



# Overestimated natural biological nitrogen fixation translates to an exaggerated CO<sub>2</sub> fertilization effect in Earth system models

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CO<sub>2</sub> fertilization of the terrestrial biosphere is limited by nitrogen. Biological nitrogen fixation (BNF) is the dominant natural nitrogen source to the terrestrial biosphere and can alleviate nitrogen limitation but is poorly constrained in Earth system models (ESMs). Here, we compare terrestrial BNF from an ensemble of ESMs of the 6th Coupled Model Intercomparison Project to a new global synthesis of observations across natural and agricultural biomes. We find that compared to observations, ESMs underestimate agricultural BNF but overestimate natural BNF in the present day by over 50%. Natural BNF is overestimated in the most productive ecosystems that contribute most to the terrestrial carbon sink (forests and grasslands). ESMs with different BNF representations yield a range of BNF responses to CO<sub>2</sub> enrichment. Some ESMs with phenomenological representations of BNF predict a natural BNF increase in response to a doubling of CO<sub>2</sub> that aligns with a meta-analysis of CO<sub>2</sub> enrichment experiments (31% increase) but fail to account for the substantial carbon cost of BNF. In contrast, ESMs with mechanistic representations of BNF account for its carbon cost as well as its regulation by nitrogen limitation but overestimate the BNF response to a doubling of CO<sub>2</sub> (135% increase). Overall, all current BNF representations in ESMs fall short of fully capturing its response to rising atmospheric CO<sub>2</sub>. Finally, we find a positive correlation between modeled present-day natural BNF and the CO<sub>2</sub> fertilization effect across ESMs, suggesting that overestimated natural BNF translates to an exaggerated CO<sub>2</sub> fertilization effect of approximately 11% in ESMs.

biological nitrogen fixation | CO<sub>2</sub> fertilization | Earth system models

The terrestrial biosphere currently sequesters approximately a quarter of anthropogenic CO<sub>2</sub> emissions (1). Terrestrial CO<sub>2</sub> sequestration has been enhanced by the CO<sub>2</sub> fertilization effect, in which rising atmospheric CO<sub>2</sub> concentration stimulates photosynthesis and plant growth (2). However, the CO<sub>2</sub> fertilization effect has declined globally over recent decades, indicating a weakening ability of the terrestrial biosphere to mitigate climate change (3). This recent global decline in the CO<sub>2</sub> fertilization effect is suggested to be driven, in part, by nutrient limitation of plant growth (3, 4). A nutrient of particular interest is nitrogen (N), an essential element for plant growth, which limits the productivity of the terrestrial biosphere and its capacity for CO<sub>2</sub> sequestration (5–7).

Anthropogenic activities such as fertilizer application in agriculture as well as fossil fuel combustion have substantially increased N loading in many regions of the terrestrial biosphere both directly as fertilizer and indirectly as atmospheric deposition (8). Simultaneously, N availability may be declining in many natural ecosystems due to rising atmospheric CO<sub>2</sub> concentration, suggested by decreasing N concentrations and isotope ratios in leaves, wood, and lake sediments alongside declining ecosystem N losses (9). Because natural ecosystems dominate the terrestrial CO<sub>2</sub> sink (10), global declines in N availability in natural ecosystems could compromise the persistence of the CO<sub>2</sub> fertilization effect (7).

Under N-poor conditions, plants employ various strategies to enhance N acquisition, overcome N limitation, and sustain growth. Plants can invest carbon (C) in fine root production (11) and mycorrhizal fungi (12) to enhance uptake of available soil N. Plants can also adjust their stoichiometry to increase C storage per unit N via higher tissue C:N ratios (13), or allocate more C to tissues with high C:N ratios such as wood (14). While these strategies influence how plants capitalize on existing N within an ecosystem, another strategy brings “new N” into ecosystems via biological nitrogen fixation (BNF): some plants can form symbiotic relationships with N-fixing bacteria, which transform

## Significance

Earth system models (ESMs) are used to project climate change, which depends in part on how much carbon plants take up. Nitrogen is an essential limiting nutrient to plant growth and carbon uptake, and it is increasingly incorporated into ESMs. However, ESMs differ greatly in how they simulate terrestrial biological nitrogen fixation (BNF), the main natural nitrogen source to terrestrial ecosystems. We show that most ESMs inaccurately simulate terrestrial BNF, differing from a new global synthesis of terrestrial BNF measurements across natural and agricultural biomes. ESMs significantly underestimate agricultural BNF. ESMs overestimate BNF in forests and grasslands, which are the ecosystems with the greatest carbon uptake. As a result, ESMs could exaggerate plant carbon uptake as atmospheric CO<sub>2</sub> concentration rises.

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**Table 1. Description of BNF representations in ESMs**

ESM	Land surface model	Symbiotic BNF		
		Natural BNF	Agricultural BNF	Free-living BNF
EC-Earth3-CC EC-Earth3-Veg	LPJ-GUESS	BNF = 0.0102AET + 0.0524 enters soil inorganic N pool		
MIROC-ES2L MIROC-ES2H	VISIT-e	BNF = 0.5(0.0234AET + 0.0172) enters plant N pool	66% of root N uptake (N-fixing crop PFT) enters plant N pool	BNF = 0.5(0.0234AET + 0.0172) enters litter N pool
CMCC-CM2-SR5 CMCC-ESM2 SAM0-UNICON TaiESM1	CLM4	BNF = 1.8(1 − e <sup>−0.003NPP</sup> ) enters soil inorganic N pool		
AWI-ESM-1-1-LR AWI-ESM-1-REcoM MPI-ESM1-2-HAM MPI-ESM1-2-LR MPI-ESM1-2-HR	JSBACH	BNF = 1.8(1 − e <sup>−0.003NPP</sup> ) enters soil inorganic N pool		
UKESM1-0-LL UKESM1-1-LL	JULES-ES	BNF = 0.0016 × NPP enters soil inorganic N pool		
CESM2 CESM2-FV2 CESM2-WACCM CESM2-WACCM-FV2 NorESM2-LM NorESM2-MM	CLM5	FUN model enters plant N pool	FUN model (N-fixing crop PFT) enters plant N pool	BNF = (6.0AET + 0.702) × 10 <sup>−5</sup> enters soil inorganic N pool

BNF is given in g N m<sup>−2</sup> y<sup>−1</sup>, AET is given in cm y<sup>−1</sup>, and NPP is given in g C m<sup>−2</sup> y<sup>−1</sup>. PFT: plant functional type. See *SI Appendix, Table S1* for more details.

atmospheric N<sub>2</sub> gas into a plant-available form of N (15). These plants can up-regulate BNF under N-limited conditions by allocating more C to their symbiotic N-fixing bacteria. Additionally, free-living forms of BNF, carried out by N-fixing microbes associated with mosses, lichens, biological soil crusts (biocrusts), litter, dead wood, and soil are important but often overlooked N sources (16). Overall, BNF has a high potential for enhancing N availability (15), yet the extent to which it can sustain elevated productivity under rising atmospheric CO<sub>2</sub> concentration is unclear.

Earth system models (ESMs) simulate and project the dynamics of the Earth system under global change, underlying the climate change projections in the Intergovernmental Panel on Climate Change (IPCC) reports (17). Incorporating N cycling into the land surface components of ESMs has been a focal point of recent ESM development, adopted by approximately half of ESMs in the most recent Coupled Model Intercomparison Project (CMIP6) for the IPCC Sixth Assessment Report (18). ESM simulations show a substantial CO<sub>2</sub> fertilization effect, but it is significantly lower in ESMs with terrestrial N cycling (which explicitly represent N limitation of plant growth) (18, 19). ESMs with terrestrial N cycling rely on varying assumptions and structures to represent key N cycling processes (20), and the impact of these assumptions on the modeled CO<sub>2</sub> fertilization effect is unclear. In particular, BNF has been identified as a key uncertainty in ESMs (21, 22). This is, in part because BNF is the dominant natural N flux to the terrestrial biosphere (15), and in part because the capacity for symbiotic BNF to respond to and alleviate N limitation is challenging to parse and to represent in silico but pivotal to the persistence of the CO<sub>2</sub> fertilization effect.

