

## Article

# Environmental Impacts of Boom-Corridor and Selectively Thinned Small-Diameter-Tree Forests

Teresa de la Fuente <sup>1</sup>, Dan Bergström <sup>2</sup>, Raul Fernandez-Lacruz <sup>3</sup>, Teppo Hujala <sup>4</sup>, Nike Krajnc <sup>5</sup>, Ruben Laina <sup>1</sup>, Tomas Nordfjell <sup>2</sup>, Matevz Triplat <sup>5,6</sup> and Eduardo Tolosana <sup>1,\*</sup>

<sup>1</sup> Department of Forest and Environmental Engineering and Management, Universidad Politécnica de Madrid, ES-28040 Madrid, Spain; maria\_teresa\_fuente@yahoo.com (T.d.l.F.); ruben.laina@upm.es (R.L.)

<sup>2</sup> Department of Forest Biomaterials and Technology, Swedish University of Agricultural Sciences, SE-90183 Umea, Sweden; dan.bergstrom@slu.se (D.B.); tomas.nordfjell@slu.se (T.N.)

<sup>3</sup> The Forestry Research Institute of Sweden (Skogforsk), SE-91821 Savar, Sweden; raul.fernandezlacruz@skogforsk.se

<sup>4</sup> Faculty of Science and Forestry, School of Forest Sciences, University of Eastern Finland, P.O. Box 111, FI-80101 Joensuu, Finland; teppo.hujala@uef.fi

<sup>5</sup> Slovenian Forestry Institute, SI-1000 Ljubljana, Slovenia; nike.krajnc@gozdis.si (N.K.); matevz.triplat@gozdis.si (M.T.)

<sup>6</sup> Department of Forestry and Renewable Forest Resources, Biotechnical Faculty, University of Ljubljana, SI-1000 Ljubljana, Slovenia

\* Correspondence: eduardo.tolosana@upm.es

**Abstract:** European forest stands of small-diameter trees can provide industries with biomass as an alternative to fossil use. Small-tree harvesting is costly using conventional methods but using accumulating felling heads (AFH) in combination with a novel boom-corridor thinning (BCT) technique can increase harvester productivity and supply cost efficiency. This method has great potential to reduce costs, but its environmental impact compared with selective thinning (ST) needs to be determined. The objectives of this study were therefore to quantify and compare tree and soil damage as well as air, water and soil emissions for both BCT and ST in various European small-diameter-tree forests. Trials were performed in 84 study units (42 replications per thinning technique) across four countries. Damaged trees (with a diameter at breast height  $\geq 7$  cm) were measured after thinning and after forwarding. Harvesting emissions were calculated from a life cycle assessment. The percentage of remaining trees that had been damaged by the harvesting processes was 13% and 19% for BCT and ST, respectively, and the difference was significant. BCT exhibited the lowest emissions in all environmental impact categories considered, in all countries. Greenhouse gas emissions were on average 17% lower for BCT. BCT in small-diameter-tree stands therefore reduces the environmental impact of thinning operations compared with conventional methods, and results in less damage to the remaining trees.

**Keywords:** first thinning; harvesting damages; GHG emissions; forest biomass; forest operations



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## 1. Introduction

In Europe, the forest area, growing stock and net annual wood increment have increased by 9%, 50% and 25%, respectively, since 1990 [1]. Today, 35% of European land area is covered by forests [1], although in Finland, Sweden and Slovenia the proportion of forest area is much higher, being 75%, 69% and 58%, respectively [1,2]. In Spain, 37% of land is covered by forests [3], which increases to 55% (based on 2019 data) if shrubland is included [4]. Across Finland, Sweden, Slovenia and Spain, large areas of small-diameter trees and dense stands are present within the forested areas [5–9], representing a currently underutilized biomass arising from young and dense forest stands that could boost the growing bioeconomy as a source of renewable energy and bio-based materials.

The amount of wood growing in Europe is greater than the amount being harvested annually: around 73% of the net annual increment is felled [1]. However, this alone does not ensure the sustainability of wood supply and forest ecosystems. Contingency is needed to accommodate disturbances, such as forest fires, windstorms, snowfalls or pests, and it is becoming increasingly important to actively manage forest areas, including young forests, in order to minimize damage and loss and maximize productivity.

Common forestry practices on young and dense stands include pre-commercial thinnings in northern countries and forest fire prevention treatments in southern countries [10–12]. In general, neither of these conventional practices collect and extract forest biomass. An alternative is the use of multi-tree handling with accumulating felling heads (AFH) and the extraction of whole trees (integrating pulpwood and energy wood sections). AFH can be applied with conventional selective thinning (ST) or with the novel boom-corridor thinning (BCT) method [13]. With BCT, the trees are felled by a linear movement of the harvester's booms along narrow, up to 2 m wide, corridors, instead of selecting individual trees to be cut, as in ST [14]. BCT increases harvester productivity by 16% compared with ST in young and dense small-diameter-tree stands [15], while simultaneously fulfilling future production goals [16,17]. Witzell et al. [18] has suggested that BCT could also promote higher biodiversity indexes than ST. However, any residual stand damage and harvest emissions caused by BCT have yet to be evaluated.

Abdullah et al. [19] suggested that the risk of damaging residual stands is higher during thinning operations, and Sinclair et al. [20] suggested that damage to tree butts facilitates fungal attack. Standing trees damaged by harvesting operations are susceptible to fungal decay [21] and, if a fungal attack is severe enough, the tree may even die. More decay is seen in non-resinous tree species than in resinous species, because on the latter the fresh wounds are often covered by the resin [22]. The risk and severity of fungal decay therefore depends on wound intensity, wound location, tree size, species [23,24] and, in northern climates, the ambient temperature at the time of harvesting, and it is important to minimize the degree of damage to the standing trees. Harvesting operations can also damage forest soils by compacting them. The presence of rutting indicates that the forest machinery has exceeded the ground-bearing capacity of the forest soil [25], and soil compaction can increase soil penetration resistance, reducing root growth [26]. All these factors negatively affect soil ecology, forest productivity and forest regeneration [27].

