voluntarily set aside forest will not increase anymore, but a continued
597 exchange of forest areas with low conservation values for areas with higher
598 conservation value is expected.

599
600 All in all, from 1997 to 2006 the proportion of formally protected productive
601 forests below the mountain forests increased from 0.8% to 1.4%, and the
602 voluntary set-asides increased from about 1.4% to about 3.2%. The total area of
603 formally protected and voluntarily set aside areas outside mountain forests thus
604 rose from 2.2% in 1997 to 4.6% in 2006. By the end of 2010, these figures had
605 increased to 2.6% and 3.3%, respectively, i.e. in total 5.9%. The increase in
606 formal protection and voluntary set-asides for the period 1991-2010 is
607 summarised in Fig. 3.

608
609

610 **3.2.2 Mountain forests**

611

612 The forests along the Scandinavian mountain range have been treated as a special
613 case during the policy process. This mountain forest region covers 3 million ha of
614 which 1.5 million ha count as productive (SOU 2009:30) and is dominated by
615 stands with low standing volume. It represents one of the last large areas with
616 natural and semi-natural forests left in the European Union. According to
617 Naturvårdsverket (1992), 265,000 ha were in *Domänreservat* (i.e. state forest
618 company protected areas), and additionally 325,000 ha were in nature reserves
619 and national parks, thus amounting to 590,000 ha (38%) with formal protection.
620 According to SOU (1997b) and Naturvårdsverket (1997) a total of about 660,000
621 ha (~43%) of the mountain forests were formally protected in 1997. At present
622 106,000 ha of mountain pine forest, 511,000 ha mountain mixed coniferous and
623 32,000 of mountain spruce forest or in total ~42% is protected (SCB 2009).
624 Skogsstyrelsen (2008:6) reported that in addition about 197,000 (13%) ha was
625 voluntarily set-aside in the mountain forest region. However, knowledge of the
626 existing conservation values was poorer in the mountain forest, but in general
627 both the conservation value and size of set-aside stands were larger than in other
628 forest regions. Summarising, 55-56% of the mountain forest region's productive
629 forest is currently formally protected or voluntary set-aside for conservation
630 purposes.

631

632

633 **3.3 Case study: Analyses of habitat network functionality**

634

635 On average 15% of the pixels belonging the four different forest habitats formed
636 functional habitat networks that satisfied the requirements of the selected umbrella
637 species (Fig. 4). However, there were significant regional differences among the
638 four forest habitats in the different boreal ecoregions (Fig. 4). In general, the
639 functionality of old spruce forest was highest (15-42%) among the four forest
640 types, especially in the mid and south boreal ecoregion. The proportion of
641 functional old pine forest was highest (42%) in the north boreal ecoregion and
642 considerably lower (5-14%) in the three other ecoregions. Regarding old
643 deciduous forests, the highest percentage of functional networks occurred in the
644 hemiboreal forest ecoregion (21%), where it was two to four times as high as in
645 the other ecoregions. Hence, in general, habitat network functionality for
646 coniferous forests was better than for deciduous forests. Finally, the functionality
647 of forest-field edge habitat was generally very low (0-11%). Overall, only a small
648 proportion of the four forest habitats of high conservation value were functional
649 for demanding focal species.

650

651

652 **3.4 Case study: Planning processes among forest owners**

653

654 The Swedish model for biodiversity conservation is built on a shared
655 responsibility among landowners, the forest industry and the government. Another
656 backbone is the principle of each sector's responsibility for the environment, with
657 focus on the activities within each sector (see Regeringens proposition
658 1990/91:90). However, according to the interviews made with 25 forest and
659 conservation planners in the same study area as used for spatial modelling, we
660 could not trace this shared responsibility at the landscape or regional level. In
661 addition, no single stakeholder claimed they shouldered a "full territorial"
662 responsibility for conservation planning in an area except their own forest. The
663 Swedish Forest Agency claimed responsibility for biodiversity conservation in
664 forests. However, their work was focused mainly on identification of red-listed
665 species and to some extent specific habitats, and they did not perform advanced
666 spatial analyses. The county administrations claimed a responsibility for protected
667 areas in the county. Their work was also mainly connected to red-listed species, as
668 well as habitat protection. Based on national level strategies, each county
669 administration developed a county level strategy as a base for formal forest
670 protection. However, neither the county administrative boards nor the forest
671 agency provided any support to spatial planning for forest planners on the ground.

