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# Habitat requirements of deadwood-dependent invertebrates that occupy tree hollows

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## ABSTRACT

Tree hollows support a specialised species-rich fauna. We review the habitat requirements of saproxylic (= deadwood dependent) invertebrates which occupy tree hollows. We focus on studies quantifying relationships between species occurrence patterns and characteristics of tree hollows, hollow trees, and the surrounding landscape. We also explore the processes influencing species occurrence patterns by reviewing studies on the spatio-temporal dynamics of populations, including their dispersal and genetic structure. Our literature search in the database Scopus identified 52 relevant publications, all of which were studies from Europe. The dominant taxonomic group studied was beetles. Invertebrates in hollow trees were often more likely to be recorded in trees with characteristics reflecting a large amount of resources or a stable and warm microclimate, such as a large diameter, large amounts of wood mould (= loose material accumulated in the hollows mainly consisting of decaying wood), a high level of sun exposure, and with entrance holes that are large and either at a low or high height, and in dry hollows, with entrances not directed upwards. A stable microclimate is probably a key factor why some species of saproxylic invertebrates are confined to tree hollows. Other factors that are different in comparison to downed dead wood is the fact that hollows at a given height from the ground provide shelter from ground-living predators, that hollows persist for longer, and that the content of nutrients might be enhanced by the accumulation of dead leaves, insect frass, and remains from dead insects. Several studies have identified a positive relationship between species occupancy per tree and the amount of habitat in the surrounding landscape, with a variation in the spatial scale at which characteristics of the surrounding landscape had the strongest effect over spatial scales from 200 to 3000 m. We found empirical support for the extinction threshold hypothesis, which predicts that the frequency of species presence per tree is greater if a certain number of trees are aggregated into a few large clusters of hollow trees rather than distributed among many small clusters. Observed thresholds in species occurrence patterns can be explained by colonisation–extinction dynamics, with species occupancy per tree influenced by variation in rates of immigration. Consistent with this assumption, field studies suggest that dispersal rate and range can be low for invertebrates occupying tree hollows, although higher in a warmer climate. For one species in which population dynamics has been studied over 25 years (Osmoderma eremita), the observed population dynamics have characteristics of a "habitat-tracking metapopulation", as local extinctions from trees occur possibly because those trees become unsuitable as well as due to stochastic processes in small populations. The persistence of invertebrate fauna confined to tree hollows may be improved by prolonging the standing life of existing hollow trees. It is also important to recruit new generations of hollow trees, preferably close to existing larger groups of hollow trees. Thus, the spatio-temporal dynamics of hollow trees is crucial for the invertebrate fauna that rely upon them.

Key words: ancient trees, beetles, cavity, conservation, dispersal, extinction threshold, metapopulation, microclimate, saproxylic.

#### **CONTENTS**



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#### I. INTRODUCTION

When trees age, microhabitats are formed that are rare in younger trees (Lindenmayer & Laurance, [2017](#page-11-0)). Tree hollows are one such microhabitat (Kozák *et al*., [2023\)](#page-11-0). We define tree hollows as cavities that connect decaying wood within the trunk or limbs of standing living or dead trees with the external environment. The inner parts of the hollows are wider than the entrance, and they are larger than the galleries formed by inhabiting invertebrates. Much ecological research has been conducted on vertebrate fauna inhabiting tree hollows, especially birds and mammals (e.g. Gibbons & Lindenmayer, [2002\)](#page-10-0). However, tree hollows are also impor-tant for many invertebrate species (Micó, [2018](#page-11-0)).

Research on invertebrates using tree hollows has focused on three areas. First, considerable attention has been paid to the invertebrate fauna using water-filled hollows for reproduction (reviewed by Petermann & Gossner, [2022](#page-11-0)). Interest in this fauna has often been motivated by the fact that some species are potential vectors for diseases, such as malaria (e.g. Omlin et al., [2007\)](#page-11-0). Second, some studies have focused on invertebrates using both tree hollows and other types of shelter for nesting and overwintering. Among these investigations, the most frequently studied taxa are stingless bees that produce honey (e.g. Eltz et al., [2003\)](#page-10-0). Finally, tree hollows are a microhabitat for saproxylic (= deadwood dependent) invertebrates, which use hollows for all or part of their life cycle (Siitonen & Ranius, [2015](#page-12-0)). Research on this group has been motivated, in part, by a concern for their conservation (e.g. Nilsson & Baranowski, [1997\)](#page-11-0).

This review focuses on the habitat requirements of saproxylic invertebrates observed in tree hollows. Saproxylic invertebrates include species dependent on fungal decay of wood, or upon the presence of other saproxylic organisms (i.e. including predators and parasites) both in living and dead trees (Alexander, [2008](#page-10-0)). As an example, it has been

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estimated that in Fennoscandia around 50% of saproxylic beetles can use dead wood in large old trees. Of all saproxylic beetle species, 10% are dependent on tree hollows, whereas a lower number of species is dependent on other microhabitats in large old trees (Ranius et al., [2024](#page-12-0)). For hollow-dwelling saproxylic invertebrates, larval development takes place in a hollow (Palm, [1959](#page-11-0)). Some species spend their entire life cycle in tree hollows (Hedin et al., [2008\)](#page-10-0), whereas others visit other microhabitats to find food, such as flowers (Wetherbee et al., [2020](#page-12-0)a). To the best of our knowledge, a synthesis of current knowledge about hollow-dwelling saproxylic invertebrates is lacking [but see Micó  $(2018)$  $(2018)$  for an overview of the invertebrate fauna in tree hollows].