ESMs represent BNF in multiple ways (Table 1). Traditionally, ESMs have represented BNF phenomenologically as a function of net primary production (NPP) or actual evapotranspiration (AET), following conceptual and empirical evidence showing correlations between BNF rates and C supply, temperature, and water availability (15, 23). More recent efforts have represented

BNF more mechanistically. For example, in the Fixation and Uptake of N (FUN) model, which is included in some ESMs (Table 1), plants optimize BNF to maximize their growth given both N limitation and the substantial C cost of BNF (24). FUN thus captures important underlying mechanisms such as the observed up-regulation of symbiotic BNF in N-limited conditions due to elevated atmospheric CO<sub>2</sub> concentration (25). Further, only a few ESMs distinguish between symbiotic BNF by agricultural crops (e.g., soybeans, alfalfa, etc.) versus natural vegetation. Similarly, only a few ESMs distinguish between symbiotic versus free-living BNF, despite significant differences in patterns and controls among these BNF niches (26). Studies focusing on a single model show that the representation of BNF has a significant impact on modeled terrestrial CO<sub>2</sub> sequestration (27, 28).

Because global BNF has been poorly constrained, with empirical estimates ranging from 40 to 290 Tg N y<sup>−1</sup> (15, 21, 23), evaluating BNF in ESMs has been problematic, leaving the role of BNF in sustaining the CO<sub>2</sub> fertilization effect unclear. However, a recent global bottom-up synthesis of BNF observations substantially reduces the uncertainty of estimated global BNF, offering an opportunity to rigorously evaluate ESMs. This new synthesis incorporates over four times as many observations as prior syntheses and addresses the primary source of uncertainty in previous estimates by quantifying abundances of N-fixers across all major natural and agricultural N-fixing niches (26).

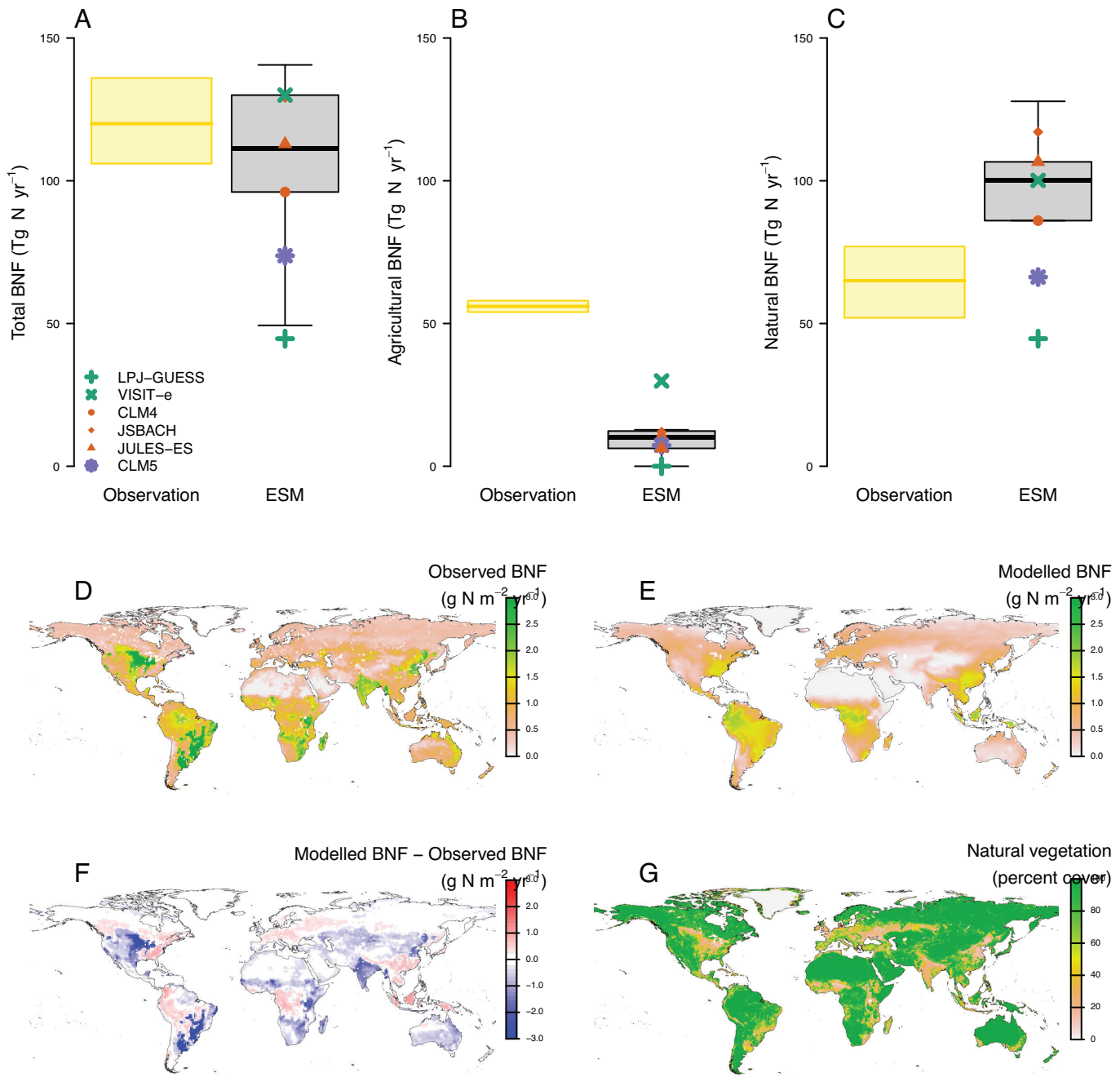
Here, we compare terrestrial BNF modeled in ESMs to observed terrestrial BNF from the new empirical BNF synthesis (26). We analyze 39 ESMs from CMIP6, 22 of which explicitly represent terrestrial N cycling. These 22 ESMs differ from each other in a number of ways, encompassing six different land surface models and five unique BNF representations (Table 1). We address the following questions: 1) How well do ESMs reproduce empirical estimates of present-day terrestrial BNF? 2) To what degree does enhanced BNF sustain the CO<sub>2</sub> fertilization effect in ESMs, and how does this compare to observations from elevated CO<sub>2</sub> experiments? 3) Is there a correlation between modeled BNF and the

CO<sub>2</sub> fertilization effect in ESMs and, if so, can observations of BNF be applied to this correlation to constrain the CO<sub>2</sub> fertilization effect?

Results and Discussion

**Present-Day BNF.** ESMs estimate that global terrestrial BNF is 111 Tg N yr<sup>-1</sup> with a range of 45 to 141 Tg N yr<sup>-1</sup> in the present-day (Fig. 1A and SI Appendix, Table S2). Observations from a new empirical BNF synthesis (26) estimate that global terrestrial BNF

is 120 Tg N yr<sup>-1</sup> with a range of 106 to 136 Tg N yr<sup>-1</sup>. Despite the overlap of these global estimates, there are major discrepancies between ESMs and observations. The synthesis revealed that BNF in agricultural ecosystems (56 Tg N yr<sup>-1</sup>; 54 to 58 Tg N yr<sup>-1</sup>) is almost as high as BNF in natural ecosystems (65 Tg N yr<sup>-1</sup>; 52 to 77 Tg N yr<sup>-1</sup>). By contrast, ESMs suggest BNF within agricultural areas is only 10 Tg N yr<sup>-1</sup> in the present-day, which is ~46 Tg N yr<sup>-1</sup> lower than observations (Fig. 1B). Conversely, ESMs suggest BNF within natural areas is 100 Tg N yr<sup>-1</sup> in the present-day, exceeding observations by ~35 Tg N yr<sup>-1</sup> (Fig. 1C).