When evaluating the environmental performance of harvesting operations, fossil fuel consumption and emissions also need to be taken into consideration. The more fossil fuel a harvesting process requires per unit of mass harvested, the less the potential climatic benefit provided by the harvested biomass. As well as machine design and engine technology, the operational method used influences fuel consumption [28]. Life cycle assessment (LCA) is a standardized methodology that evaluates the environmental impact associated with production systems [29]. LCA can be used to analyze and compare different harvesting systems and working methods with respect to energy and resource consumption, as well as associated emissions into the air, water and soil, tied to system performance and the final forest product [30]. The functional unit is the reference flow regarding which inputs (e.g., materials and energy) and outputs (e.g., air, water and soil emissions) are to be reported, as well as the final environmental results [29]. A functional unit based on oven-dry tonnes (ODt) can be used to compare different harvesting technologies and processes, and other woody systems can also be assessed from an LCA perspective [31–35]. The results from an LCA can then be used in decision-making strategies to inform system and working method choices by evaluating both the productivity and potential environmental impact of alternative working practices. Damage to the soil and trees and fossil fuel consumption should all be minimized to ensure sustainable forest production and healthy forest ecosystems.

Because BCT is a novel technique, as yet little is known about its environmental impact. The aim of this study was to quantify and compare tree and soil damage and thinning emissions from BCT and ST in small-diameter-tree stands with various characteristics in

Sweden, Finland, Slovenia and Spain. The results will shed light on whether BCT with AFH can contribute to the environmental sustainability of small-diameter tree thinning. Moreover, the results will inform further development of environmentally sound dense-stand management in various types of European forests.

## 2. Materials and Methods

### 2.1. Study Area, Equipment and Machine Operator

Trials were performed between autumn 2019 and autumn 2021 at eight field study locations across four European countries: Bräcke, Sweden (62°48'34" N, 15°27'49" E); Kontiolahti, Finland (two stands: 62°58'21" N, 29°42'38" E; 62°58'11" N, 29°42'44" E); Mozelj and Onek, Slovenia (three stands: 45°36'01" N, 14°57'18" E; 45°37'46" N, 14°55'38" E; 45°37'55" N 14°56'00" E); and Villardeciervos, Spain (two stands: 42°25'34" N, 6°18'49" W; 42°26'39" N, 6°20'56" W). A total of 84 study units (20 in Sweden, 12 in Finland, 32 in Slovenia and 20 in Spain) was marked out, each approximately 1000 m<sup>2</sup> (approx. 50 × 20 m). The characteristics of the pre-thinning stand and harvested trees are shown in Tables 1 and 2. Half of the study units in each country were assigned BCT, and half ST, resulting in 42 units per thinning technique. Thinning was carried out according to Bergström et al. [13], using the same harvester and operator at all sites. The width on each side of the strip road corresponded to the harvester's crane reach (approx. 10 m). The total thinned area was 8.2 ha.

**Table 1.** Pre-thinning stand characteristics in each country (mean values with standard deviation in parentheses). No significant differences were found between study units assigned boom-corridor thinning (BCT) or selective thinning (ST). DBH, diameter at breast height (i.e., 1.3 m above ground level).

Country	Thinning Technique	DBH (cm)	Height (m)	Trees/ha DBH ≥ 1 cm	Trees/ha DBH ≥ 7 cm
Sweden	BCT	4.2 (0.6)	5.7 (0.5)	11,890 (3914)	1960 (455)
	ST	4.3 (0.7)	5.8 (0.6)	10,590 (4013)	1930 (447)
Finland	BCT	4.6 (0.5)	6.2 (0.4)	9708 (2562)	2258 (694)
	ST	4.4 (0.6)	6.0 (1.1)	8617 (2573)	1692 (714)
Slovenia	BCT	5.0 (1.5)	7.4 (1.0)	10,778 (3287)	2094 (708)
	ST	5.3 (2.0)	7.6 (1.5)	10,038 (3282)	2069 (873)
Spain	BCT	5.1 (0.6)	4.3 (1.5)	12,330 (2659)	1865 (736)
	ST	5.5 (1.39)	4.4 (1.5)	12,445 (3221)	2060 (727)

**Table 2.** Pre-thinning distribution of tree species (DBH ≥ 7 cm) in the 0.1 ha study units, and harvested tree species, by country. BCT, boom-corridor thinning; ST, selective thinning; DBH, diameter at breast height (i.e., 1.3 m above ground level).

Species	Country	Pre-Harvest Distribution of Tree Species (N) per Thinning Technique		Number of Harvested Trees (N) per Species and Thinning Technique		Percentage (%) of Harvested Trees per Species and Thinning Technique	
		ST	BCT	ST	BCT	ST	BCT
<i>Pinus sylvestris</i>	Sweden	253	234	129	112	51.0	47.9
<i>Picea abies</i>	Sweden	32	50	19	27	59.4	54.0
<i>Betula</i> sp.	Sweden	36	45	18	30	50.0	66.7
Other broadleaves	Sweden	50	63	43	56	64.0	63.5
<i>Picea abies</i>	Finland	23	33	11	6	47.8	18.2
<i>Betula</i> sp.	Finland	179	236	135	174	75.4	73.7
Other broadleaves	Finland	1	2	1	1	100.0	50.0
<i>Tilia cordata</i>	Slovenia	132	79	50	44	37.9	55.7
<i>Betula pendula</i>	Slovenia	142	134	118	92	83.1	68.7

Table 2. Cont.