Many populations of invertebrates that use tree hollows are assumed to be declining (Cálix et al., [2018](#page-10-0)). This assumption is based primarily on knowledge about the generally negative trends documented for populations of old largediameter trees with hollows (Lindenmayer, Laurance & Franklin, [2012\)](#page-11-0). Several factors are leading to tree hollows becoming scarce. First, forestry has a strong negative effect, since hollows occur almost only in trees older than the typical rotation time in wood-production forestry (e.g. Gibbons, McElhinny & Lindenmayer, [2010](#page-10-0); Ranius, Niklasson & Berg, [2009](#page-12-0)a). Furthermore, in Europe, Asia and Australia, ancient trees persist in traditional agricultural landscapes (Manning, Fischer & Lindenmayer, [2006](#page-11-0); Hartel et al., [2013;](#page-10-0) Kadej et al., [2016](#page-11-0)). Such trees are threatened both by abandonment of agricultural land (generating overshading, which may shorten the life of existing trees and decrease recruitment) and intensification of agricultural management which involves clearing remnant trees or inhibits regeneration (Gibbons et al., [2008;](#page-10-0) Hartel et al., [2013](#page-10-0); Nolan et al., [2020](#page-11-0)). In urban areas, hollow trees are under threat because of public safety concerns (Carpaneto et al., [2010](#page-10-0)), a lack of adequate recruitment (Le Roux et al.,  $2014$ ), (Parsons et al., [2023](#page-11-0)). Other factors reducing the abundance of tree hollows include climate warming, and an associated increased frequency of stormfellings and forest fires (Woolley et al., [2018](#page-12-0)), and dieback due to tree diseases (Pautasso et al., [2013\)](#page-11-0).

Conservation of invertebrates in tree hollows can be motivated not only by the value of biodiversity itself, but also because invertebrates in tree hollows have several key ecological functions. First, at least some species are important decomposers in tree hollows (Mestre, Jansson & Ranius, [2018](#page-11-0); Wetherbee et al., [2022](#page-12-0)), reducing the size of dead wood fragments and increasing N and P concentra-tions (Jönsson, Méndez & Ranius, [2004](#page-11-0); Sánchez et al., [2017;](#page-12-0) Wetherbee et al., [2022](#page-12-0)), although this does not imply they affect dead wood decomposition rates in the surroundings of hollow trees (Wetherbee *et al.*, [2021](#page-12-0)). Since invertebrates speed up the decomposition of dead wood in hollows, they probably also contribute to the formation and expansion of tree hollows (Gibbons & Lindenmayer, [2002\)](#page-10-0). For that reason, it has been suggested that invertebrates involved in the decomposition of wood in tree hollows are ecosystem engineers (Sánchez-Galván et al., [2014\)](#page-12-0). Second, invertebrates may influence ecosystems in nearby areas, such as acting as natural enemies in pest control (Wetherbee, Birkemoe & Sverdrup-Thygeson,  $2020b$  $2020b$ ). Invertebrates in tree hollows can also improve conditions for other species. For instance, the nests of ants may improve thermal conditions for nesting birds (Mitrus, Hebda & Wesłowski, [2016](#page-11-0)).

Hollow-bearing trees are often long-lived. In pedunculate oak (Quercus robur), in which much of the research on invertebrates occupying hollow trees has been conducted, hollows start to form when the trees are at least 200 years old (Ranius et al., [2009](#page-12-0)a). These hollow trees can persist for another one or more centuries (Ranius *et al.*,  $2009b$  $2009b$ ). In pedunculate oak, most hollows are formed around scars remaining after large branches have shed. However, the typical process of tree hollow formation can vary among tree species and biogeographic realms. For instance, in Northern America woodpeckers are important (Cockle, Martin & Wesłowski, [2011](#page-10-0)), whereas in Australia the activities of termites and fungi are more important factors contributing to hollow formation (Gibbons & Lindenmayer, [2002\)](#page-10-0).

The probability that a species is threatened is related, in part, to species traits (Hagge et al., [2021\)](#page-10-0). It has been suggested that invertebrates occupying tree hollows have a low dispersal ability as an adaptation to a stable habitat (Nilsson & Baranowski, [1997](#page-11-0); Percel, Laroche & Bouget, [2019\)](#page-11-0). This is because hollow-bearing trees are often long-lived and there may be high densities of hollow trees in many unmanaged stands (Nilsson et al., [2006](#page-11-0); McLean et al., [2015](#page-11-0)). This can make inhabiting fauna sensitive to habitat fragmentation (Ranius, [2002](#page-11-0)), which can be assessed from the shape of relationships between species occupancy and habitat amount. Fahrig ([2003\)](#page-10-0) formulated two competing hypotheses to explain species occurrence in fragmented landscapes: the proportional area hypothesis and the

extinction threshold hypothesis. Which of these holds has consequences for conservation strategies. The proportional area hypothesis implies that the total population size of a species per unit area of habitat is constant and independent of whether the total area of habitat is divided into a few larger fragments or many smaller fragments. Conversely, the extinction threshold hypothesis predicts that many small fragments support a smaller total population than one large fragment of the same total area. This means there is a negative effect of many small fragments, especially if those fragments are below certain threshold sizes. These hypotheses can be tested by sampling the abundance of species in habitat fragments of varying size.

Here we review factors associated with the occurrence of invertebrates in tree hollows. These factors include the characteristics of hollows, trees, and the surrounding landscape, and ecological processes (including population dynamics and dispersal) that potentially influence observed patterns of occurrence. We focus on these aspects because they are important when prioritising decisions for nature conservation. Knowledge about occurrence patterns is important for understanding which trees and which locations are most valuable to conserve in the short term, while knowledge about underlying ecological processes is important when developing strategies for the conservation of invertebrate fauna in the long term. Thus all aspects reflect the requirements of this fauna, in the short and long term, respectively. More specifically, we searched for studies giving answers to the following questions:  $(i)$  which characteristics of hollow trees and hollows are correlated with the occurrence of invertebrates?  $(ii)$  What is the effect of the spatial location of hollow trees in relation to other hollow trees on per-tree occurrence patterns? (iii) Which characteristics of the surrounding landscapes are correlated with per-tree occurrence patterns? (iv) What characterises the colonisation–extinction dynamics of invertebrates in hollow trees, and is there any empirical support for various types of metapopulation dynamics (as described by e.g. Harrison & Taylor, [1997](#page-10-0))? (v) What is the dispersal rate and range of saproxylic invertebrates in hollow trees?  $(v_i)$  Does the pattern of genetic differentiation among populations suggest dispersal limitation?