672

673 Foresters stated that they experienced the forest policy from the early 1990s -
674 which equalled ecological and economical objectives - as a shock but
675 subsequently an understanding and acceptance for the equalled objectives have

676 developed. Collaboration among conservation planners and stakeholders
677 concerned mainly identification of red-listed species and to a lesser degree
678 identification of specific habitats, but not habitat requirements for umbrella
679 species, or any other species in a quantitative manner. None of the interviewed
680 organisations expressed knowledge about how much habitat different species
681 required or about the long term success of their conservation efforts. Sveaskog
682 Co. had experience and knowledge in landscape ecological planning to create
683 functional habitat networks in landscapes and ecoregions on their own land using
684 their Ekopark concept (Angelstam and Bergman 2004). There was, however, no
685 general collaboration that aimed at spatial planning of functional habitat networks
686 in areas spanning multiple owner categories. Other large industrial forest owners
687 used landscape scale planning to some extent on their own land, but rarely
688 collaborated with neighbours in this work. Participation or involvement of
689 stakeholders in conservation was limited to information with the aim to avoid
690 conflicts. This was mainly done in areas close to cities, used for recreation and
691 close to where people lived and where the view could be affected by final fellings.
692 The interviews showed that there were no efforts to involve the public in
693 collaborative learning processes, to develop socially robust solutions for
694 conservation or to develop a common knowledge base among different
695 stakeholder groups. No conservation planner used an analytic approach to map all
696 relevant stakeholders for consultations. Instead stakeholders were invited via
697 newspaper ads, bills on information boards and invitations to people living in the
698 affected areas.
699
700

701 4 Discussion

702

703 The conclusions regarding the need for protected areas in the long term made in
704 Sweden in the late 1990s were a consequence of Swedish and international
705 policies and targets, which were combined with results from scientific research
706 about forest ecology and conservation biology. This means that society has taken
707 a clear value-based stand in favour of evidence-based science regarding
708 biodiversity conservation, which then allows for the use of knowledge about how
709 much habitat species need in the policy implementation process (see Wilhere
710 2008). Following an evidence-based regional quantitative gap analysis that
711 focused on the amount of habitat in each ecoregion, there was a straight chain of
712 decisions from the short-term interim target for protected areas decided by the
713 parliament, a government decision, strategies by governmental agencies, and to
714 the regional administrations' tactical planning to mitigate habitat fragmentation
715 through spatial planning, as well as operational planning for designation,
716 management and restoration of formally protected forests. Additionally, forest
717 owners voluntarily set aside stands. In order to promote efficient conservation
718 results on the ground, it is important that these three levels are interconnected and

719 that the data and analytical results of landscape planning reach the operative level
720 in a usable format (e.g., Borgström et al. 2006). Tear et al. (2005) proposed five
721 principles for setting conservation objectives: (1) state clear goals, (2) define
722 measurable objectives, (3) separate science-based knowledge from the feasibility
723 to apply it, (4) follow scientific method and (5) anticipate change. Our review of
724 the process to implement the biodiversity conservation policy in Sweden shows
725 that it was indeed consistent with these five principles.

726
727 Following policy statements to maintain viable populations of all naturally
728 occurring forest species, ecologically and biologically founded strategic
729 quantitative long-term forest protection targets were formulated based on a
730 quantitative gap analysis for the country's main ecoregions (Table 3). The target
731 group for the gap analysis was policy makers and strategic planners. The
732 difference between the long term policy goal for protected areas based on the
733 quantitative gap analysis regarding forests below the mountain forest region (on
734 average 10% across all ecoregions) on the one hand, and what was protected in
735 1997 (approximately 0.8%) on the other, was very large. Hence, it was evident
736 that the gap in the amount of protected areas needed to be filled by additional area
737 protection including existing non-protected forests with high conservation value,
738 which were estimated to about 5%. This corresponds to the short-term interim
739 target of 900,000 ha for forest protection 1998-2010 (Regeringens Proposition
740 1997/98:145, 2000/01:130), and a long-term restoration target of an additional
741 4%, thus totally about 10%.

742
743 By the end of 2010 the short-term target (400,000 ha) for formal protection below
744 the mountain region was reached to 80%, and the voluntary set-aside target
745 (500,000 ha) was estimated to be reached, albeit with poorly known quality. To
746 fill the gap for formal protection (80,000 ha), a pool of Sveaskog Co. land
747 (100,000 ha) was made available. However, the economic value of this forest was
748 estimated to be lower than average, and it is thus uncertain if it is sufficient to
749 purchase the 80,000 ha missing to reach the short-term interim target. To
750 conclude, while the political will might be there and the support provided by the
751 Sveaskog was very important, the interim area target was not fully reached.
752 Additionally, there are at least three caveats as to reaching the policy target in
753 terms of maintenance of viable populations of all naturally occurring species in
754 the long term.