Species	Country	Pre-Harvest Distribution of Tree Species (N) per Thinning Technique		Number of Harvested Trees (N) per Species and Thinning Technique		Percentage (%) of Harvested Trees per Species and Thinning Technique	
		ST	BCT	ST	BCT	ST	BCT
<i>Fagus sylvatica</i>	Slovenia	99	139	42	53	42.4	38.1
<i>Acer pseudoplatanus</i>	Slovenia	46	24	36	12	78.3	50.0
<i>Ostrya carpinifolia</i>	Slovenia	18	46	7	31	38.9	67.4
<i>Corylus avellana</i>	Slovenia	47	78	41	66	87.2	84.6
<i>Picea abies</i>	Slovenia	101	82	34	38	33.7	46.3
Other broadleaves	Slovenia	14	9	12	6	85.7	57.9
<i>Quercus pyrenaica</i>	Spain	400	342	172	130	43.0	38.0

A Komatsu 901.4 harvester with an engine power of 150 kW, equipped with an upgraded Bracke C16.c AFH, was used in all 84 study units. The forwarder models used were a Komatsu 855.1 in Sweden, a Komatsu 845 in Finland, a Gremo 950R in Slovenia, and a Komatsu 865 in Spain.

## 2.2. Post-Thinning Damage Inventory

Soil and tree damage was assessed after thinning and before forwarding, and again after forwarding. For each study unit, after thinning, the damaged trees (DBH  $\geq$  7 cm) along the strip road and an additional 2 m width (1 m on each side) were inventoried. The strip road width was measured according to Björheden and Fröding [36], and the length of soil damage (rutting > 10 cm depth) along the strip road was also measured. After forwarding, damaged trees (DBH  $\geq$  7 cm), stump height (with a stump diameter > 1 cm) and severe damage to adjacent vegetation were inventoried in transects for each study unit (with a sampled surface area of 200 m<sup>2</sup> per unit) (Figure 1).

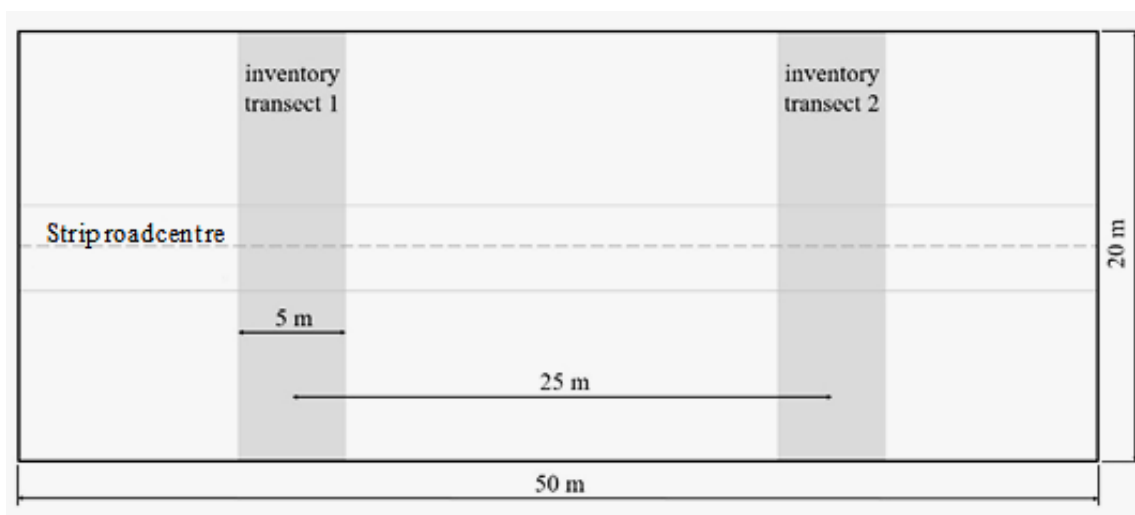


Figure 1. The layout for the study units used in the trials.

The severity of tree damage was classified into three categories: scratched bark (only the bark was affected), squeezed bark (the bark was affected, and the wound reached the sapwood without damaging it), and wood damage (the sapwood was affected). Tree damage height (<0.3 m, 0.3–1.0 m, >1.0 m) and area (<50 cm<sup>2</sup>, 50–200 cm<sup>2</sup>, 200 cm<sup>2</sup>), number of wounds per tree, cause of damage and destroyed trees (broken stem, most of the crown broken or uprooted) were also assessed and inventoried.

### 2.3. Statistical Analyses

Statistical analyses were performed using Statgraphics Centurion 18, with results regarded as significant if the  $p$ -value was  $<0.05$ . A  $t$ -test was used to assess any differences in damage characteristics between thinning techniques and country. When the data did not follow a normal distribution, a Kruskal–Wallis test (the non-parametric version of ANOVA) was used instead.

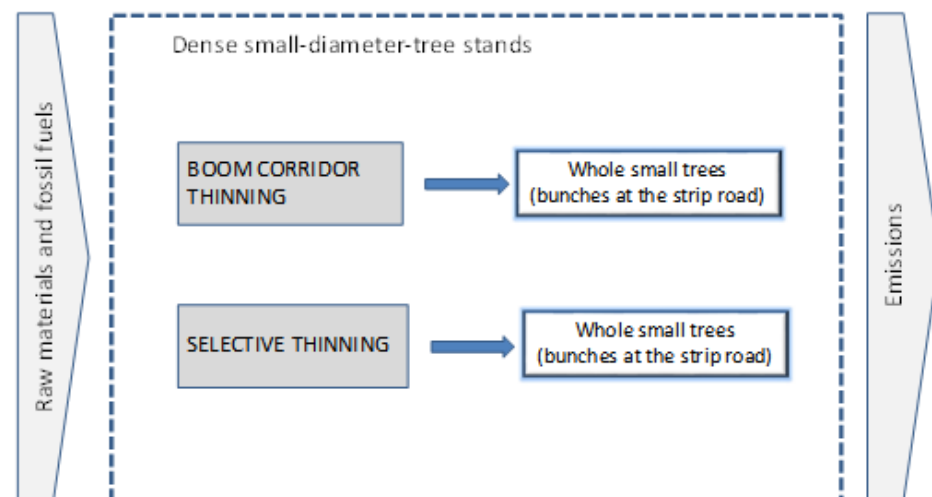
The influence of number of damaged trees, stand density, harvest intensity (trees remaining with  $DBH \geq 7$  cm), average DBH and thinning technique was investigated through multiple regression analyses.