At individual hollow and tree scales, our main hypothesis was that species occurrence patterns of invertebrates would be affected by characteristics of the trees and hollows reflecting their microclimate, food resources, value as shelter, and longevity. Furthermore, we hypothesised that other woody microhabitats would differ in these characteristics, and that this may explain why particular species are confined to tree hollows. At a landscape scale, we hypothesised that some species have extinction thresholds generated by metapopulation dynamics (Lande, [1987\)](#page-11-0), which makes the dispersal rates and patterns of local extinctions in trees important for understanding colonisation–extinction dynamics occurrence patterns in landscapes. We searched for empirical studies of these patterns and processes by analysing the global scientific literature.

## <span id="page-3-0"></span>II. METHODS

Our intention was to perform a comprehensive search of the literature that addressed one or more of our six key questions about the ecology of saproxylic invertebrates inhabiting tree hollows (Table 1). Since it proved to be impossible to create search strings specifically focusing on these questions without missing relevant studies, as a first step, we searched for all literature on invertebrates inhabiting tree hollows in the Scopus database. We used the following search string to identify studies that included at least one term related to tree hollows and one term related to invertebrates. We included the taxa listed in the string below as all are known to use tree hollows (Siitonen & Ranius, [2015\)](#page-12-0).

TITLE-ABS-KEY (tree AND hole OR hollow OR cavity AND invertebrate OR arthropod OR insect OR beetle OR gnat OR fly OR ant OR wasp OR ant OR springtail OR mite OR spider OR harvestman OR pseudoscorpion OR woodlice OR centipede OR millipede OR Coleoptera OR Diptera OR Hymenoptera OR Collembola OR Acari OR Araneae OR Opiliones OR Pseudoscorpionida OR Isopoda OR Chilopoda OR Diplopoda).

From our search, we obtained 1623 publications. During a first screening of titles and abstracts, we removed papers that were:  $(i)$  not about tree hollows, or  $(ii)$  only about taxa other than saproxylic invertebrates, or (iii) were concerned only with systematics or natural history (e.g. descriptions of new species or records of species in a specific area). This initial screening reduced our corpus to 183 publications. During a second screening, we read each paper in its entirety and retained those that gathered empirical data in a standardised way to answer our six questions (Table 1). We specifically checked that the approach to standardise the sampling for all included trees was described and appropriate. Relevant studies were those that had been conducted in tree hollows, in boxes emulating tree hollows (Jansson et al., [2009](#page-11-0)), or on species described as associated with tree hollows conducted in any environment (including e.g. laboratory experiments). More specific eligibility criteria for each question are given in Table 1. The retained studies were all published in peer-reviewed scientific journals. The initial search was undertaken on November 17 2022. One author (T.R.) was responsible for the literature search.

Based on the retained studies, we completed a narrative assessment. For four of our questions  $(iii-vi)$ , we found only

Table 1. Questions for which we searched for answers in the scientific literature and question-specific eligibility criteria used. All questions are regarding saproxylic invertebrates in tree hollows. Some of the papers answered more than one question, and the results were then counted for more than one question.



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<span id="page-4-0"></span>a few studies for each question, and their contributions to the answers were not all of the same type, which meant that a quantitative compilation of findings was not possible. Given this, we compiled the results as narrative summaries. By contrast, there was a larger set of studies for questions  $i$  and  $ii$ , many of which employed a rather similar approach. For those studies with a similar response variable, such as species richness of saproxylic beetles, we initially considered conducting a meta-analysis. However, almost no studies provided effect sizes for non-significant tested variables nor information on standard errors of effect sizes. Therefore, we present data on how many studies tested each variable, and which proportion of these identified the variable as significant  $(P < 0.05$ , or with the akaike information criterion (AIC) value decreasing when including the variable), and whether a positive or negative relationship was identified. Since studies of species richness contain more information than studies of certain groups of species or individual species, we present data from these two kinds of studies separately.

## III. RESULTS

We identified 52 papers that addressed at least one of our questions (Table [1;](#page-3-0) see online Supporting Information, Appendix S1). All were empirical field studies from Europe. Beetles were by far the most studied group, but we also found four papers on pseudoscorpions, two on flies, and one on spiders. Of these 52 papers, 33 focused on one or a few invertebrate species confined to tree hollows, whereas 19 examined species richness, in most cases including all saproxylic beetle species, that is both species confined to tree hollows and those that also may occur in other types of dead wood. A majority of studies was conducted only in oak trees (*Ouercus* spp.;  $61\%$ ; Fig. 1A) or in several tree species (always including Quercus spp.)  $(27\%)$ . A small proportion  $(12\%)$  focused on Fagus spp. or other tree species.

### (1) Occurrence patterns in relation to characteristics at hollow and tree level

We found 26 field studies on relationships between characteristics of trees and tree hollows and the species richness or the presence/absence of saproxylic invertebrates (Appendix S1). Only one laboratory study examined the willingness of females to lay eggs in substrates that were the contents of tree hollows (Landvik, Niemelä & Roslin, [2016](#page-11-0)a). Thus, current knowledge mostly documents occurrence patterns rather than mechanisms in the life cycle that underpin these occurrence patterns. The study on egg-laying behaviour revealed that the fastest larval growth rate and highest survival rate occurred in the types of substrate where females preferred to lay their eggs (Landvik et al., [2016](#page-11-0)a). Therefore, the occurrence patterns observed in the field probably reflect both the preferences of individuals and their survival rates.