755
756 Firstly, judging from estimates of the area of high conservation value forests,
757 there is not much forest left with high conservation value below the limit of
758 mountain forest to set aside for biodiversity conservation in addition to the 5.9%
759 formally protected and voluntarily set aside forests as of the end of 2010.
760 According to the estimates made in the 1997 gap analysis there was, below the
761 mountain forest, about 3.2% unprotected productive forest with high conservation
762 value. Additionally there was 0.8% already protected forest, and an estimated
763 0.9% was voluntarily set-aside. This makes a total of 4.9%. The difference of 1
764 percent unit suggests that also forests without high conservation value have also

765 been set aside. Compared with the long-term estimated goal of 10% (Figure 3),
766 the conclusion is that to realize the forest and environmental policy intentions,
767 there is a need to restore additional habitats through various forms of nature
768 conservation management, restoration and re-creation (Angelstam and Andersson
769 2001; see Table 3). In addition there is a growing need of management and forest
770 restoration also within already protected areas, a need that will increase as forests
771 with a lower initial habitat quality will be set aside in the future to meet the long
772 term protection targets. This denotes a shift in the view of management of
773 protected forests, and has caused considerable debate (Naturvårdsverket 2010b),
774 but is consistent with the international discussion on landscape restoration
775 (Mansourian et al. 2005).

776
777 Secondly, it is unclear how much of different forest biodiversity qualities the
778 formally protected and voluntary set-aside areas actually provide. Habitat quality
779 today, location relative to core areas of connectivity, and long term maintenance
780 of the quality by renewal of habitats are three factors. In addition it is unclear to
781 what extent the formally protected and voluntarily set aside areas are
782 representative in terms of forest types; some types are likely overrepresented and
783 others not fully covered (Nilsson and Götmark 1992). Research clearly indicates
784 that, among other things due to small population sizes, edge effects and historical
785 impact of forestry the formally protected and voluntary set-aside forests may not
786 provide habitat that support viable populations in the long term. This applies
787 specifically to the voluntary set-asides (Aune et al. 2005, Jönsson and Jonsson
788 2007, Hottola, 2009, Hottola and Siitonen 2008). It is also in many cases unclear
789 for how long the commitment of voluntary set-asides will last (see Table 4). There
790 is in other words, a great need for a deeper evaluation of the quality of and
791 formally protected and voluntary set-aside areas as well as the extent to which the
792 form functional habitat networks (Elbakidze et al. 2011).

793
794 Thirdly, one must assess the functionality of areas of different forest environments
795 as habitat networks at the landscape and regional levels; in other words the spatial
796 distribution and configuration of all these areas. The 5.9 % of Sweden's formally
797 protected and voluntary set aside forest areas outside of mountain forests (as of
798 2010) form a sparse archipelago of often isolated habitat islands. The habitat
799 network functionality for conservation of viable populations, given that
800 constituent patches have high conservation value, need to be assessed with respect
801 to (1) habitat islands' (i.e. patch) size, (2) how close together habitat patches of
802 the same forest type are located, and (3) the characteristics of the surrounding
803 landscape matrix. There is thus a need to understand the trade-off between
804 establishing new protected forests area that need restoration and what can be
805 achieved by increased nature conservation in the managed matrix (Craig and
806 Mitchell 2000, Lindenmayer and Franklin 2002). On the other hand, there is a
807 growing interest in intensified forestry to increased wood and bioenergy yields
808 (Larsson et al. 2010). On the basis of the information provided for the State of
809 Europe's Forests 2011, four major challenges for the sustainable forest
810 management of Europe's forests have been identified (Forest Europe 2011) as

811 being climate change, wood for energy, conservation of forest biodiversity and
812 green economy. This implies major challenges in identifying the viable options
813 for biodiversity conservation and critical ecological analysis of these options.
814

815 Thus, effectiveness in policy implementation as percent of a region and hectares
816 in an area is one thing, while functionality in terms of providing habitat for viable
817 populations is quite another (Carwardine et al. 2009). The case study exploring
818 the functionality of four different networks of critically important forest habitats
819 (old spruce, old deciduous, old pine and forest-field edge) reported here
820 unfortunately shows that the functionality of habitat networks is not favourable.
821 Additionally, the reported levels of functionality may still be overestimates. The
822 forest data based on remote sensing used to describe habitat is thematically coarse
823 and spatially uncertain especially at the finer scale (Reese et al. 2003, Manton et
824 al. 2005). While for coarse habitat categories (e.g., managed forest age classes)
825 and at larger spatial scales these data are quite reliable (e.g., Bach et al. 2006),
826 they may overestimate the habitat quality and connectivity for more specialized
827 organisms (e.g., species linked to old-growth forest).
828