### 2.4. Life Cycle Impact Assessment

#### 2.4.1. Goal and Scope, System Boundaries and Study Scenarios

The aim of the LCA was to investigate and compare the environmental profiles of BCT and ST applied to small-diameter stands in four European countries. In total, eight scenarios (two scenarios per country) were analyzed. Different stand densities and forest types were assessed and compared, and 1 ODt of biomass from small-diameter trees on the strip road was selected as the functional unit.

The system boundaries defined the processes that were included in the LCA. Using a gate-to-gate approach, the assessment only considered the thinning process, because this was the main focus of the study (Figure 2), and the forwarding process was carried out with different forwarders and drivers in each country. The production and maintenance of the harvester used for thinning, and the production of other inputs such as fossil fuels, were included. Emissions arising from changes in soil carbon stocks and assimilation of  $CO_2$  by trees were excluded. Activities related to the construction and maintenance of roads, further forest operations, final product manufacture, product use and end of life were excluded, because the focus was a comparison of the thinning techniques.



**Figure 2.** System boundaries for a gate-to-gate analysis of small-diameter tree thinning.

#### Allocation Procedure

Allocation was unnecessary because all the system boundary processes yielded only whole trees.

#### Comparisons between Thinning Techniques

The scenarios were standardized in terms of functional unit and methodological assumptions, i.e., system boundaries, database used for secondary data and impact assessment method, in order to carry out a valid comparison.

### 2.4.2. Data Inventory

Data quality is a key factor influencing the confidence in LCA results. “The levels of cut-off criteria and the maximum permissible uncertainty are together with the achieved technical, geographical and time-related representativeness as well as method consistency the key measure for the overall quality of the outcomes of the life cycle inventory/LCA study” [37].

For the analysis, primary data was used for the foreground system (processes explicitly related to small-tree thinning) whenever possible. Primary data from the field experiments was used for the BCT and ST processes applied during the trials [13] (Table 3). Lubricant oil consumption was taken from the literature [35]. Secondary data from the Ecoinvent 3.01. 2014 database<sup>®</sup> [38–40] was used for the background system (processes associated with the machinery and fossil fuel production). The Ecoinvent processes were adapted for the specific characteristics of the activities involved (fossil fuel consumption, weight and lifespan of machinery used).

**Table 3.** Time and diesel fuel consumption by BCT and ST in the field trials (mean values with standard deviation in parentheses). BCT, boom-corridor thinning; ST, selective thinning; PMh, productive machine hours without delays; ODt, oven-dry tonne.

Thinning Technique	Country	Time Consumption (PMh/ODt)	Fuel Consumption (l/PMh)
BCT	Sweden	0.16 (0.06)	14.49 (0.56)
	Finland	0.22 (0.06)	14.20 (1.33)
	Slovenia	0.19 (0.08)	15.08 (1.03)
	Spain	0.24 (0.07)	14.65 (1.64)
ST	Sweden	0.19 (0.03)	14.56 (0.80)
	Finland	0.24 (0.06)	14.25 (1.73)
	Slovenia	0.22 (0.07)	15.49 (1.14)
	Spain	0.35 (0.08)	14.46 (2.02)

### 2.4.3. Impact Assessment

Assigning the life cycle data inventory to the selected impact categories (classification) and calculating the impact category indicator results (characterization) are mandatory steps of an LCA [41]. The selection of impact categories and characterization model should be consistent with the goal and scope of the LCA [41].

The LCA was conducted using the characterization factors given for the ReCiPe (H) midpoint method with a 100-year time horizon, v.10. [42]. The ReCiPe midpoint is a model commonly used in LCA studies of forest production [43], and a 100-year time horizon is one of the most commonly used characterization factors for potential climate impact in LCA studies [44,45]. The potential impacts analyzed were climate change potential (CCP) (kg CO<sub>2</sub>-eq), terrestrial acidification potential (TAP) (g SO<sub>2</sub>-eq), marine eutrophication potential (MEP) (g N-eq), freshwater eutrophication potential (FEP) (g P-eq), photochemical oxidant formation potential (POFP) (g NMVOC) and fossil fuel depletion potential (FDP) (kg oil-eq). CCP is relevant because of the current climate change context. The other categories are common potential impacts reported in LCAs for forest systems [43], which facilitates comparisons with other studies. Furthermore, as processes with positive effects in one impact category may negatively affect others, it is important to analyze different impact categories in order to draw meaningful conclusions. SimaPro 8.0.3 software (PRé Sustainability B. V., Amersfoort, The Netherlands) was used for inventory data implementation and the calculations.

## 3. Results

### 3.1. Overall Damage Results

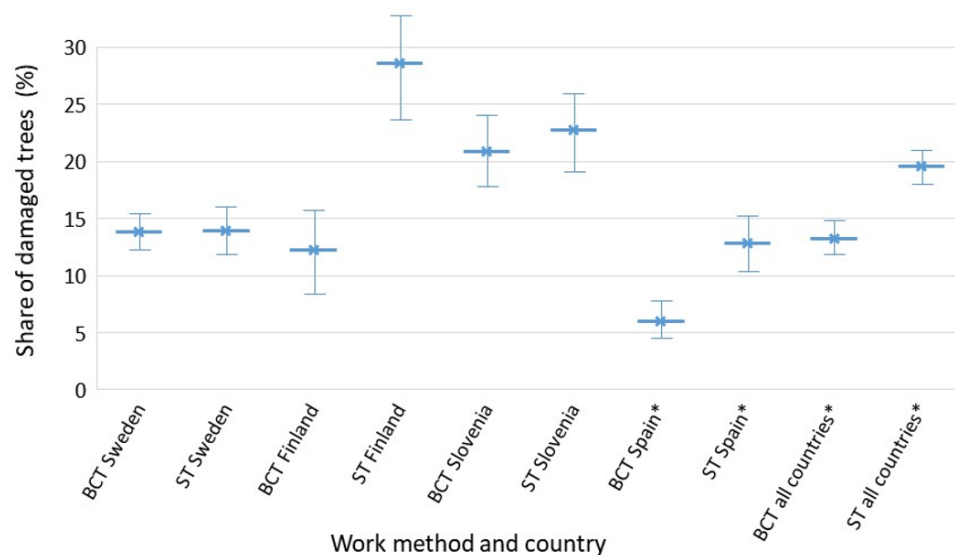
The number of damaged trees along the strip roads after thinning was on average lower for BCT, but only significantly so for Slovenia ( $p$ -value = 0.013). After forwarding,