Fig. 1. (A) Studies on saproxylic invertebrates in hollow trees have, to a large extent, been conducted in penduculate oak Quercus robur. Locations with a high density of hollow oaks in Northern Europe often have a long history of management for hay-making and grazing. If grazing pressure is intense, small enclosures are sometimes constructed to allow oak regeneration. Photograph: Thomas Ranius. (B) Ecological studies on invertebrates in hollow trees often use Osmoderma eremita (or O. barnabita) as a model species. It is a 3-cm-long scarabaeid beetle. Photograph: Thomas Ranius.

In the field studies, there was high variation in how often different characteristics were analysed (Table [2](#page-5-0)). Tree diameter was often tested, and usually had a positive effect. Other factors that were frequently tested were canopy cover (including measures reflecting regrowth of younger trees) and moisture level (including accumulated water and upward entrance orientation), both of which had negative effects, whereas the amount of wood mould (but not the volume of the hollow) had a positive effect. The size of the entrance hole and the height of the entrance hole in relation to the ground, also were important variables, although effects varied from positive to negative depending on the species and study (Table [2\)](#page-5-0).

The effect of tree species has been tested in five studies. Some saproxylic invertebrates in hollow trees occur more frequently in certain tree species, such as linden Tilia chordata and pedunculate oak (Table [2](#page-5-0)). In a comparison of four <span id="page-5-0"></span>Table 2. Summary of results presented in the scientific literature about the relationship between tree and tree hollow characteristics and invertebrates.



"Species richness" includes studies with a response variable reflecting species richness of an invertebrate group (in most cases saproxylic bee-<br>tles), "Certain groups" includes studies reporting species richness of certai variable, and "Result" is the number of tests where the outcome was statistically significant  $[P < 0.05$  or decreasing AIC (Akaike Information Criterion) value with inclusion of the variable]. See Appendix S2 for details o

different tree species in Northern Europe, most beetle species used several tree species, although most occurred more fre-quently in pedunculate oak (Milberg et al., [2014](#page-11-0)).

Three studies related occurrence patterns of saproxylic beetles to microclimatic variables, although with varying outcomes. Schauer et al. ([2018](#page-12-0)b) found that total species richness increased with mean temperature during the sampling period, while, unexpectedly, the opposite result was found for species on the German Red List. Osmoderma eremita occurred more frequently in hollows with a warmer and more stable microclimate (Lindman, Öckinger & Ranius, [2023\)](#page-11-0). Henneberg et al. ([2021\)](#page-10-0) found overall no relationship between temperatures inside tree hollows and species richness of saproxylic beetles, but in one study region the relationship was hump-shaped, that is the highest species richness was in tree hollows with an intermediate temperature.

## (2) Occurrence patterns in relation to characteristics of the surrounding landscapes

We found 18 studies on relationships between species' pertree occurrence patterns and the spatial location of hollow trees in the landscape. Note that five of these investigations were not independent, since they partly used the same data sets (see Appendix S1). In six independent investigations, some tests showed a positive relationship between species occupancy or species richness per tree and the number of hollow trees in surrounding area (Musa et al., [2013](#page-11-0); Pilskog et al., [2018;](#page-11-0) Ranius, Johansson & Fahrig, [2011\)](#page-12-0) or a negative effect of the distance to potential dispersal sources (Jansson et al., [2009;](#page-11-0) Kadej et al., [2016](#page-11-0); Mestre et al., [2018\)](#page-11-0). These studies all tested tree abundances or distances over a larger scale (with a radius of 1 km or more), or had an experimental design that sampled extremes in habitat isolation. By contrast, six observational studies conducted at a small scale (up to 500 m) showed no effect of isolation (Ranius & Nilsson, [1997;](#page-12-0) Carpaneto et al., [2010;](#page-10-0) Chiari et al., [2012;](#page-10-0) Gouix et al., [2015](#page-10-0); Pilskog et al., [2016;](#page-11-0) Schauer et al., [2018](#page-12-0)b). One study contained evidence that for beetles in tree hollows, the spatial scale at which characteristics of the surrounding landscape had the strongest effect was smaller in comparison to beetles in more short-lived deadwood habitats (Percel et al., [2019](#page-11-0)). However, among beetle species using tree hollows, the spatial scale at which tree abundances of the surrounding landscape had the strongest effect on

species occurrences varied widely, with radii from about 200 to 3000 m (Ranius et al., [2011\)](#page-12-0).

We identified two studies that compared sites with a large versus small number of hollow trees. The results of both investigations supported the extinction threshold hypothesis; they contained evidence of lower species richness or lower frequency of occurrence per tree in smaller tree clusters (Nilsson & Baranowski, [1997;](#page-11-0) Ranius, [2002](#page-11-0)). No studies supported the proportional area hypothesis.

Five studies assessed the effect of other aspects of the surrounding landscape than the abundance of hollow trees. Two compared hollow trees in forests with those in agricultural landscapes, and found large differences in species composition (Sverdrup-Thygeson, Skarpaas & Ødegaard, [2010](#page-12-0); Parmain & Bouget, [2018\)](#page-11-0). This can be partly explained by differences in habitat openness, since canopy cover can be important in studies at a tree level (Table [2](#page-5-0)). However, other tree characteristics, such as diameter, number of microhabitats present, and tree morphology, also differ between trees in these environments (Sverdrup-Thygeson et al., [2010](#page-12-0); Parmain & Bouget, [2018\)](#page-11-0), and may affect the beetle fauna in and around hollow trees.