829 Additionally, our interviews consistently showed that forest owners and planners
830 did not plan for forest biodiversity conservation spatially across ownership
831 borders with the aim to improve connectivity. It can also be noted that despite the
832 fact that there are many different land owners in Sweden, there are few forest and
833 conservation planners. Nevertheless, results from interviews with forest and
834 conservation planners showed that they had positive attitudes to the conservation
835 of biological diversity, but very limited knowledge and capacity to act effectively.
836 This is consistent with studies of biodiversity conservation planning made using
837 the same framework in another case study (Blicharska et al. 2011). There is thus
838 opportunity to develop the shared responsibility that conservation is supposed to
839 be built on according to Swedish policy. More or less all forest planners and some
840 conservation planners were also skilled users of Geographical Information
841 Systems. This means that tactical spatially explicit plans, adapted to the local
842 context, such as land owners, forest type and site type could provide useful
843 information, and potentially be integrated in forest and conservation planning
844 processes. However, this requires a collaborative learning process among
845 stakeholders to assure acceptable and socially robust solutions. An important task
846 for a collaborative learning process is thus to improve the understanding of
847 different stakeholders' opportunities, and the content of forest and conservation
848 policies.
849

850 Long term biodiversity conservation requires a combination of maintaining
851 existing conservation values, conservation management, restoration and re-
852 creation of different forest habitats that all need to form sufficiently large and
853 functionally connected networks that represent different ecoregions. This is in line
854 with the programme of work on protected areas established by the Convention of
855 Biological Diversity (CBD 2004). The international target for protected areas by
856 2020 was recently agreed on as 17% of all terrestrial habitats, with a clear

857 reference to ecological representativity (i.e. the CoP 10 decisions, Nagoya 2010
858 (CBD 2010)). Conserving biological diversity spans a range of ambitions from
859 presence of species in the short term, maintaining viable populations of all
860 indigenous species in the long term to ecological integrity, and to social-
861 ecological resilience (Angelstam et al. 2004d, Svancara et al. 2005). During the
862 past 20 years in Sweden the focus has been to conserve species in the short term
863 through the provision of small patches of protected forest areas, voluntary or
864 formally. The long term goal to preserve all naturally occurring species in viable
865 populations, according to Swedish forest and environmental policies is a much
866 higher level of ambition (Angelstam et al. 2011). EU-level policies pronounce
867 even higher levels of ambition such as ecological integrity and resilience (e.g.,
868 European Commission 2000, 2010, Kettunen et al. 2007). Increasing ambition
869 levels of biodiversity conservation require increased amounts of habitat (Svancara
870 et al. 2005, Angelstam et al. 2011). We agree with Rompré's et al. (2010)
871 conclusion that management approaches that combines thresholds to maintain
872 managed landscapes within their limits of natural variability is a promising
873 avenue.

874
875 To conclude, the existing areas of high conservation value forests in Sweden are
876 presently too small and too fragmented in relation to the current forest and
877 environmental policy ambitions. Bridging this gap requires continued protection,
878 management and restoration to create representative and functional habitat
879 networks. This calls for the establishment of neutral fora and platforms for
880 collaboration and partnership development to improve integration among different
881 actors. The term 'integrated landscape approach' captures this (World Forestry
882 Congress 2009).

883
884

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886

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893

894

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1242

1243 Table 1. Definitions of the land cover variables and parameter values used for modelling of the functionality of habitat networks of
 1244 four coarse forest types. Evidence-based knowledge about representative focal species was used to select appropriate land cover data,
 1245 define habitat themes, select sufficiently large patches and create rules for defining tracts in the landscape with a high probability of
 1246 occurrence of local populations.
 1247

Coarse forest and woodland type	Focal species	Land cover data base	Definition of habitat theme and resource density using land cover data	Minimum stand size	Rules for creating tracts (% patches and neighbour-hood size)
Old spruce	<i>Picoides tridactylus</i> (L.)	k-NN Sweden (1)	Spruce and conifer mixed forest >70 years except for over 70% pine or deciduous forest over 70 years (3)	10 ha	25 % 4 km ²
Deciduous succession	<i>Aegithalos caudatus</i> (L.) and <i>Dendrocopos minor</i> (L.)	k-NN Sweden (1)	Deciduous forest over 40 years or mixed forest with minimum 20% deciduous > 40 years (4, 5)	7 ha	15 % 1 km ²
Old pine	<i>Tetrao urogallus</i> (L.)	k-NN Sweden (1): SMD (2)	1* pine older than 70 years; 0.8* conifer older than 70 years. k-NN Sweden and SMD: 0.5* pine and conifer mixed older than 40 and younger than 70 years or 0.5 * forest on mire (6)	200 ha	25 % 16 km ²
Forest-farmland edge	<i>A. caudatus</i> (L.) and <i>D. minor</i> (L.)	SMD (2) and topographic data base	Deciduous (SMD class 40), mixed (SMD class 48) forest in 200-m wide farmland buffer into the forest mask (4, 5)	1 ha buffered pixels	20 % 2 km ²