the number of damaged trees in the transects was on average lower for BCT in Finland, Slovenia and Spain, but not for Sweden. Differences after forwarding were only significant for Spain ( $p$ -value = 0.015) (Table 4). When analyzing the data from the four countries together, the number of damaged trees per 100 m of strip road after thinning, and the number of damaged trees per ha after forwarding, was 30% and 23% lower with BCT, respectively, but did not differ significantly at the 5% significance level ( $p$ -value = 0.069 and 0.141, respectively). However, when comparing the percentage of damaged remaining trees with  $DBH \geq 7$  cm, the number of damaged trees after forwarding was 32% significantly lower with BCT ( $p$ -value = 0.041) (Figure 3). The average stump height and degree of soil damage were similar for both thinning techniques in each country (Tables 5 and 6).

**Table 4.** Number of damaged trees by thinning technique; values are the average per thinning technique with standard deviation in parentheses. Significant differences in measured variables between thinning techniques (within the same country) are denoted with \*:  $p < 0.05$ . BCT, boom-corridor thinning; ST, selective thinning.

Country	Thinning Technique	Number of Damaged Trees/100 m Strip Road after Thinning	Number of Damaged Trees/ha after Forwarding
Sweden	BCT	4.4 (4.0)	125.0 (35.4)
	ST	5.1 (2.2); $p$ -value = 0.662	120.0 (88.8); $p$ -value = 0.870
Finland	BCT	2.3 (2.7)	91.7 (58.5)
	ST	4.3 (5.3); $p$ -value = 0.557	133.3 (112.5); $p$ -value = 0.666
Slovenia	BCT	6.6 (4.2) *	185.7 (98.9)
	ST	12.0 (5.1) *; $p$ -value = 0.013	210.7 (100.3); $p$ -value = 0.512
Spain	BCT	2.3 (2.2)	75.0 (48.6) *
	ST	2.5 (2.6); $p$ -value = 0.853	165.0 (94.4) *; $p$ -value = 0.015



**Figure 3.** The percentage of damaged remaining trees ( $DBH \geq 7$  cm) after forwarding (mean values with standard error). Significant differences in measured variables between thinning technique are denoted with \*:  $p < 0.05$ . BCT, boom-corridor thinning; ST, selective thinning.

**Table 5.** Strip road characteristics and soil damage by thinning technique; values represent the average per thinning technique with standard deviation in parentheses. No significant differences between thinning techniques were found. BCT, boom-corridor thinning; ST, selective thinning.

Country	Thinning Technique	Strip Road Length (m)	Strip Road Width (m)	Soil Damage/100 m	Deepest Rut (cm)
Sweden	BCT	47.3 (5.2)	4.6 (0.3)	7.8 (6.2)	20.9 (11.0)
	ST	46.9 (5.8)	4.9 (0.6)	7.3 (1.8)	17.8 (4.3)
Finland	BCT	49.2 (2.0)	4.6 (0.4)	6.0 (8.0)	16.7 (2.9)
	ST	50.0 (0.0)	4.6 (0.3)	6.7 (12.1)	25.0 (7.1)
Slovenia	BCT	49.0 (3.0)	4.9 (0.4)	0.7 (1.3)	13.3 (2.9)
	ST	48.2 (4.0)	5.0 (0.9)	0.9 (1.6)	10.0 (0.0)
Spain	BCT	51.6 (2.3)	4.6 (0.5)	0.0	-
	ST	51.5 (2.7)	4.5 (0.4)	0.1 (0.3)	40 (0.0)

**Table 6.** Stump height (cm); mean values per country and thinning technique with standard deviation in parentheses. No significant differences between thinning techniques were found. BCT, boom-corridor thinning; ST, selective thinning.

Country	Thinning Technique	Stump Height (cm)
Sweden	BCT	38.9 (3.6)
	ST	36.5 (3.7)
Finland	BCT	28.5 (3.8)
	ST	25.4 (1.4)
Slovenia	BCT	28.2 (5.1)
	ST	25.4 (5.6)
Spain	BCT	24.8 (4.3)
	ST	25.9 (4.2)

### 3.2. Damage Characteristics

In Sweden and Finland, the most common sign of damage was squeezed bark, while scratched bark and wood damage were the most common in Slovenia and Spain, respectively (Table 7). The height of the damage on the trees varied between countries and thinning technique. However, in Finland and Spain, most of the damage was located below 30 cm for both thinning techniques (Table 8). The area of the damage was generally below 50 cm<sup>2</sup> in all four countries and for both thinning techniques, with the exception of ST after forwarding in Slovenia, where most of the damage was larger than 200 cm<sup>2</sup> (Table 8). The average number of damaged trees was similar for both thinning techniques and varied from 1 in Finland to 1.6 in Sweden. The average number of destroyed trees per study unit varied from 0 to 0.3. The main cause of damage was the harvester head movement for both of the thinning techniques (Table 9). Severe damage to adjacent vegetation after forwarding was only observed in a few study units in Sweden and Spain, representing areas between 7 and 24 m<sup>2</sup> ha<sup>-1</sup>.

There was no statistically significant difference between BCT and ST mean values at the 5% significance level for any of the damage characteristics, with the exception of the damage caused by harvester wheels and a damage height < 0.3 m after forwarding in Slovenia, which were significantly different ( $p$ -value = 0.01) between BCT and ST (Tables 8 and 9, respectively).