The effect of resources in the surroundings of hollow trees has rarely been studied; we found only four papers that included such attributes. For instance, many beetles characterised by a larval development stage in hollow trees visit flowers (Wetherbee et al., [2020](#page-12-0)a). However, we found no studies examining whether the abundance of flowers in the surrounding area can be a factor limiting beetles that occupy hollow trees. Sverdrup-Thygeson et al. ([2010](#page-12-0)) identified a positive effect of dead wood in the surrounding landscape on the number of red-listed saproxylic beetles captured per trap placed in hollow trees. However, since they used flightinterception traps, which collect beetles occupying tree hollows as well as other habitats, this study does not show that beetles occupying tree hollows are affected by other types of dead wood in the surrounding landscape. Studies using emergence traps, which sample only beetles from tree hollows, have obtained mixed outcomes for the effect of dead wood and deadwood-related tree microhabitats on beetles in tree hollows; the relationship has been found to be positive or neutral (Henneberg *et al.*, [2021](#page-10-0)), or negative (Schauer *et al.*, [2018](#page-12-0)*b*).

In a comparison of hollow trees in parks (where dead wood occurred only in the tree hollows of linden) and wooded meadows (where dead wood also can occur as downed and standing dead wood and of other tree species), there was very limited difference in the number of beetle species associated with tree hollows, although the total number of saproxylic beetle species was higher in wooded meadows (Jonsell, [2012\)](#page-11-0). Thus, there was only limited evidence in the literature about whether resources outside hollow trees are important for the fauna in tree hollows.

## (3) Population dynamics

Four studies examined temporal dynamics of invertebrate populations occupying tree hollows. All used time series of population assessments and three related population dynamics to habitat dynamics.

Based on time series data, population dynamics have been studied in two saproxylic beetle species occupying tree hollows: *Tenebrio opacus* has been studied over 6 years (Laroche, Paltto & Ranius, [2018](#page-11-0)), and O. eremita over 25 years (Lindman et al., [2020](#page-11-0); data from the first five and seven years, respectively, also used by Ranius, [2001](#page-11-0), [2007;](#page-11-0) Fig. [1B](#page-4-0)). Both investigations identified wide variation in beetle population size among hollow trees. Over a 25-year period, the total population size of O. eremita changed little, but in most trees with the species present, there was a clear positive or negative trend in population size over time, implying that trees hosting the largest populations in the later years were different from those in the early years of the investigation.

#### (4) Direct observations of dispersal between trees

We found eight studies that examined the dispersal of beetles between hollow trees using direct observations, with six investigations based on more than a single observed dispersal event. All investigations focused on O. eremita. Capture– recapture and radiotracking work in Sweden suggested a dispersal rate (proportion of individuals leaving their natal tree for another hollow tree) of 15% and an average dispersal distance of approximately 60 m (Ranius & Hedin, [2001;](#page-12-0) Hedin et al., [2008](#page-10-0)). However, using a different method, Larsson & Svensson ([2009\)](#page-11-0) observed a dispersal rate of at least 50% for the same species in the same study area. Svensson et al. [\(2011](#page-12-0)) observed a mean dispersal range of around 80 m and did not observe any difference in the outcomes using different methods. Chari et al. ([2013\)](#page-10-0), who studied O. eremita in Italy, observed dispersal rates of 60–70%. A comparison of flight activity of *O. eremita* both within and among years, showed that it was positively influenced by temperature (Larsson & Svensson, [2011\)](#page-11-0).

#### (5) Genetic structure

We found four studies on genetic structure that considered eight species (five beetles, two pseudoscorpions, and one fly species) associated with hollow trees. For three of these species, earlier studies of their spatial occurrence patterns (or for Osmoderma barnabita those of a closely related species, O. eremita; Ranius et al., [2011](#page-12-0)) indicated dispersal limitation, with a higher frequency of occurrence per tree in trees with a higher level of connectivity to other hollow trees (Elater ferrugineus and Larca lata; Ranius et al., [2011\)](#page-12-0). By contrast, for two of the studied species, no positive effect of connectivity was found (Protaetia marmorata and Allochernes wideri; Ranius  $et al., 2011$ , while the remaining three species have not been studied in this regard (Anaspis ruficollis, Criorhina floccosa, and Xylomya maculata). For the first three species, genetic structure was qualitatively consistent with spatial occurrence patterns: genetic distance was positively correlated with geographic distance, suggesting dispersal limitations for *Elater ferrugineus* (Oleksa et al., [2015](#page-11-0)), Larca lata (Ranius & Douwes, [2002](#page-12-0)),

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and O. barnabita (Oleksa et al., [2013\)](#page-11-0). By contrast, for species where connectivity had been studied, but no positive effects were observed, genetic distance was small and not correlated with geographic distance [Allochernes wideri (Ranius & Douwes, [2002](#page-12-0)); Protaetia marmorata (Oleksa et al., [2013\)](#page-11-0)]. Moreover, no indication of restricted gene flow was observed for those species for which no studies of spatial occurrence patterns have been conducted (Schauer et al., [2018](#page-12-0)a). Genetic structure reflects not only ongoing dispersal, but also historical dispersal (Ranius & Douwes, [2002](#page-12-0)). In the studied landscapes, the historical amount of habitat has been either higher than today (Ranius & Douwes, [2002](#page-12-0)) or is not known, meaning that observed genetic structure might not reflect current conditions.

#### IV. DISCUSSION

#### (1) Occurrence patterns in relation to characteristics at the hollow and tree level

Our understanding of the importance of characteristics of trees and hollows for inhabiting invertebrate fauna is based primarily on snapshot observations of occurrence patterns. Little is known about the behaviour of individuals and population processes underpinning the observed patterns. There is one study on how occurrence patterns change over time (Lindman *et al.*, [2020\)](#page-11-0) and one on how egg-laying behaviour and larval survival is affected by substrate contents (Landvik et al., [2016](#page-11-0)a). The only aspect of behaviour among hollowdwelling saproxylic invertebrates that has been researched in more than one study is the effect of pheromones emitted by beetles. Pheromones can attract conspecifics (Larsson et al., [2003](#page-11-0)) but also specialised predators (Svensson, Larsson & Hedin, [2004\)](#page-12-0).