1248

1249 (1) k-NN (k-Nearest Neighbour), Reese et al. (2003); (2) SMD (Svensk MarktäckeData), Engberg (2002); (3) Bütler et al. (2004a,b);
1250 (4) Jansson and Angelstam (1999); (5) Wiktander et al. 2001; (6) Angelstam (2004)
1251

1252 Table 2. Summary of concepts associated to quantitative regional gap analyses
1253 concerning the proportion of a forest habitat or attribute that needs to be
1254 conserved (including protection, management and restoration) to maintain viable
1255 populations in an ecoregion.

1256
1257
1258

Variable	Description
A	The amount of a particular forest environment which species have adapted to in the region ^a
B	Today's amount
A-B	Representation
C	Performance target or norm based on knowledge about the proportion out of the area of a particular natural forest environment required for retaining a viable population;
A*C	Long- term target for the amount of a particular forest environment
B - (A*C)	Gap (if the value is negative)

1259

1260 ^a in naturally dynamic boreal forest landscapes (Pennanen 2000), or traditional
1261 cultural landscape (Erixon 1960).

1262 Table 3. Summary of results of the quantitative gap analysis concerning
 1263 productive forests below the mountain forest in Sweden (SOU 1997:98, Bilaga 4,
 1264 page 5). Using general threshold value of 20% as a target for the necessary
 1265 amount of remaining habitat in the long term the following steps were taken: (I)
 1266 individual assessment of 12 natural forest and 2 cultural woodland types
 1267 according to their expected occurrence in the different ecoregions and (II)
 1268 assessment of which of these forest types managed landscapes can deliver. The
 1269 remainder (III) became the long-term target for set-aside of forests to maintain
 1270 viable populations of naturally occurring species. This long-term target is satisfied
 1271 by summing up (IV) the already protected area in 1997, taking into account (V)
 1272 the nature values created by nature consideration and landscape planning in
 1273 regular forest management, setting aside (VI) forests and woodlands with high
 1274 nature values that were not protected, (VII) including the area of wooded
 1275 grasslands of the cultural landscape, and finally (VIII) restore habitat by nature
 1276 conservation management.
 1277

Item	Description	Average proportion and regional variation of productive forests below the mountain forest region in % of 218,800 km ²
I	Threshold rule of thumb (C in %; see Table 2)	≈20
II	Forest environments without needs for forest protection (%) (PG)	10 (4-12)
III	Long-term goal (%) with sub-components IV-XIII below	10 (8-16)
IV	Formally protected area 1997 (%)	0.8 (0.4-1.6)
V	Reduction of the need for forest protection due to functional nature considerations at the stand level (%) (PF/K)	0.9 (0.3-1.69)
VI	Short-term goals defined by existing unprotected forests with high conservation value (%) (NO and NS)	3.2 (1.9-3.5)
VII	Wooded grasslands in cultural landscape (%)	0.8 (0-2.2)
VIII	Restoration needs (%) (PF/K)	≈4 (3-11)

1278
 1279

Table 4. Types of formally protected and voluntary set-aside areas (partly from Statskontoret (2007: 33)).

	Nature reserve and forested parts of national parks	Biotope protection	Conservation agreement*	Voluntary set-asides
Establishment	National park 1909 Nature reserve 1964	1998	1993	1991
Aim	Conserve and develop nature of high value for plants, animals and people	Conserve smaller terrestrial or aquatic habitat for threatened plants and animals	Conserve and develop qualities for biodiversity	A complement to formal protection to satisfy the 900,000 ha target with forest with as high conservation values as possible
Size	Usually >20 ha	<20 ha	variable	>0.5 ha
Area target 1998-2010	320,000 ha	30,000 ha	50,000 ha	500,000 ha
Decision by	County Administrative Board, Municipality	Forest Agency	Land owner and Forest Agency or Municipality	Land owner
Duration	Forever	Forever	30-50 yrs	unknown
Transparency	Full	Full	Full	Variable
Level of protection	No wood harvest, management only to maintain and develop conservation values	No wood harvest, management only to maintain and develop conservation values	Wood harvest refrained; does not regulate management, but objectives are formulated in the agreement	No protection. The forest owner may, however be committed by forest certification rules for one standard revision cycles (i.e. 5 years for FSC)
Right of seller	May sell, keep with economic compensation, or get compensation land. Hunting right can be kept.	Keep with economic compensation, and hunting rights	Keep with lower compensation according to agreement	Keep land.