**Table 7.** The average (%) damage intensity on trees remaining (DBH  $\geq$  7 cm) after thinning (AT) and forwarding (AF) by country and thinning technique. No significant differences between thinning technique were found. The cause of the highest percentage of damage for AT and AF is shown in bold for each country and thinning technique. BCT, boom-corridor thinning; ST, selective thinning.

Country	Thinning Technique	Bark Scratched		Bark Squeezed		Wood Damage (Depth; cm)		Large Broken Branch (>10 cm Diameter)	
		AT	AF	AT	AF	AT	AF	AT	AF
Sweden	BCT	3.4	20.0	<b>59.1</b>	<b>55.8</b>	37.4 (0.6)	19.2 (0.5)	0.0	5.0
	ST	3.7	5.6	<b>55.1</b>	<b>58.6</b>	41.3 (0.9)	35.8 (0.8)	0.0	0.0
Finland	BCT	11.1	20.8	<b>55.6</b>	<b>50.0</b>	33.3 (0.3)	29.2 (0.9)	0.0	0.0
	ST	27.4	28.3	35.7	<b>46.1</b>	<b>36.9 (0.1)</b>	25.6 (0.5)	0.0	0.0
Slovenia	BCT	<b>66.3</b>	<b>75.0</b>	19.1	25.0	13.7 (1.1)	0.0	1.0	0.0
	ST	<b>80.9</b>	<b>66.3</b>	12.0	31.9	7.1 (1.4)	1.8 (1.1)	0.0	0.0
Spain	BCT	14.4	<b>54.2</b>	12.2	9.2	<b>70.0 (0.7)</b>	36.7 (0.5)	3.3	0.0
	ST	0.0	33.8	49.3	24.4	<b>50.7 (0.7)</b>	<b>41.8 (0.4)</b>	0.0	0.0

**Table 8.** The average (%) height and size (area) of damage after thinning (AT) and after forwarding (AF) by country and thinning technique. Significant differences between thinning techniques for each country are denoted with \*:  $p < 0.05$ . The location of the highest proportion of damage for AT and AF is shown in bold for each country and thinning technique. BCT, boom-corridor thinning; ST, selective thinning.

Country	Thinning Technique	<0.3 m		0.3–1.0 m		>1.0 m		<50 cm <sup>2</sup>		50–200 cm <sup>2</sup>		>200 cm <sup>2</sup>	
		AT	AF	AT	AF	AT	AF	AT	AF	AT	AF	AT	AF
Sweden	BCT	16.7	27.6	34.0	17.7	<b>49.3</b>	<b>54.7</b>	<b>69.7</b>	<b>79.9</b>	13.6	6.4	16.7	13.7
	ST	34.4	<b>41.9</b>	<b>42.3</b>	32.5	23.3	25.7	<b>73.3</b>	<b>84.8</b>	11.7	1.9	15.0	13.3
Finland	BCT	<b>83.3</b>	<b>91.7</b>	16.7	0.0	0.0	8.3	<b>83.3</b>	<b>91.7</b>	16.7	0.0	0.0	8.3
	ST	<b>75.0</b>	<b>88.9</b>	25.0	8.3	0.0	2.8	<b>75.0</b>	<b>94.4</b>	25.0	5.6	0.0	0.0
Slovenia	BCT	5.5	1.1 *	43.6	32.5	<b>50.8</b>	<b>66.4</b>	<b>73.3</b>	<b>44.7</b>	18.1	33.8	8.6	21.5
	ST	7.4	21.2 *	35.9	18.1	<b>56.7</b>	<b>60.8</b>	<b>61.7</b>	36.2	29.8	25.2	8.4	<b>38.7</b>
Spain	BCT	<b>50.6</b>	<b>51.7</b>	17.2	21.3	32.2	27.1	<b>91.7</b>	<b>66.7</b>	8.3	7.1	0.0	26.2
	ST	<b>41.9</b>	26.5	19.5	32.2	38.6	<b>41.3</b>	<b>87.6</b>	<b>75.7</b>	7.6	6.0	4.8	18.3

**Table 9.** The average proportion (%) of damage caused by different processes after thinning (AT) and forwarding (AF) by country and thinning technique. Significant differences between thinning techniques in each country are denoted with \*:  $p < 0.05$ . The cause of the highest levels of damage for AT and AF are shown in bold for each country and thinning technique. BCT, boom-corridor thinning; ST, selective thinning.

Country	Thinning Technique	Machine Movement; Harvester Head		Machine Movement; Machine Wheels		Saw Wound		Tree Felling		Forwarding Work	Others or Unknown	
		AT	AF	AT	AF	AT	AF	AT	AF	AF	AT	AF
Sweden	BCT	<b>53.6</b>	27.5	27.8	0.0	0.0	0.0	0.0	10.0	22.5	18.7	<b>40.0</b>
	ST	79.7	31.6	15.0	11.1	0.0	0.0	1.7	0.0	9.3	3.7	<b>48.0</b>
Finland	BCT	38.9	<b>83.3</b>	<b>50.0</b>	8.3	0.0	0.0	11.1	8.3	0.0	0.0	0.0
	ST	<b>47.6</b>	<b>80.6</b>	33.3	19.4	0.0	0.0	19.0	0.0	0.0	0.0	0.0
Slovenia	BCT	<b>39.0</b>	<b>54.2</b>	17.2	0.0 *	5.8	0.0	37.9	9.0	36.7	0.0	0.0
	ST	<b>58.8</b>	<b>55.3</b>	5.1	15.1 *	3.0	0.0	33.1	5.3	22.5	0.0	1.8
Spain	BCT	<b>81.7</b>	<b>45.2</b>	8.3	19.0	0.0	0.0	10.0	35.7	0.0	0.0	0.0
	ST	<b>95.2</b>	<b>83.4</b>	4.5	1.4	0.0	0.9	0.0	14.3	0.0	0.0	0.0