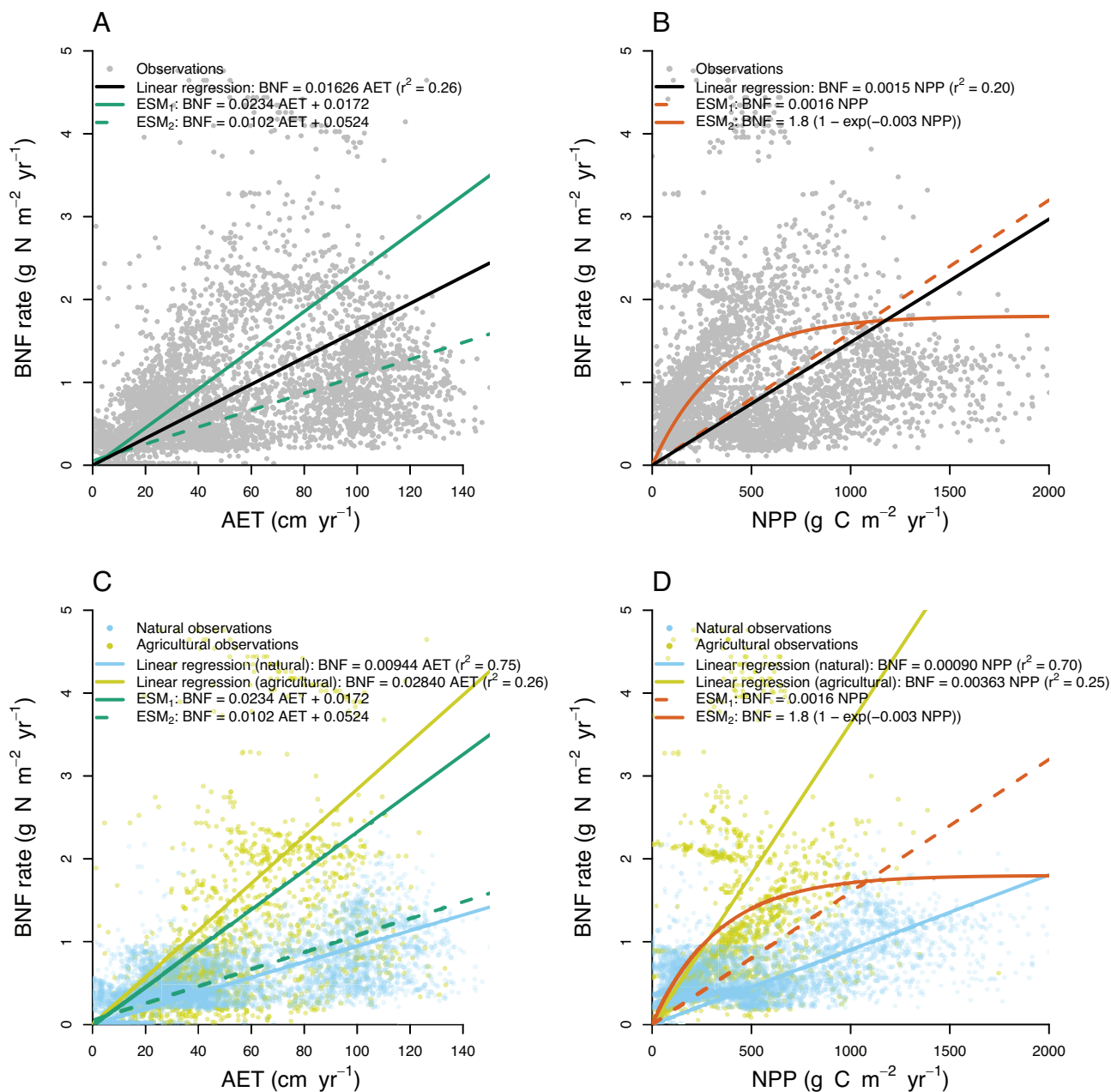


**Fig. 1.** Comparison between empirical and modeled present-day terrestrial BNF. (A) Total, (B) agricultural, and (C) natural global BNF from a new empirical BNF synthesis (26) and ESMs (averaged over 1995 to 2014). Gray boxplots indicate the median and interquartile range across ESMs, and whiskers indicate the minimum and maximum across ESMs. Each point represents the average of ESMs with the same land surface model. Different colors indicate different BNF representations (BNF<sub>AET</sub> green; BNF<sub>NPP</sub> orange; BNF<sub>FUN</sub> purple). Values are given in SI Appendix, Table S2. (D) Map of empirical BNF. (E) Map of modeled BNF (median across ESMs, averaged over 1995 to 2014). (F) Discrepancy between empirical BNF and modeled BNF, where blue areas indicate underestimation by ESMs and red areas indicate overestimation by ESMs relative to observations. (G) Percent natural vegetation cover for each 1 degree grid cell (averaged over 1995 to 2014) from ref. 29. Maps of modeled BNF for individual ESMs are shown in SI Appendix, Fig. S1.



Crops exhibit high BNF rates (26), which leads to empirically observed hotspots of BNF in regions with a high proportion of agricultural area (Fig. 1D), such as central North America, eastern South America, eastern Africa, and eastern Asia. In contrast, the highest modeled BNF rates occur primarily at low latitudes in tropical South America, Africa, and Asia (Fig. 1E). BNF representations in ESMs generally do not distinguish between natural and agricultural BNF (Table 1). As such, the greatest discrepancies between empirical and modeled BNF occur in agricultural areas (Fig. 1F and G and SI Appendix, Fig. S2).

The majority of ESMs represent BNF as a function of NPP or AET, based on the links between BNF rates and C supply, temperature, and water availability (15, 23). Newer BNF representations employ a resource optimization framework—the FUN model (Table 1). ESMs with BNF representations based on AET, NPP, and FUN (hereafter, BNF<sub>AET</sub>, BNF<sub>NPP</sub>, and BNF<sub>FUN</sub> representations, respectively) yield relatively similar global BNF estimates in the present-day despite different structural and parametric implementations of BNF (SI Appendix, Fig. S3). While ESMs simulate present-day AET and NPP reasonably well [yielding high-performance scores when compared to observations (30, 31)],



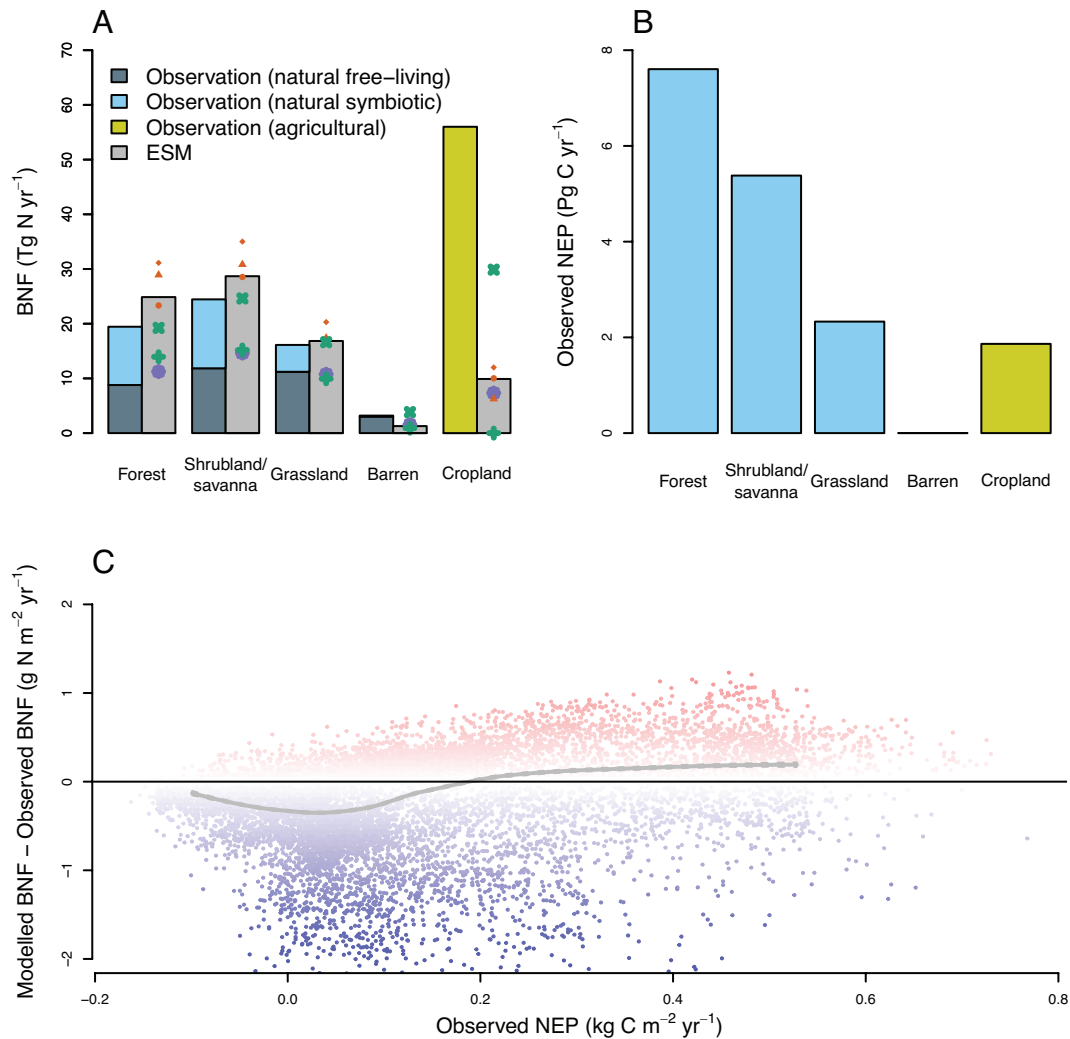
**Fig. 2.** Relationships between terrestrial BNF and AET and between terrestrial BNF and NPP that are implemented in ESMs compared to relationships derived from a new empirical BNF synthesis (26). (A) Total BNF (natural and agricultural) as a function of AET. (B) Total BNF (natural and agricultural) as a function of NPP. (C) Natural BNF and agricultural BNF as functions of AET. (D) Natural BNF and agricultural BNF as functions of NPP. BNF observations are from ref. 26 (a sample of 20,000 grid cell values at regular intervals), AET observations are from ref. 30, and NPP observations are from ref. 31. BNF representations in ESMs are described in Table 1 (note that ESMs employ multiple functions of both AET and NPP, indicated by the solid and dashed lines denoted as ESM<sub>1</sub> and ESM<sub>2</sub>). We show linear regressions with the intercept forced through zero because zero AET or NPP should correspond to zero BNF when implemented in ESMs. Statistical metrics for linear regressions and linear regressions with nonzero intercepts are given in SI Appendix, Table S3.