Many invertebrate species found in tree hollows occur in other types of dead wood, but a large proportion is associated primarily with tree hollows (Milberg et al., [2016;](#page-11-0) Ranius & Jansson, [2000](#page-12-0)). The large number of species associated with tree hollows may be a function of several factors, which also may affect their occurrence patterns. These factors include: (a) microclimate,  $(b)$  food resources,  $(c)$  provision of shelter, and (d) temporal longevity.

#### (a) Microclimate

The microclimate in tree hollows is more stable in comparison to downed wood or standing dead wood. In studies in south-eastern Sweden, daily temperature fluctuations were around  $1 \text{ }^{\circ}C$  in tree hollows in oak pastures,  $8 \text{ }^{\circ}C$  in standing and downed dead wood, and  $11^{\circ}$ C in the air (Lindman, Öckinger & Ranius, [2022;](#page-11-0) Lindman et al., [2023](#page-11-0)). The fact that tree hollows buffer climate fluctuations adds to findings at larger scales that old-growth forest structure can buffer populations from climate warming (Betts et al., [2018](#page-10-0)). Thus, microclimatic conditions could be a key factor explaining

why many saproxylic invertebrate species are confined to tree hollows.

Microclimatic conditions may influence relationships between easily measured characteristics of trees and hollows and saproxylic fauna. Characteristics of trees and hollows that have been found to affect the occurrence of invertebrates are correlated with microclimatic temperature and moisture (Lindman et al., [2023\)](#page-11-0). Hollows in trees with large diameters have higher autumn and winter temperatures and lower summer temperature and temperature fluctuations than those in trees with small diameters. More open conditions tend to increase the temperature, especially in spring and autumn, with larger entrance holes leading to increased temperatures. Higher entrance height gives rise to drier conditions and also influences temperature, especially for warmer spring and summer temperatures. Hollows with a larger amount of wood mould volume are cooler. Finally, when the entrance is directed in an upward direction, moisture levels are higher and autumn temperature fluctuations lower (Lindman *et al.*, [2023](#page-11-0)). This strongly suggests that microclimatic conditions are important explanatory variables behind observed occurrence patterns in relation to characteristics of trees and hollows.

### (b) Food resources

Many beetle species associated with tree hollows seem to develop and survive in a mixture of dead leaves and fresh sawdust (Mestre et al., [2018](#page-11-0)). Furthermore, at least one beetle species (O. barnabita) that is confined to tree hollows, grows rapidly when fed only on dead leaves (Landvik et al., [2016](#page-11-0)a). The use of dead leaves as a food may be one explanation why many saproxylic beetle species favour tree hollows, as dead leaves accumulate in tree hollows to a greater extent than in other types of dead wood. Nevertheless, since dead leaves represent a suitable diet for tree hollow specialists, there must be other factors, such as microclimate, that prevent them from breeding in other forms of compost. Most species in tree hollows can use several different tree species (Milberg *et al.*, [2014\)](#page-11-0), suggesting they may be relatively insensitive to the chemistry of the wood. Thus, at least for these species, it is not specialisation on certain food resources that confines them to tree hollows.

Entomologists have identified beetle species associated with animal nests in hollows (Ranius & Jansson, [2000\)](#page-12-0), perhaps reflecting the fact that animal nests add nutrients to the hollows. Furthermore, anecdotal observations exist of invertebrates inhabiting tree hollows that occur in association with nests and other animal remains outside tree hollows (Ranius & Wilander, [2000\)](#page-12-0). However, the presence of remains from nests have not been found to increase the fre-quency of occurrence of saproxylic invertebrates [Table [2;](#page-5-0) note however that Quinto et al. ([2014\)](#page-11-0) observed some positive relationships with insect abundance].

Nevertheless, the availability of resources may be an important factor explaining invertebrate occurrence patterns in tree hollows. Positive effects on species occurrences of tree

diameter and amount of wood mould have been found (Table [2\)](#page-5-0). Trees with a larger diameter and larger amounts of wood mould provide more extensive resources for saproxylic fauna, thereby hosting larger populations with smaller extinction risk (Ranius, [2007](#page-11-0)). Furthermore, larger diameter trees have more space to support a wider range of microhabitats for a larger number of species. However, tree diameter also affects microclimatic conditions (Lindman *et al.*,  $2023$  and reflects tree age (Ranius *et al.*,  $2009b$  $2009b$ ), which also might be important for invertebrate fauna.

Variation in the availability of food resources also may explain other relationships between species occurrence patterns and easily measured tree characteristics. A greater amount of dead leaves in tree hollows increases the availability of nutrients (Landvik et al., [2016](#page-11-0)a); dead leaves are more abundant in tree hollows with larger entrance holes (Walker & Merritt, [1988](#page-12-0)) and this may explain the increased abundance of saproxylic beetles in such cavities (Table [2](#page-5-0)). Another reason why larger entrance holes indicate more resources is that there can be a positive correlation between the size of the entrance hole and wood mould volume and tree age (Ranius et al., [2009](#page-12-0)b). Landvik et al. ([2016](#page-11-0)a) found that frass from beetles has a much higher N content, as well as other important elements, than brown rotten wood. Since frass is more prevalent in later decay stages than brown rotten wood, this may explain why studies have shown higher frequency of occurrence in older trees and tree hollows in later stages (Table [2](#page-5-0)).