* Skogsstyrelsen, Riktlinjer för Skogsstyrelsens arbete med naturvårdsavtal i skogen, protokoll nr 270, dated 2006-12-20; Naturvårdsverket, Vägledning för länsstyrelsernas arbete med naturvårdsavtal, 2007.

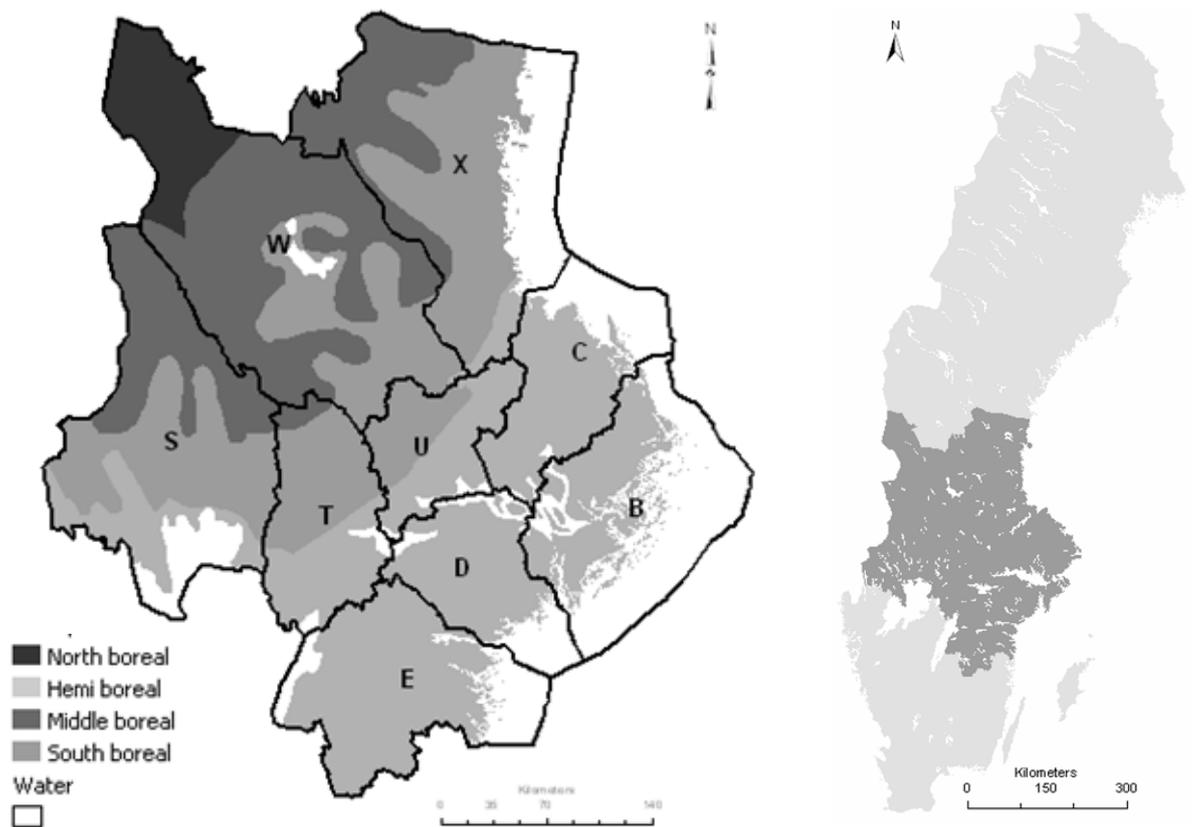


Figure 1. Maps of case study area (left, 144,877 km²) in Sweden (right) to assess functionality of patches of three natural forest types and one cultural woodland type. The area was chosen to encompass four different boreal ecoregions, and covers nine counties Stockholm (B) (16,640 km²), Uppsala (C) (12,006 km²), Södermanland (D) (8,754 km²), Östergötland (E) (14,624 km²), Värmland (S) (21 923 km²), Örebro (T) (9,685 km²), Västmanland (U) (5,690 km²), Dalarna (W) (30,405 km²) and Gävleborg (X) (25,150 km²) in south-central Sweden.