they do a poor job of simulating present-day BNF [yielding a low-performance score when compared to the new empirical BNF synthesis (26)] (see *Materials and Methods* for a description of performance scores and *SI Appendix, Figs. S4 and S5*).

The new empirical BNF synthesis (26) yields different relationships between BNF and AET/NPP than those currently implemented in ESMs with BNF<sub>AET</sub> and BNF<sub>NPP</sub> representations (Fig. 2 *A* and *B* and *SI Appendix, Table S3*). Further, while most ESMs use the same representation for both natural and agricultural BNF (Table 1), the new empirical BNF synthesis yields BNF–AET and BNF–NPP relationships for natural ecosystems that give substantially lower BNF values for a given AET or NPP value than those for agricultural ecosystems (Fig. 2 *C* and *D*). Natural ecosystem relationships also have stronger explanatory power than those for agricultural ecosystems (higher  $r^2$  and lower RMSE; *SI Appendix, Table S3*). The differing parameterizations for natural and agricultural BNF from the new empirical BNF synthesis (Fig. 2 *C* and *D*) are masked when natural and agricultural BNF are aggregated (Fig. 2 *A* and *B*) and lead to the underestimation of agricultural BNF and overestimation of natural BNF (Fig. 1). This could also be important for simulating N losses in ESMs, such as N<sub>2</sub>O, NO<sub>x</sub>, NH<sub>3</sub>, and HONO emissions, N aerosol emissions, and N

leaching, which are predominantly due to agriculture and have severe impacts on the climate system (32, 33) as well as aquatic eutrophication (34).

Within natural ecosystems, observations show that symbiotic BNF contributes 28 Tg N y<sup>−1</sup> (25 to 31 Tg N y<sup>−1</sup>) whereas free-living BNF contributes 36 Tg N y<sup>−1</sup> (31 to 41 Tg N y<sup>−1</sup>) (26), representing over half of total natural BNF (Fig. 3*A*). BNF representations in ESMs generally do not distinguish between symbiotic and free-living BNF (Table 1). ESMs overestimate BNF in forests, shrublands, savannas, and grasslands but underestimate BNF in barren areas in comparison to observations (Fig. 3*A*). This is likely because free-living BNF is a substantial contributor to BNF in barren areas. ESMs are thus overestimating BNF in the biomes contributing most to CO<sub>2</sub> sequestration, i.e., with the highest net ecosystem production (NEP) (Fig. 3 *B* and *C*). These empirical-modeled discrepancies in different regions are masked when examining the magnitude of global total BNF because the large discrepancies in agricultural versus natural BNF and between different biomes coincidentally cancel each other out, leading to equifinality of global total BNF but disguising underlying problems for simulating N supply and the terrestrial CO<sub>2</sub> sink.



**Fig. 3.** Comparison between empirical and modeled present-day terrestrial BNF across biomes relative to NEP. (*A*) Empirical and modeled BNF (median and interquartile range) across International Geosphere–Biosphere Programme (IGBP) biomes (35), where empirical BNF is separated into free-living BNF and symbiotic BNF. Each point represents the average of ESMs with the same land surface model, and colors and shapes match Fig. 1. (*B*) Empirical NEP across IGBP biomes from ref. 36. (*C*) Discrepancy between empirical BNF and modeled BNF over NEP, where blue areas indicate underestimation by ESMs and red areas indicate overestimation by ESMs relative to observations. Each point is a grid cell.

Overall, ESMs are overestimating BNF in the most productive biomes—forests and grasslands—which are the largest contributors to the terrestrial CO<sub>2</sub> sink. Because BNF has a high potential to enhance N availability and sustain elevated productivity under rising atmospheric CO<sub>2</sub> concentration (21, 27, 28), this raises the possibility that ESMs are overestimating the CO<sub>2</sub> fertilization effect.

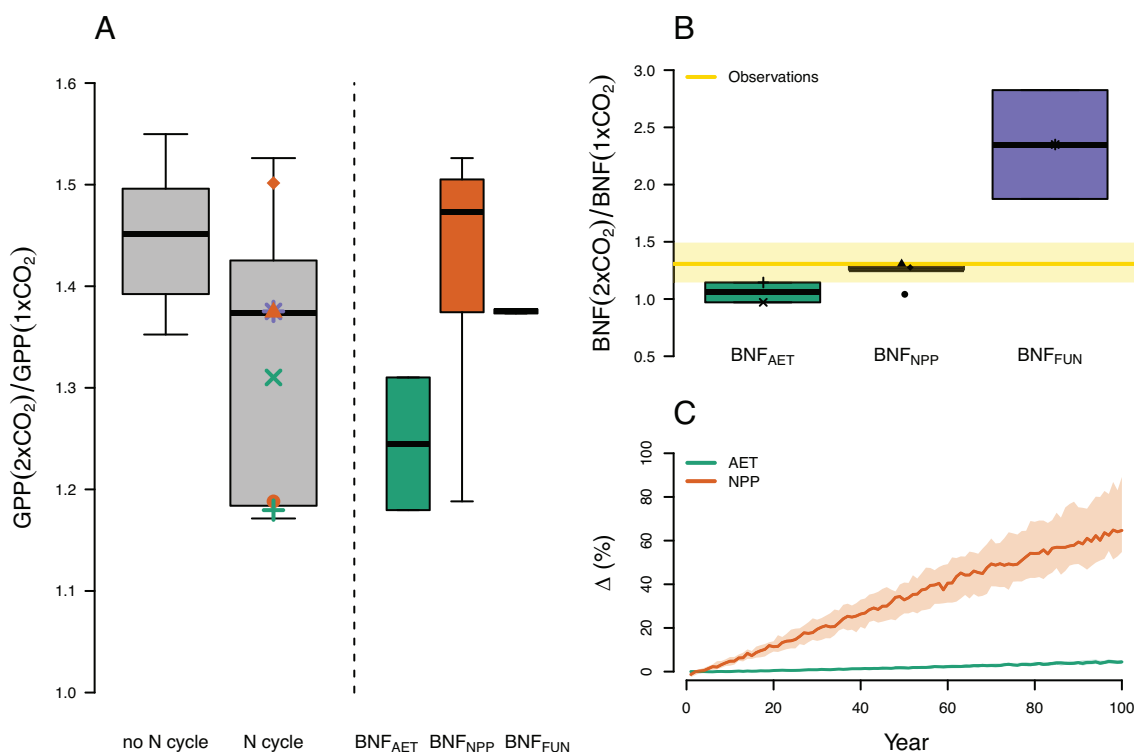
**BNF and the CO<sub>2</sub> Fertilization Effect.** Experimental ESM simulations in which atmospheric CO<sub>2</sub> concentration increases at 1% per year from its preindustrial value (“1pctCO2 experiments”) are used to quantify the CO<sub>2</sub> fertilization effect (18). Here, we calculate the CO<sub>2</sub> fertilization effect as gross primary production (GPP) by natural ecosystems when atmospheric CO<sub>2</sub> concentration is twice the preindustrial level relative to GPP when atmospheric CO<sub>2</sub> concentration is at the preindustrial level, hereafter “GPP(2xCO<sub>2</sub>)/GPP(1xCO<sub>2</sub>).” In line with previous work (17, 18) and using a larger suite of 39 ESMs (*SI Appendix, Table S4*), we find that ESMs with terrestrial N cycling display a significantly lower CO<sub>2</sub> fertilization effect than ESMs without terrestrial N cycling ( $P < 0.05$ ; Fig. 4A).