#### (c) Hollow trees as shelter

Tree hollows might provide shelter for invertebrates, potentially decreasing predation or parasitism risk. Hernández-Corral et al. [\(2021](#page-11-0)) found a lower abundance of invertebrate predators (spiders) in cavities that occur higher in the tree, suggesting that cavities above the ground provide some shelter from ground-living predators. However, we found no studies on the potential influence of predators on the saproxylic beetle fauna in tree hollows. Since a higher abundance and species richness of predators often leads to lower richness and biomass of prey (Katano et al., [2015\)](#page-11-0), an increased occurrence of saproxylic beetles in tree hollows at greater heights above the ground may reflect lower predation rates. However, for several species the opposite pattern was found, with a higher frequency of occurrence in cavities closer to the ground (Table [2](#page-5-0)).

## (d) Temporal longevity

Tree hollows are a relatively long-lasting habitat compared with other types of dead wood. We hypothesised that it might take time for invertebrate fauna to colonise and occupy hollow trees due to limited dispersal ability, as has been found for epiphytes (Johansson, Ranius & Snäll, [2012\)](#page-11-0). However, we found no support for time effects in the literature. For instance, a 25-year study of *O. eremita* revealed that populations fluctuated over time and that both colonisations and

local extinctions occurred, suggesting that slow accumulation of species is not the determining process. A 10-year study of boxes containing an artificial decaying wood habitat showed that there was no accumulation of species over time (Carlsson et al., [2016](#page-10-0)).

#### (2) Observed occurrence patterns in the context of metapopulation theory

The extent to which metapopulation theory is relevant when predicting colonisation–extinction dynamics and occurrence patterns varies widely among study systems (Harrison & Taylor, [1997](#page-10-0)). Metapopulation dynamics is primarily applied to species confined to habitat patches surrounded by a matrix of non-habitat (Thomas, Thomas & Warren, [1992\)](#page-12-0). In metapopulations, there is some dispersal between patches, which allows colonisation, but since dispersal is limited, a reduction in available habitat in the surrounding landscape decreases the probability of colonisation and increases the probability of extinction to the extent that species occupancy per habitat patch is decreased. The extent to which these criteria are fulfilled is dependent on both the biology of the species and characteristics of landscapes and habitat patches.

We found several studies showing an effect of the abundance of hollow trees in the surrounding landscape on pertree occurrence of invertebrates in tree hollows. By contrast, there was no indication that any other features of the surrounding landscape were important. This suggests, consistent with metapopulation theory, that individual hollow trees constitute habitat patches, while the surrounding landscape may act as a non-habitat matrix.

We found two studies that provided empirical evidence for the extinction threshold hypothesis (Nilsson & Baranowski, [1997;](#page-11-0) Ranius, [2002](#page-11-0)). There is evidence for stronger dispersal limitation in cooler climates (Chiari  $et al., 2013$  $et al., 2013$ , but tests of the extinction threshold hypothesis have been conducted only in Sweden, close to the northern distribution limit of the species examined. Studies are lacking from other regions with a warmer climate, and thus it is unknown how general these patterns are.

Metapopulation theory provides a theoretical explanation for the existence of extinction thresholds (Lande, [1987](#page-11-0)), and the observed threshold patterns (Nilsson & Baranowski, [1997;](#page-11-0) Ranius, [2002](#page-11-0)) are consistent with metapopulation theory. They are also consistent with predictions of long-term population viability based on population size time series for one beetle species (Ranius, [2007\)](#page-11-0). These observed threshold patterns have implications for conservation. In terms of per-tree occupancy of invertebrate species, large continuous areas are better than more fragmented hollow tree habitat. By contrast, widely spaced hollow-bearing trees remain suitable as habitat for mobile species such as birds (Le Roux et al., [2018](#page-11-0)). An argument for protecting many small areas rather than a few large ones is that landscapescale species richness can be higher in the former due to higher habitat heterogeneity and greater variability in species composition (Fahrig et al., [2021](#page-10-0)). However, landscape-scale

species richness has not been assessed in any study of invertebrates in tree hollows.

## (3) Observed processes in the context of metapopulation theory

Population dynamics, including colonisation–extinction dynamics, has been studied most extensively for O. eremita than for any other hollow-dependent invertebrate species (Fig. [1B](#page-4-0)). Given the wide variation in population sizes of hollowdependent invertebrates among trees, it has been suggested that *O. eremita* has features of a "mainland-island metapopulation" (Ranius, [2007](#page-11-0); sensu Harrison & Taylor, [1997\)](#page-10-0), with much higher local extinction risk in trees that are "islands" in comparison to those that are "mainlands". This pattern was also proposed for populations of *Tenebrio opacus* (Laroche et al., [2018\)](#page-11-0). However, since population sizes for both species can change rapidly over time (Laroche et al., [2018;](#page-11-0) Lindman *et al.*,  $2020$ , it is impossible to identify trees that act as a "mainland" in the long term, since all local populations are at risk of extinction over timescales of a few decades. Thus, these populations may function as mainland–island metapopulations over timescales of only a few years.

It has also been argued that O. eremita has features of a "habitat-tracking metapopulation" (Ranius, [2007](#page-11-0)). Habitattracking metapopulations are those that track the dynamics of their habitats, going extinct where habitat patches become unsuitable, and colonising both old and recently formed patches (Harrison & Taylor, [1997\)](#page-10-0). Thus, they differ from "classic" metapopulations, in which local extinctions take place stochastically in small populations inhabiting habitat patches that later can be recolonised. A 25-year study of O. eremita revealed that extinctions from trees are partly due to habitat dynamics (i.e. trees collapse, making them unsuitable habitat), while others can be explained by stochastic processes in small populations (Lindman et al., [2020](#page-11-0)). For O. eremita, colonisation rate increased with connectivity and tree characteristics reflecting early successional stages, while extinction rate increased with tree diameter, with a greater diameter found in trees at later stages (Lindman et al., [2020](#page-11-0)). A space-for-time study showed that the largest populations of O. eremita were in trees 300–400 years old (Ranius et al., [2009](#page-12-0)b). Hollow trees younger than 300 years often had limited wood mould, while trees older than 400 years were suitable but rare due to management history or natural tree mortality. Thus, the dynamics of hollow trees is important for the dynamics and persistence of these metapopulations.