The influence of stand density, harvest intensity (remaining trees with DBH  $\geq$  7 cm and removals), average DBH and thinning technique on the number of damaged trees was also tested using a multiple regression analysis. Although the R-squared statistic indicated that the fitted model only explained 28% of the variability in the percentage of damaged trees, there was a significant relationship between the damaged trees, removals, remaining trees and thinning techniques at the 95.0% confidence level. The regression analysis showed that the percentage of damaged remaining trees increased with harvest intensity: a higher harvest intensity led to fewer remaining trees and a higher number of removals. The thinning technique also had a clear effect, with a decrease in the percentage of damaged trees for BCT. This was introduced in the multiple regression as a dummy variable, with zero set for ST, and was modeled according to Equation (1):

$$\%DT = 19.3696 + 0.000744147 \times R - 0.0066808 \times RT - 5.06037 \times WM \quad (1)$$

R-squared = 28.4%

R-squared (adjusted for d.f.) = 25.3%

*p*-value = 0.0000

where %DT is the percentage of damaged remaining trees with DBH  $\geq$  7 cm per ha; RT is the number of remaining trees with DBH  $\geq$  7 cm per ha; R is the total number of removed trees per ha; and WM is the working method (thinning technique) as a categorical variable (1 for BCT and 0 for ST). The statistic parameters of the fitted Equation (1) are shown in Table 10.

**Table 10.** Multiple regression statistic parameters.

Parameter	Standard Error	T Statistic	<i>p</i> -Value
Constant	3.88645	4.98389	0.0000
Removal (R)	0.000319273	2.33076	0.0227
Working method (WM)	1.78602	−2.83333	0.0060
Remaining trees with DBH $\geq$ 7 cm (RT)	0.00245497	−2.72133	0.0082

### 3.3. LCA Interpretation and Results

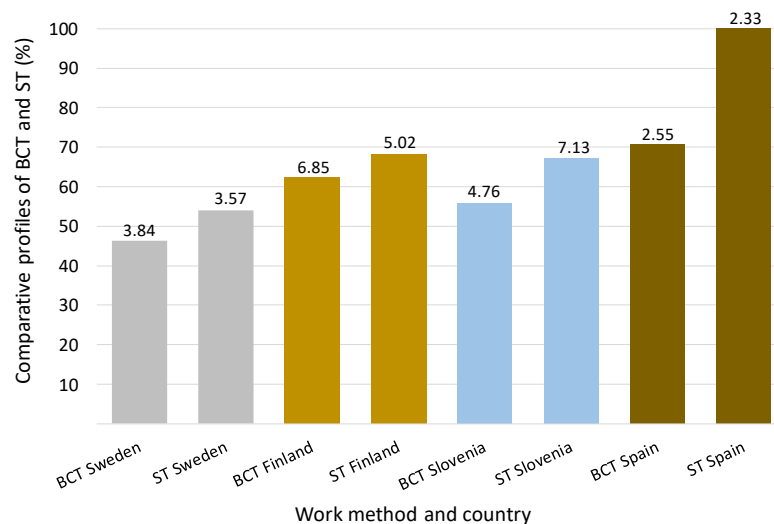
BCT exhibited the lowest emissions in all the environmental impact categories considered (Table 11). In terms of greenhouse gas emissions (GHG), BCT emissions were 14%, 9%, 16% and 29% lower than ST in Sweden, Finland, Slovenia and Spain, respectively (Figure 4). The higher productivity of BCT (Table 3) explains these differences, because the GHG emissions were mainly the result of diesel combustion by the harvester. The harvester and diesel production made a minor contribution to CCP.

**Table 11.** Characterization per functional unit (1 Odt of forest biomass) corresponding to the Bracke C16 thinning process. CCP, climate change potential; FDP, fossil fuel depletion potential; FEP, freshwater eutrophication potential; MEP, marine eutrophication potential; POFP, photochemical oxidant formation potential; TAP, terrestrial acidification potential; BCT, boom-corridor thinning; ST, selective thinning.

Country	Thinning Technique	CCP	TAP	FEP	MEP	POFP	FDP
		(kg CO <sub>2</sub> eq)	(g SO <sub>2</sub> eq)	(g P eq)	(g N eq)	(g NMVOC)	(kg Oil eq)
Sweden	BCT	9.1	75.0	1.5	4.6	128.1	3.1
	ST	10.6	87.4	1.8	5.3	149.1	3.6
Finland	BCT	12.2	101.2	2.0	6.2	172.7	4.2
	ST	13.4	110.8	2.2	6.8	189.1	4.5
Slovenia	BCT	10.9	90.7	1.8	5.5	155.2	3.7
	ST	13.1	109.1	2.1	6.7	186.9	4.5

Table 11. Cont.

Country	Thinning Technique	CCP	TAP	FEP	MEP	POFP	FDP
		(kg CO <sub>2</sub> eq)	(g SO <sub>2</sub> eq)	(g P eq)	(g N eq)	(g NMVOC)	(kg Oil eq)
Spain	BCT	13.8	114.7	2.2	7.0	196.2	4.7
	ST	19.6	161.8	3.3	9.9	276.2	6.6



**Figure 4.** Thinning technique profiles for climate change potential impact category, with the highest value of kg CO<sub>2</sub> eq (ST Spain) representing 100%. The standard error for the total greenhouse gas emissions per study unit is shown above each column. BCT, boom-corridor thinning; ST, selective thinning.

#### 4. Discussion

The aim of this study was to assess and compare tree and soil damage, stump height and thinning emissions for BCT and ST applied to young and dense forest stands in Sweden, Finland, Slovenia and Spain, representing northern boreal forests and southern Mediterranean forests. The number of damaged trees was lower with BCT than ST, which can be explained by the reduction in maneuvering work required by the harvester crane for BCT, reducing the risk of damage to the remaining trees. This difference was 30% lower at a 10% significance level ( $p$ -value = 0.069) when the number of damaged trees was compared per 100 m of strip road after thinning. In terms of emissions, BCT displayed the lowest in all the environmental impact categories considered across all four countries. This was because of the higher productivity, and therefore the lower fuel consumption per functional unit, when using BCT.