Within ESMs with terrestrial N cycling, CO<sub>2</sub> fertilization effects vary depending on how BNF is represented. ESMs with BNF<sub>AET</sub> representations show modest CO<sub>2</sub> fertilization effects (24% increase with a doubling of CO<sub>2</sub>; Fig. 4A), reflecting small increases in AET and thus small increases in natural BNF with rising atmospheric CO<sub>2</sub> concentration (6% increase with a doubling of CO<sub>2</sub>; Fig. 4B and C). In contrast, ESMs with BNF<sub>NPP</sub> representations show higher CO<sub>2</sub> fertilization effects (47% increase with a doubling of CO<sub>2</sub>), reflecting large increases in NPP and thus large increases in natural BNF with rising atmospheric CO<sub>2</sub>

concentration (26% increase with a doubling of CO<sub>2</sub>; Fig. 4B and C). CLM4 is an exception, and analyses of CLM4 have identified overly strong N limitation that likely constrained the increase in NPP and thus natural BNF (37), which was remedied in CLM5 (which also shifted to a BNF<sub>FUN</sub> representation). ESMs with mechanistic BNF<sub>FUN</sub> representations show an intermediate CO<sub>2</sub> fertilization effect (38% increase with a doubling of CO<sub>2</sub>) but a disproportionately large natural BNF increase (135% with a doubling of CO<sub>2</sub>).

To benchmark the modeled BNF response to rising atmospheric CO<sub>2</sub> concentration, we conducted a meta-analysis of natural BNF in elevated CO<sub>2</sub> experiments and found a 31% (14 to 49%) natural BNF increase with a doubling of CO<sub>2</sub>. The meta-analysis aligns with the 26% increase in ESMs with BNF<sub>NPP</sub> representations and is higher than the 6% increase in ESMs with BNF<sub>AET</sub> representations. However, the meta-analysis suggests a substantially lower response than the 135% increase in natural BNF in ESMs with BNF<sub>FUN</sub> representations (Fig. 4B).

Our findings suggest that the choice of how to represent BNF underlies the simulated CO<sub>2</sub> fertilization effect in ESMs. While ESMs with different BNF representations yield relatively similar estimates of present-day BNF (Fig. 1), they yield starkly different estimates of BNF under rising atmospheric CO<sub>2</sub> concentration (Fig. 4B). ESMs with BNF<sub>AET</sub> representations have a low CO<sub>2</sub> fertilization effect: AET increases marginally, causing BNF to increase marginally, implying strong sustained N limitation of CO<sub>2</sub> fertilization. AET increases marginally because water use efficiency is enhanced at higher CO<sub>2</sub> concentration (38, 39). This occurs in ESMs both with and without terrestrial N cycling (Fig. 4C) suggesting that AET drives BNF, which drives the CO<sub>2</sub>



**Fig. 4.** CO<sub>2</sub> fertilization effect and natural terrestrial BNF under rising atmospheric CO<sub>2</sub> concentration simulated by ESMs. (A) CO<sub>2</sub> fertilization effect (GPP(2xCO<sub>2</sub>)/GPP(1xCO<sub>2</sub>)) for ESMs with and without terrestrial nitrogen (N) cycling. (B) Natural BNF when atmospheric CO<sub>2</sub> concentration is at the preindustrial level (BNF(2xCO<sub>2</sub>)/BNF(1xCO<sub>2</sub>)) for ESMs with different BNF representations compared to a meta-analysis of natural BNF in elevated CO<sub>2</sub> experiments. (C) Percent change in AET and NPP over 100 y of the 1pctCO<sub>2</sub> experiments for all ESMs. Shading indicates the interquartile range. Boxplots indicate medians and the interquartile range, and whiskers indicate the minimum and maximum across ESMs. Each point represents the average of ESMs with the same land surface model, and colors and shapes match Fig. 1. Individual ESMs are shown in *SI Appendix, Fig. S6*, and latitudinal patterns are shown in *SI Appendix, Fig. S7*.

fertilization effect. This is also problematic because AET (and thus BNF) becomes decoupled from productivity and C supply, which are underlying controls of BNF. ESMs with BNF<sub>NPP</sub> representations tend to have a higher CO<sub>2</sub> fertilization effect due to an amplifying feedback loop: NPP increases substantially as photosynthesis is enhanced at higher CO<sub>2</sub> concentration in ESMs (38, 39), causing BNF to increase substantially, alleviating N limitation of CO<sub>2</sub> fertilization, and further increasing NPP. ESMs that mechanistically incorporate the up-regulation of symbiotic BNF in N-limited conditions—BNF<sub>FUN</sub> representations—exhibit an enormous increase in natural BNF, exceeding observations from elevated CO<sub>2</sub> experiments. Despite this, ESMs with BNF<sub>FUN</sub> representations yield only a moderate CO<sub>2</sub> fertilization effect. This is likely because a significant fraction of increased productivity supported by up-regulated BNF is respired as a C cost of BNF, canceling out to a moderate CO<sub>2</sub> fertilization effect. On the other hand, BNF<sub>AET</sub> and BNF<sub>NPP</sub> representations do not account for the substantial C cost of BNF (24), which would detract from the CO<sub>2</sub> fertilization effect.

Overall, all BNF representations in ESMs fall short of fully capturing BNF-C interactions under rising atmospheric CO<sub>2</sub> concentration. ESMs with BNF<sub>AET</sub> representations simulate an unrealistically low BNF response and thus low CO<sub>2</sub> fertilization effect. ESMs with BNF<sub>NPP</sub> representations simulate a reasonable BNF response but neglect the substantial C cost of BNF and thus likely overestimate the CO<sub>2</sub> fertilization effect over time. ESMs with BNF<sub>FUN</sub> representations simulate an unrealistically high BNF response but more realistically account for both the C cost of BNF and its regulation by N limitation.

### Next Steps for Improving the Representation of BNF in ESMs.

Based on both our model-observation comparison of global terrestrial BNF in the present day and its response to rising atmospheric CO<sub>2</sub> concentration, we outline a series of suggestions for modeling terrestrial BNF in ESMs.

First, we suggest simulating agricultural and natural BNF separately in ESMs (Figs. 1 and 2). Observations show that agricultural ecosystems exhibit significantly higher BNF rates than natural ecosystems, largely because the dominant controls differ. While agricultural BNF is driven primarily by cropland and/or pasture composition as well as management strategies that favor high BNF rates (40), natural BNF is more strongly regulated by physiological and community-level processes (15). Agriculture strongly influences the global distribution of BNF. Distinguishing between agricultural and natural BNF in models is important for simulating the CO<sub>2</sub> fertilization effect and its spatial pattern with ESMs, given that natural ecosystems drive the terrestrial CO<sub>2</sub> sink, but natural BNF is overestimated in ESMs. It is also extremely important for accurately simulating N losses and their spatial pattern. However, agricultural BNF is only explicitly distinguished in one out of five land surface models in ESMs (Table 1).