## (4) Dispersal and genetic structure

Society.

The dispersal of *O. eremita* has been assessed in several studies, but with contrasting results (Fig. [1B\)](#page-4-0). One possible explanation for the relatively high dispersal rates observed by Larsson & Svensson [\(2009\)](#page-11-0) in comparison to Ranius & Hedin [\(2001\)](#page-12-0) and Hedin et al. [\(2008\)](#page-10-0), is that the average summer temperature was about  $2^{\circ}$ C warmer in the field observations of Larsson & Svensson [\(2009\)](#page-11-0). Flight activity of O. eremita is

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positively influenced by temperature, both within and among years (Larsson & Svensson, [2011](#page-11-0)). A comparison of the dispersal biology of O. eremita between two regions adds support to this conclusion; using the same methods as Ranius & Hedin  $(2001)$  $(2001)$  and Hedin et al.  $(2008)$  $(2008)$  $(2008)$ , Chiari et al.  $(2013)$  $(2013)$  $(2013)$  studied O. eremita in a considerably warmer site in Italy, and observed higher dispersal rates and ranges. In Sweden, flights were observed only during the warmest part of the day (Ranius et al., [2005](#page-11-0)), while in Italy flight activity was similar between day and night (Chiari et al., [2013\)](#page-10-0). Thus, dispersal rate and range may be lower for this species at cooler northern locations, but higher in a warmer weather and climate. This implies that metapopulation dynamics for certain species may be more relevant in cooler regions than in warmer, although this has not been tested.

It is difficult to assess dispersal using genetic studies, especially since little is known about current and historical population sizes for invertebrates occupying tree hollows whose genetic structure has been studied. Nevertheless, consistent findings between occurrence patterns and studies of genetic structure strongly support the conclusion that several, but not all, invertebrate species associated with hollow trees have occurrence patterns that are dispersal-limited.

## (5) Knowledge gaps

Most research on invertebrates in tree hollows has comprised "snapshots" comparing the fauna in trees with different characteristics. One way to expand our understanding of the ecology of this fauna is to conduct studies at a wider range of spatial and temporal scales. It would be useful to compare large-scale differences in occurrence patterns between regions, and to study the spatial dynamics of species and communities over time. This is particularly relevant from a biodiversity conservation perspective because such studies can increase our understanding of how to mitigate potential negative consequences of climate warming and habitat loss and fragmentation. In addition, greater understanding is needed on the mechanisms giving rise to occurrence patterns, which can reveal how the behaviour of these invertebrates interacts with microclimate, microorganisms, and the chemical properties of tree hollows. Investigations of the interactions among invertebrates in tree hollows also might improve our understanding of their occurrence patterns. Small-scale studies on the mechanisms involved could be conducted in experimental studies in the field and the laboratory.

Much of the research on invertebrates occupying tree hollows has been carried out on oaks, especially in Northern Europe (Fig. [1A](#page-4-0)). One reason for this bias may be that oaks support more hollows and other microhabitats than many other tree species (Vuidot et al., [2011\)](#page-12-0), and remain standing for a long time after they first develop hollows (Ranius et al., [2009](#page-12-0)b). Broadening research to other tree species and regions might provide new perspectives on the habitat requirements of invertebrates occupying tree hollows. For instance, for species requiring certain microclimatic conditions, habitat requirements may differ across their geographical range due

<span id="page-10-0"></span>to macroclimatic variation. This was observed for saproxylic beetles in downed logs (Gossmann et al., 2024), but has not been studied for species in hollow trees. Hollows in different tree species might differ in variables such as average size, shape, and longevity, which could influence both species occurrence patterns and temporal dynamics.

### V. CONCLUSIONS

(1) Hollow-dependent invertebrates are easier to research relative to many other forest and dead wood invertebrates, since their microhabitat is easy to identify. Nevertheless, research on the ecology of this fauna has so far been conducted only in Europe and almost solely on beetles.

(2) Tree hollows buffer climate fluctuations. Tree microclimatic conditions and tree size are important factors explaining habitat suitability.

(3) Metapopulation theory is useful to understand largescale occurrence patterns and long-term persistence dynamics of invertebrates occupying tree hollows. However, the structure of systems studied to date does not conform to classic metapopulation models, since population sizes vary widely among trees and over time. Instead, the studied metapopulations are habitat-tracking, which means that the temporal dynamics of the trees and the ability of metapopulations to track these dynamics are important factors for understanding the long-term consequences of management and conservation measures.

(4) Prolonging the life of existing hollow trees is an important measure to protect hollow-dependent invertebrate fauna. One reason for this is that hollow trees are typically older and larger than most other trees, but even among hollow trees, the largest trees have higher value, since they have a higher frequency of occurrence of invertebrates associated with hollow trees. In addition, it is critical to ensure recruitment opportunities for new generations of hollow trees (Fig. [1A\)](#page-4-0).

(5) Priority should be given to maintaining or increasing larger clusters of hollow-bearing trees for conserving saproxylic invertebrates that use tree hollows. This is because per-tree use by invertebrates associated with hollow trees is greater in larger clusters of hollow trees relative to single trees or trees in smaller clusters.

(6) To expand our knowledge, it will be useful to compare patterns of occurrence between regions, and study the dynamics of species and communities over time. It will also be useful to understand better how occurrence patterns are influenced by microclimate, microorganisms, and the chemical properties of tree hollows.

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## VII. SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

Table S1. Relevant papers found in our literature search, divided according to which of our questions they contributed answers to.

Table S2. Data compiled in Table [2](#page-5-0).

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