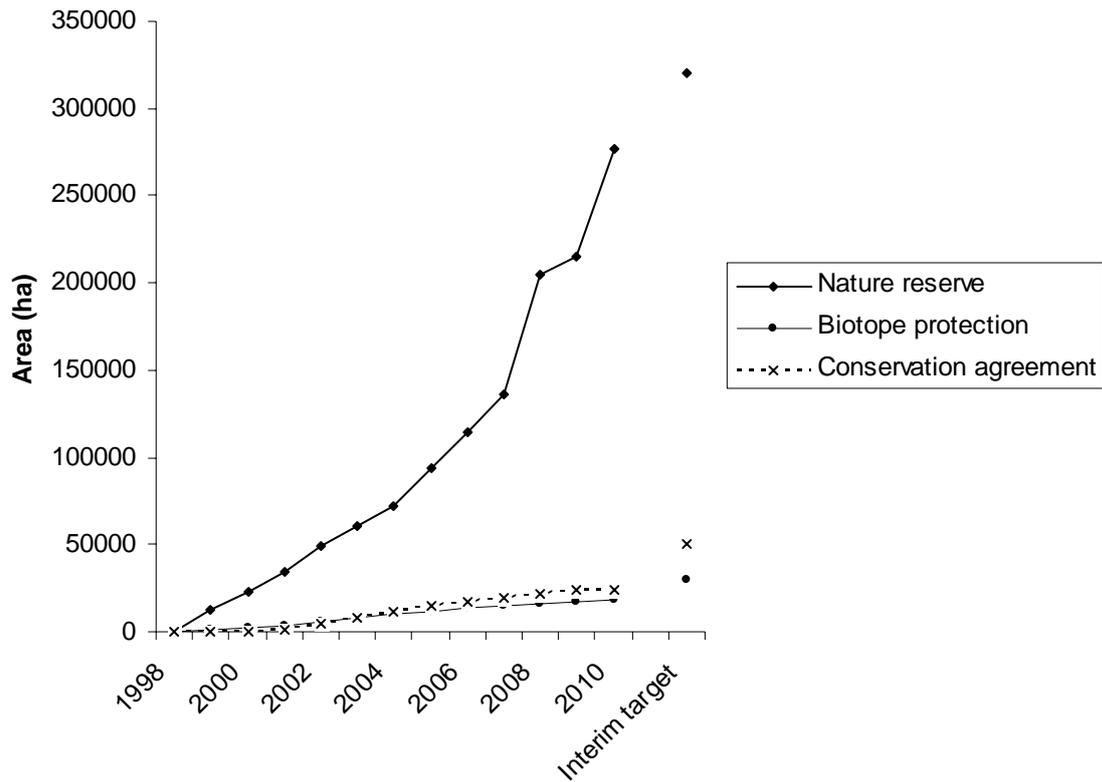


Figure 2. Development of the progress toward the interim target to be reached by the end of 2010 of formally protecting 400,000 ha in the form of nature reserves (87% fulfilled of 320,000 ha), biotope protection (60% of 30,000 ha) and conservation agreements (48% of 50,000 ha) in Sweden 1999-2010. Overall 80% of the 400,000-ha target was fulfilled by the end of 2010. Data from www.miljomal.nu (visited 2011-07-11).