Second, we suggest simulating symbiotic and free-living BNF separately in ESMs (Fig. 3). This would be an important step forward because symbiotic and free-living BNF rates have different optimal conditions and controls (16, 41), such as differing temperature optima (42). Additionally, symbiotic and free-living BNF supply different ecosystem N pools: Symbiotic BNF directly contributes to plant N uptake and sustains plant productivity, whereas free-living BNF enters the litter or soil N pools (among other niches). Free-living BNF contributes over half of total natural BNF but is only distinguished in two out of five land surface models in ESMs (Table 1).

We contextualize these two suggestions with simple back of the envelope calculations. ESMs suggest global natural BNF is 100

Tg N y<sup>-1</sup> in the present-day, which exceeds observations (65 Tg N y<sup>-1</sup>) by ~35 Tg N y<sup>-1</sup>. This implies that natural ecosystems are receiving ~35 Tg N y<sup>-1</sup> from an unaccounted N source in ESMs. Assuming that this BNF supports CO<sub>2</sub> sequestration and a plant C:N ratio of 100:1 (43), this N surplus supports sequestration of ~3.5 Pg C y<sup>-1</sup> which is ~7% of present-day natural NPP [50 Pg C y<sup>-1</sup> (31)]. If we only consider symbiotic BNF (29 Tg N y<sup>-1</sup>) and exclude free-living BNF (36 Tg N y<sup>-1</sup>), aligning modeled and observed global natural BNF brings the total overestimation of global natural BNF by ESMs to ~71 Tg N y<sup>-1</sup>. This N surplus supports sequestration of ~7.1 Pg C y<sup>-1</sup>, i.e., ~14% of present-day natural NPP is supported by an unaccounted N source in ESMs. This discrepancy would amplify as BNF increases under rising atmospheric CO<sub>2</sub> concentration. While there are several caveats associated with these extrapolations, they contextualize the importance of modeling natural vs. agricultural and symbiotic vs. free-living BNF for both the terrestrial C sink and agricultural N gas emissions in ESMs.

Third, we argue that a new representation of symbiotic BNF in ESMs is warranted given the limitations of each existing representation in capturing the response of BNF to rising atmospheric CO<sub>2</sub> concentration (Fig. 4). Because most ESMs use a phenomenological BNF representation and because overhauling process representations in ESMs is challenging, it is likely that many ESMs will continue to use a phenomenological BNF representation for some time. For these ESMs, we recommend using a BNF<sub>NPP</sub> representation over a BNF<sub>AET</sub> representation. While AET integrates two important controls on both BNF and productivity—temperature and water availability—its relationship to C supply becomes decoupled under rising atmospheric CO<sub>2</sub> concentration. Thus, we argue it is more appropriate to use NPP over AET as a driver to simulate BNF. We provide updated relationships between BNF and NPP in Fig. 2 (and *SI Appendix, Fig. S8* which focuses on symbiotic BNF), which are a simple shift that could be readily implemented in ESMs. Importantly, this new symbiotic BNF representation should build on the original BNF<sub>NPP</sub> representation by also incorporating the C cost of BNF. Independently, we believe it is essential to advance mechanistic BNF representations that can capture the regulation of symbiotic BNF by N limitation such as in the BNF<sub>FUN</sub> representation (25), other ecosystem models (44, 45), and newer terrestrial biosphere models (27, 46). The regulation of symbiotic BNF by N limitation not only underlies the response of BNF to rising atmospheric CO<sub>2</sub> concentration but also is important for capturing its response to changing atmospheric N deposition (47). Higher atmospheric N deposition could alleviate N limitation of CO<sub>2</sub> fertilization to some extent (48). However, updated symbiotic BNF representations should incorporate physiological limitations to BNF to prevent “runaway” BNF. Mechanistic BNF representations should be advanced to ensure that they are ready for adoption when ESMs currently using phenomenological BNF representations are prepared to transition to such a more complex and comprehensive approach.

Finally, as more ESMs incorporate other plant strategies that alleviate N limitation (e.g., flexible tissue allocation, stoichiometry, and mycorrhizae), these should be considered in parallel to symbiotic BNF (*SI Appendix, Supplementary Text*). As more ESMs incorporate terrestrial phosphorus (P) cycling, interactions between C, N, and P cycles should also be considered. P regulates symbiotic BNF, both because BNF requires P-rich metabolites and because it promotes plant growth which in turn increases P demand (49, 50). Novel representations of symbiotic BNF in ESMs can and should be evaluated through comparison to observations from experimental manipulations such as CO<sub>2</sub> enrichment experiments as well as concurrent warming or N and P fertilization



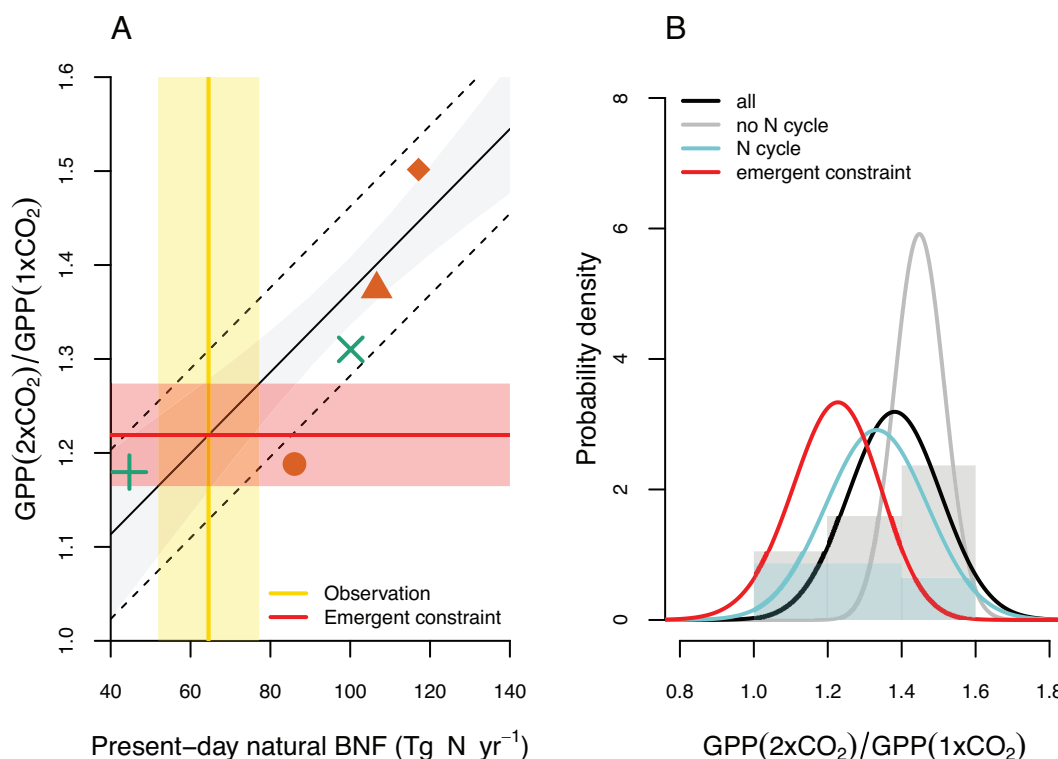
experiments. Benchmarking models against such experiments allows for the identification of model limitations in simulating appropriate ecosystem responses to future environmental change and their consequences (37).

**BNF Constrains the CO<sub>2</sub> Fertilization Effect in ESMs.** Emergent constraints have gained prominence as a method to reduce uncertainty in future projections by ESMs (51, 52). The concept is that, despite a large spread across ESMs, there are strong statistical relationships between simulated aspects of the current Earth system and simulated aspects of the future Earth system that only “emerge” when examining a suite of ESMs, transcending differences in structures and parameterizations across ESMs. Given these emergent relationships, observations of the current Earth system can be used to generate a “constraint” on ESM projections. BNF has a robust basis as an emergent constraint on the CO<sub>2</sub> fertilization effect as the dominant natural N source to the terrestrial biosphere needed to support new plant growth and CO<sub>2</sub> sequestration, as demonstrated by our analysis of its role in regulating the CO<sub>2</sub> fertilization effect above.