The highest numbers of damaged trees per 100 m of strip road after thinning, and damaged trees per ha after forwarding, were observed in Slovenia. Although the initial stand density in Slovenia was very similar to Sweden (Table 1), the proportion of removals was higher than in the other countries (on average 68% of the initial stand density for both thinning techniques), which led to more damaged trees because it entailed more maneuvering. In addition, the average height of the trees in the Slovenian stands was higher (Table 1), which could have contributed to the larger number of damaged trees, because more “top bucking” operations were carried out. Ground roughness (2 in the GYL classification- Classes of bearing capacity (G), ground roughness (Y) and slope (L) according to the Swedish terrain classification scheme [46]) and slope (1 and 2 in the GYL classification, depending on the stand) can also increase the number of damaged trees. However, the same ground roughness was observed in Sweden as Slovenia, and the same maximum slope was observed in Finland. Therefore, these factors by themselves cannot explain the difference.

The highest percentage of damaged remaining trees ( $DBH \geq 7$  cm) was observed with ST in Finland, followed by ST and BCT in Slovenia. The high value from Finland could be explained by the high biomass removal and low remaining stand density, which was in line with the recommended target densities for conventional first thinning in Finland (800 trees  $ha^{-1}$ ). In addition, the ST stands in Finland had 60% less trees with  $DBH \geq 7$  cm than its BCT stands.

Concerning soil damage, Sweden had the highest values per 100 m of strip road (Table 5). Although the bearing capacity following the GYL classification had a value of 2, similar to most of the other stands, the soil moisture content was high when the thinning took place, which contributed to an increased level of damage.

In relation to stump height, the ground roughness and the initially dense undergrowth contributed to the higher stumps left in Sweden. The undergrowth reduced the harvester operator's line of sight, and the presence of rocks meant high stumps had to be left to avoid damaging the cutting chain.

When comparing the results of this study with others, it is important to take into account any differences in machinery, stand characteristics, forestry treatments, thinning techniques and methodologies used. A large variation in the percentage of damaged remaining trees can be found in the literature: from less than 5% to up to 46% [22,47]. In this study, this percentage varied from 6% to 28% (Figure 3), 13% and 19% being the average values for BCT and ST, respectively. Similar percentages have been found elsewhere, for example 13–17% by Jäghagen and Lageson [48], 12–23% by Tavankar et al. [49] and 13% by Cabral [50,51]. However, higher percentages have also been reported [51,52]. Bergström et al. [15] compared BCT and ST in young, dense Scots pine stands in Sweden. In their study, assessing the damage to trees remaining along the strip road after thinning and before forwarding, about two trees per 100 m were damaged after both thinning techniques. Their results are similar to those obtained here for Spain, but half that obtained for Sweden. Läspä and Nurmi [53] also compared harvesting damage between BCT and ST and found that ST caused less damage. However, they reported their results as damage occurrences per harvested  $m^3$  and did not present the percentage of damaged remaining trees. In addition, they highlighted the greater experience of the ST operator. This underlines the importance of training for machine drivers, to minimize the environmental damage regardless of the thinning method used. However, BCT does represent a lower risk of damage to trees and requires less experience than ST because of the fewer boom movements needed between trees within a dense stand.

Tree damage can negatively affect the future health and quality of remaining trees [54]. Wound severity, height and tree species all influence the wound healing rate [21,55]. Fast-growing tree species require less time to heal from harvesting wounds than slow-growing species [56], while shorter and cooler growing seasons can slow down wound closure [47]. Wound areas smaller than 100  $cm^2$  are commonly reported during thinning operations [47,51,57–59], which is in agreement with the present study. The wound location on the stem and damage type both show a wider variation between studies. Damage to the lower part of the stem was reported as the most common by Lopes et al. [51] and Ursic et al. [59], while Heitzman and Grell [47] suggested that most of the damage occurred 90–180 cm above ground level.

Harvest intensity has been reported elsewhere as one of the main factors affecting the proportion of damaged remaining trees during harvesting [49,60,61]. Similarly, a significant relationship between the number of damaged trees and harvest intensity was found here (Equation (1)). In addition, the thinning technique appeared to have a significant influence on the number of damaged trees, in accordance with Prinullis et al. [62], who found that different thinning techniques had different impacts on stand damage.

Concerning emissions, the GHG emissions of the thinning techniques varied from 9.1 to 13.8  $kg CO_2 eq/ODt$  and 10.6 to 19.6  $kg CO_2 eq/ODt$  for BCT and ST, respectively. Similar values, around 14  $kg CO_2 eq/ODt$ , have been reported by De la Fuente et al. [35] for Sweden. In that study, a harvester with a multi-tree handling head time consumption

of 23 PMh/ODt was reported. The difference between their figure and the values reported in this study (Table 3) explains the differences in emission results.

Despite the fact that impacts on biodiversity were not assessed directly in this study, higher biodiversity indexes for BCT compared with ST may be expected [18]. The study's main limitation is related to the assessment of damaged trees after thinning and before forwarding, which was only carried out along the strip road and not in the transects, because the felled trees made assessment impossible within the transects before forwarding. Therefore, the after thinning but before forwarding quantification is reported as the number of damaged trees per 100 m of strip road and not per ha. The after forwarding measurements were influenced by the forwarding work, which was performed with a different machine in each country. Nevertheless, any damage caused by the forwarder in each country was identified and quantified. The sampling design included perpendicular transects to the strip roads, which helped eliminate the potential bias of overestimated overall damage levels because the areas of greatest machine activity, and therefore the greatest potential damage, are expected to be close to the strip roads.

## 5. Conclusions

This study indicates that, compared with conventional ST, BCT can reduce the number of damaged trees after thinning, and reduce diesel consumption, and air, water and soil emissions, per ODt. The lower number of damaged trees and lower fuel consumption corresponds to a reduction in risk of tree infection and decay, and in costs and emissions during the thinning process, respectively, which is important both economically and environmentally. The use of BCT in dense small-diameter-tree stands appears to have great potential in terms of reducing tree damage and emissions.

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