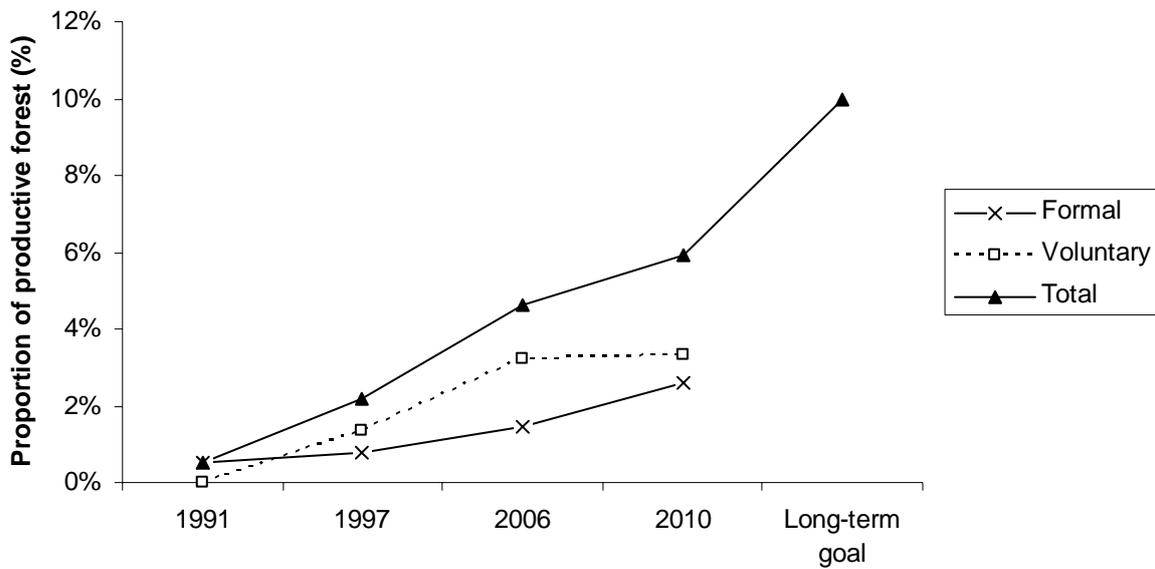


Figure 3. Development of the amount of formally protected and voluntarily set aside areas on productive forest land below the mountain forest in 1991 (Naturvårdsverket 1992:7), 1997 (SOU 1997a,b), 2006 (Regeringens proposition 2008/09:41), in 2010, and short-term goal according to SOU (1997a,b) with the objective of maintaining viable population of naturally occurring species. The voluntary set-asides from 2006 include forests with variable conservation values. Note that according to the estimates in the 1997 regional gap analysis there were 4.9% natural forest and cultural woodland areas. Hence, restoration is needed to reach long-term goal of 10%.

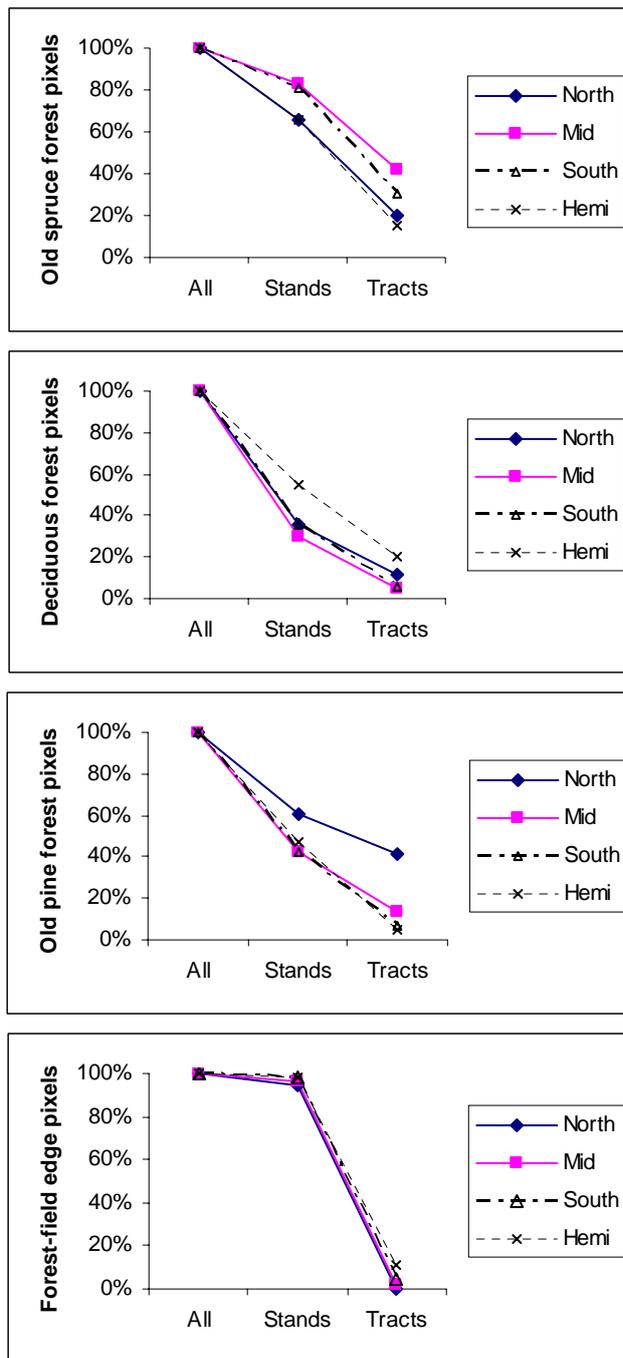


Figure 4. Results from modelling of habitat network functionality for four coarse forest types. The graphs show the proportion in percent of all 25x25 pixels of four coarse forest and woodland types which are located in sufficiently large stands for the focal species, and in functional tracts of habitat.