We find a positive correlation between modeled present-day natural BNF and the modeled CO<sub>2</sub> fertilization effect across ESMs with BNF<sub>NPP</sub> and BNF<sub>AET</sub> representations ( $P < 0.05$ ; ESMs with BNF<sub>FUN</sub> were not included because they do not capture the observed response of BNF to elevated CO<sub>2</sub>). Using the framework of emergent constraints, we apply the new empirical BNF synthesis (26) to the emergent relationship between present-day natural BNF and the CO<sub>2</sub> fertilization effect (Fig. 5A). After the

observational constraint is applied, the CO<sub>2</sub> fertilization effect is reduced from 1.33 (1.20 to 1.46) in the equal-weighted mean for ESMs with terrestrial N cycling to 1.23 (1.10 to 1.33), i.e., by 8% (Fig. 5B). Applying the observational constraint to the equal-weighted mean for ESMs without terrestrial N cycling, which is 1.45 (1.38 to 1.51), reduces the CO<sub>2</sub> fertilization effect by 15%. Applying the observational constraint to the equal-weighted mean for all ESMs, which is 1.38 (1.26 to 1.50), reduces the CO<sub>2</sub> fertilization effect by 11%.

**Conclusions.** Overall, while ESMs reproduce the empirically observed magnitude of global total terrestrial BNF (26), they greatly underestimate agricultural BNF and overestimate natural BNF in the most productive biomes that are the largest contributors to the terrestrial CO<sub>2</sub> sink. Our findings suggest that the way BNF is represented strongly influences the CO<sub>2</sub> fertilization effect in ESMs and that current BNF representations in ESMs fall short of fully capturing both its present-day patterns and its response to rising atmospheric CO<sub>2</sub> concentration. Moving forward, we offer a number of strategies for revising and improving the representation of BNF in ESMs, highlighting the importance of implementing key processes such as agricultural BNF, free-living BNF, the C cost of BNF, and its response to N limitation. Finally, we constrain the simulated CO<sub>2</sub> fertilization effect with a new empirical BNF synthesis. We show that, due to their overestimation of natural BNF, ESMs, especially those without terrestrial N cycling, are likely exaggerating the CO<sub>2</sub> fertilization effect and the capacity of the terrestrial CO<sub>2</sub> sink to mitigate climate change.



**Fig. 5.** Relationship and emergent constraint of natural terrestrial BNF on the CO<sub>2</sub> fertilization effect. (A) Relationship between modeled present-day natural BNF and the CO<sub>2</sub> fertilization effect ( $GPP(2xCO_2)/GPP(1xCO_2)$ ). Each point represents the average of ESMs with the same land surface model, and colors and shapes match Fig. 1. Individual ESMs are shown in *SI Appendix, Fig. S9*. The solid black line is a linear regression. The gray shaded region shows the 66% CI of the linear regression. The dashed black lines show the residual SE. Observations (26) are indicated by the vertical yellow line and yellow shaded region. The horizontal red line, which shows the intersection of the range of observations and the linear regression, indicates the emergent constraint of BNF on the CO<sub>2</sub> fertilization effect. (B) Probability density functions of  $GPP(2xCO_2)/GPP(1xCO_2)$ . The gray histogram shows the distribution of all ESMs, and the black line is a Gaussian distribution with the same mean and SD. The gray line is the Gaussian distribution corresponding to ESMs without terrestrial N cycling. The blue histogram shows the distribution of ESMs with terrestrial N cycling, and the blue line is a Gaussian distribution with the same mean and SD. The red line shows the emergent constraint. Values are given in *SI Appendix, Table S5*.



## Materials and Methods

**Global BNF Observations.** We used a new bottom-up empirical synthesis of global terrestrial BNF that upscales field measurements using abundances of natural N-fixing niches (trees, shrubs, herbs, ground mosses, epiphytic lichens, biocrusts, litter, dead wood, and soil) and agricultural N-fixing niches (legume crops and forage, rice, and sugarcane). It includes 1,177 published natural BNF rates and 5,473 published agricultural BNF rates along with corresponding abundance and distribution datasets to construct a global gridded product of present-day BNF at 0.004-degree resolution. Full details are given in refs. 26, 53, and 54.

To understand the relationships between observed BNF, AET, and NPP, we used linear regressions. We used the AET global gridded product at 0.04-degree resolution (average of 2000 to 2020) from TerraClimate (30). We used the NPP global gridded product at 0.004-degree resolution (average of 2001 to 2020) from MODIS/Terra (31). When conducting linear regressions, we used a sample of 20,000 grid cell values at regular intervals following ref. 26.

To analyze the observed response of natural BNF to rising atmospheric CO<sub>2</sub> concentration, we conducted a meta-analysis of BNF in elevated CO<sub>2</sub> experiments. We conducted a comprehensive literature search with the terms ("elevated CO<sub>2</sub>" or "elevated carbon dioxide") and ("N fixation" or "N<sub>2</sub> fixation") using Google Scholar. Selected studies gave BNF rate in both a control and elevated CO<sub>2</sub> treatment and the CO<sub>2</sub> concentration in both treatments. We combined this list of studies with two previous meta-analyses (55) and (47). Liang et al. (55) used the search terms ("CO<sub>2</sub> enrichment" or "CO<sub>2</sub> increase"), ("nitrogen"), and ("terrestrial"). Zheng et al. (47) used the search terms ("carbon dioxide" or "CO<sub>2</sub>") and ("nitrogen fixation" or "N fixation" or "N<sub>2</sub> fixation" or "dinitrogen fixation" or "nitrogenase"). Studies in refs. 47 and 55 were reexamined for CO<sub>2</sub> concentration in both treatments. The meta-analysis included free air CO<sub>2</sub> enrichment (FACE), growth chamber, and open top chamber experiments. It included acetylene reduction assay, <sup>15</sup>N isotope, and mass balance methods for measuring BNF. We only examined data points from natural ecosystems (forests and grasslands) that received no other treatments (e.g., no nutrient fertilization, drying, wetting, warming, cooling, etc.) for comparison to ESMs (which primarily do not represent agricultural BNF, Table 1). Both free-living and symbiotic BNF were included. We recorded mean, variation (SD or SE), and sample size (*n*) of the BNF rate in the control and elevated CO<sub>2</sub> treatments. Different species within the same study were recorded separately. If more than one value was provided during the experimental period, all values were averaged. If SE was provided, SD was calculated as  $SD = SE \sqrt{n}$ . If neither SD nor SE was provided, SD was assumed to be 25% of the mean. We also recorded CO<sub>2</sub> concentration in the control and elevated CO<sub>2</sub> treatments. If CO<sub>2</sub> concentration in the control treatment was not given ("ambient CO<sub>2</sub> concentration"), CO<sub>2</sub> concentration was extracted from NOAA Global Monitoring Laboratory (56) for the experimental period. Overall, 52 observations were included in the meta-analysis (15 of which were new, i.e., not included in either refs. 47 and 55).

We calculated the effect size of elevated CO<sub>2</sub> as the natural logarithm transformed response ratio ( $\ln(RR)_i$ ) for each study *i* (57):

$$\ln(RR)_i = \ln\left(\frac{BNF_{\text{elevated},i}}{BNF_{\text{control},i}}\right). \quad [1]$$

$BNF_{\text{elevated},i}$  is the mean BNF rate in the elevated CO<sub>2</sub> treatment of study *i*, and  $BNF_{\text{control},i}$  is the mean BNF rate in the control treatment of study *i*. The variance of  $\ln(RR)_i$  ( $v_i$ ) is

$$v_i = \frac{SD_{\text{control},i}^2}{n_{\text{control},i} BNF_{\text{control},i}^2} + \frac{SD_{\text{elevated},i}^2}{n_{\text{elevated},i} BNF_{\text{elevated},i}^2}. \quad [2]$$

$SD_{\text{elevated},i}$  is the SD of the BNF rate in the elevated CO<sub>2</sub> treatment of study *i*,  $SD_{\text{control},i}$  is the SD of the BNF rate in the control treatment of study *i*,  $n_{\text{elevated},i}$  is the sample size of the elevated treatment of study *i*, and  $n_{\text{control},i}$  is the sample size of the control treatment of study *i*.

We used a multilevel mixed-effects metaregression model in which the magnitude of CO<sub>2</sub> enrichment is a fixed effect and study is a random effect:

$$\ln(RR)_i = \beta_0 + \beta_1 ([CO_{2,\text{elevated},i}] - [CO_{2,\text{control},i}]) + \mu_{\text{study},i} + \varepsilon_i. \quad [3]$$

$\beta_0$  and  $\beta_1$  are coefficients,  $[CO_{2,\text{elevated},i}]$  is the CO<sub>2</sub> concentration in the elevated CO<sub>2</sub> treatment of study *i*,  $[CO_{2,\text{control},i}]$  is the CO<sub>2</sub> concentration in the control

treatment of study *i*,  $\mu_{\text{study},i}$  is the random effect, and  $\varepsilon_i$  is the sampling error. The weighted average and 95% CI of  $\ln(RR)_i$  for a given magnitude of CO<sub>2</sub> enrichment were calculated using the standard inverse-variance method and restricted maximum likelihood estimation using the R package "metafor" (58).

There were no significant differences between different facilities or methods (SI Appendix, Table S6). Data collected for the meta-analysis are given as Dataset S1.

**ESM Simulations.** We used ESM outputs from the CMIP6 for historical simulations (1850 to 2014), which use prescribed atmospheric CO<sub>2</sub>, and "1pctCO2 experiment" simulations (0 to 100 y), in which atmospheric CO<sub>2</sub> concentration increases at 1% per year from its preindustrial value. ESM outputs were downloaded from the Earth System Grid Federation (ESGF; <https://aims2.llnl.gov/search/cmip6/>): GPP (CMIP variable: gpp) and BNF (CMIP variable: fBNF). Percent crop cover (CMIP variable: cropFrac) was used to distinguish between natural and agricultural BNF (see below). Grid-cell area (CMIP variable: areacella) and land area fraction (CMIP variable: sftlf) were also used to calculate global totals.

We used all ESMs that provided the required outputs. 35 ESMs provided GPP output in 1pctCO2 experiment simulations (SI Appendix, Table S3). 11 ESMs provided GPP and BNF output in 1pctCO2 experiment simulations as well as BNF output in historical simulations (SI Appendix, Table S4). These ESMs are described in Table 1 and SI Appendix, Table S1. Overall, they span six different land surface models (LPJ-GUESS, VISIT-e, CLM4/4.5, JSBACH, JULES-ES, and CLM5) and five unique BNF representations. Different versions of the same ESM from the same institution were averaged. No ESMs included terrestrial P cycling.

To distinguish between agricultural and natural BNF, we assumed that grid cells with  $\geq 40\%$  crop cover were agricultural and grid cells with  $< 40\%$  crop cover were natural following ref. 26, where 40% is the minimum crop cover for a grid cell to be considered a cropland class in the IGBP system. This yielded an average total crop area of  $\sim 1,075$  Mha between 1995 and 2014 across ESMs, which is comparable to 1,244 Mha from ref. 59. To distinguish BNF in each IGBP biome (35), we applied a mask where each grid cell was assigned to a different IGBP biome using the product at 0.004-degree resolution remapped to 1-degree resolution using nearest neighbor interpolation. Similarly, to distinguish NEP in each IGBP biome, we used the NEP global gridded product at 0.0833-degree resolution (average of 2001 to 2015) from FLUXCOM (36) remapped to 1-degree resolution using bilinear interpolation, and we applied a mask where each grid cell was assigned to a different IGBP biome.

To quantify the CO<sub>2</sub> fertilization effect, we calculated total GPP by natural ecosystems when atmospheric CO<sub>2</sub> concentration is twice the preindustrial level relative to total natural GPP when atmospheric CO<sub>2</sub> concentration is at the preindustrial level, hereafter  $GPP(2xCO_2)/GPP(1xCO_2)$  following ref. 60. We focused on natural ecosystems because of their contribution to the CO<sub>2</sub> fertilization effect and because agricultural N cycling is inconsistently represented by ESMs. We calculated  $GPP(2xCO_2)/GPP(1xCO_2)$  as the average GPP between Years 68 and 72 of the simulation (average atmospheric CO<sub>2</sub> concentration is 565 ppm) divided by the average GPP between years 8 and 12 of the simulation (average atmospheric CO<sub>2</sub> concentration is 284 ppm). We conducted the same calculation with BNF to calculate  $BNF(2xCO_2)/BNF(1xCO_2)$  as the average BNF between Years 68 and 72 of the simulation divided by the average BNF between years 8 and 12 of the simulation. Each ESM yields a single global value for  $GPP(2xCO_2)/GPP(1xCO_2)$  and  $BNF(2xCO_2)/BNF(1xCO_2)$ .  $BNF(2xCO_2)/BNF(1xCO_2)$  was compared to the exponential of the weighted average and 95% CI of  $\ln(RR)_i$  for a magnitude of CO<sub>2</sub> enrichment of 284 ppm. However, we note that there are caveats to this comparison because CO<sub>2</sub> enrichment experiments used a step change in atmospheric CO<sub>2</sub> concentration for a short experimental period whereas, in the 1pctCO2 experiment simulations, atmospheric CO<sub>2</sub> concentration increases gradually.

**ESM Performance.** ESM performance in simulating BNF, AET, and NPP was calculated using two scores that assess the model's bias and spatial distribution relative to observations following ref. 61. Scores are dimensionless and range from 0 to 1, where a higher score value indicates better ESM performance. Equations for the scores are given in SI Appendix, Supplementary Text. Model outputs were compared to the new empirical BNF synthesis remapped to 1-degree resolution using bilinear interpolation and the NPP global gridded product at 0.004-degree

resolution (average of 2001 to 2020) from MODIS/Terra remapped to 1-degree resolution using bilinear interpolation.

**Emergent Constraint.** The emergent constraint approach is used to achieve uncertainty reduction in the projected CO<sub>2</sub> fertilization effect (GPP(2xCO<sub>2</sub>)/GPP(1xCO<sub>2</sub>)) using the new empirical BNF synthesis and follows that of ref. 62. Despite a spread in both the CO<sub>2</sub> fertilization ( $y$ ) and global total natural BNF ( $x$ ) across ESMs, a relationship  $f$  emerges linking them:  $y = f(x)$ . The new empirical BNF synthesis, i.e., a measurement of  $x$  and its uncertainty, is used to constrain  $y$ . The following equations enable the calculation of the probability density of  $y$  ( $P(y)$ ):

$$P(y) = \int_{-\infty}^{\infty} P(y|x)P(x)dx. \quad [4]$$

$P(x)$  is the probability density of  $x$ , which is assumed to have a Gaussian distribution:

$$P(x) = \frac{1}{\sqrt{2\pi\sigma_{obs}^2}} e^{-\frac{1}{2}\left(\frac{x-\mu_{obs}}{\sigma_{obs}}\right)^2}. \quad [5]$$

$\mu_{obs}$  is the mean of the new empirical BNF synthesis and  $\sigma_{obs}$  is the SD of the new empirical BNF synthesis (26).  $P(y|x)$  is the probability density of the CO<sub>2</sub> fertilization effect given global total natural BNF and is assumed to have a Gaussian distribution:

$$P(y|x) = \frac{1}{\sqrt{2\pi(\sigma_f(x))^2}} e^{-\frac{1}{2}\left(\frac{y-f(x)}{\sigma_f(x)}\right)^2}. \quad [6]$$

$f(x)$  is the linear regression (ordinary-least-squares method) between the modeled global total natural BNF and CO<sub>2</sub> fertilization effect.  $\sigma_f(x)$  is the  $x$ -dependent prediction error of this linear regression:

$$\sigma_f(x) = s \sqrt{1 + \frac{1}{N} + \frac{(x - \bar{x})^2}{\sum_{i=1}^N (x_i - \bar{x})^2}}. \quad [7]$$

$s$  is the SE of the linear regression,  $N$  is the number of ESMs, and  $\bar{x}$  is the average of modeled global total natural BNF.

All analyses were performed in R (63) and used the package "terra" (64).

**Data, Materials, and Software Availability.** Dataset data have been deposited in meta-analysis of BNF in elevated CO<sub>2</sub> experiments (<https://doi.org/10.5281/zenodo.15612191>). Previously published data were used for this work (53, 54).

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