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5

6

7 **Running title:** Development of tree hollows in oak

8

9 Development of tree hollows in pedunculate oak (*Quercus robur*)

10

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21 Development of tree hollows in pedunculate oak (*Quercus robur*)

22

23 **Abstract**

24 Many invertebrates, birds and mammals are dependent on hollow trees. For landscape  
25 planning that aims at persistence of species inhabiting hollow trees it is crucial to  
26 understand the development of such trees. In this study we constructed an individual-based  
27 simulation model to predict diameter distribution and formation of hollows in oak tree  
28 populations. Based on tree-ring data from individual trees, we estimated the ages when  
29 hollow formation commences for pedunculate oak (*Quercus robur*) in southeast Sweden.  
30 At ages of about 200–300 years, 50 % of the trees had hollows. Among trees < 100 years  
31 old, less than 1 % had hollows, while all > 400-year-old trees had hollows. Hollows formed  
32 at earlier ages in fast-growing trees than in slow-growing trees, which may be because  
33 hollows are formed when big branches shed, and branches are thicker on fast-growing trees  
34 in comparison to slow-growing trees of the same age. The simulation model was evaluated  
35 by predicting the frequency of presence of hollows in relation to tree size in seven oak  
36 stands in the study area. The evaluation suggested that future studies should focus on tree  
37 mortality at different conditions. Tree ring methods on individual trees are useful in studies  
38 on development of hollow trees as they allow analysis of the variability in time for hollow  
39 formation among trees.

40

41 **Key words:** dendrochronology, modelling, tree cavity, tree growth, tree mortality

42

43 **Introduction**

44 Tree hollows provide important habitats for a wide range of invertebrates, birds and  
45 mammals (Gibbons and Lindenmayer, 2002; Kosinski, 2006; Ranius et al., 2005). Species  
46 dependent on tree hollows are facing decreasing habitat availability because ancient trees  
47 have declined both in forests and agricultural landscapes (Kirby and Watkins, 1998;  
48 Nilsson, 1997). For this reason, an urgent task for conservationists is to ensure that  
49 sufficient numbers of hollow trees are maintained continuously in the future. Because  
50 hollow trees do not persist for ever, it is essential to ensure that new hollow trees are  
51 generated if a given number of hollow trees is to be maintained. Furthermore, many sites  
52 have so few hollow trees that there are considerable risks of the extinction of threatened  
53 species (Ranius et al., 2005). At such sites the number of hollow trees should not only be  
54 maintained, but increased as quickly as possible. Thus, for long-term conservation  
55 planning, knowledge about the rates of formation and deterioration of hollow trees is  
56 required. Simulation models have been used to predict long-term changes in the abundance  
57 of hollow trees in forests (Ball et al., 1999; Fan et al., 2004); Fan et al. (2004)  
58 parameterised such a model based on simple statistical relationships derived from stand  
59 level data from a forest landscape in the USA, while Ball et al. (1999) focused on one  
60 eucalypt species in Australia. The latter model was parameterised inter alia from changes in  
61 trees observed through repeated measurements (Lindenmayer et al., 1997). This approach  
62 should yield reliable data. However, because the dynamics of tree hollows are slow, there  
63 may be long delays before meaningful results based on direct observations of formation  
64 and deterioration of hollow trees can be obtained. An alternative is to parameterise a model

65 of hollow dynamics by interpreting patterns observed in snapshot studies of trees, using  
66 tree ring-based assessments of their ages.

67 In this study, we constructed and parameterised a dynamic model that predicted size  
68 distribution and formation of hollows in trees. In contrast to attempts to model hollow tree  
69 dynamics in Northern America and Australia, we used an individual-based model, taking  
70 into account the variability in growth rate and hollow formation among trees. This was  
71 possible because we used tree rings of individual trees to estimate the ages of trees when  
72 hollow formation commences. Our study was conducted on pedunculate oaks *Quercus*  
73 *robur* L. in southeast Sweden at sites largely consisting of pasture land. In Europe,  
74 pedunculate oak is the most important tree species for invertebrates associated with tree  
75 hollows (e.g. Palm, 1959; Ranius et al., 2005). Our main objective was to estimate at which  
76 age hollows are formed in trees with different growth rate. The simulation model required  
77 growth rate data, so we analysed variations in growth rate among trees and during the  
78 ageing of individual trees. By comparing model predictions with field data on tree size  
79 distribution and incidence of tree hollows at seven sites, we evaluated the model and  
80 identified gaps in our knowledge that should be filled in by future field studies.

81

## 82 **Methods**

### 83 *Study sites and study trees*

84 We conducted this study in an area south from Linköping, southeast Sweden, with one of  
85 the highest concentrations of old oaks in Northern Europe (around 58°15'N, 15°45'E;  
86 Antonsson and Wadstein, 1991). This was because samples from a large number of hollow  
87 trees are required, and for some of the analyses it was required that the trees have been

88 growing under similar conditions, while for others a variability in e.g. growth rate was  
89 desirable. We mainly focused on seven sites with a high density of hollow oaks, situated  
90 0.5 – 25 km from each other. The variability among these sites is representative for oak  
91 localities with high conservation value in Sweden. Five of these sites (Brokind, Kalvhagen,  
92 Orräng, Storängen, and Sundsbro) are currently grazed by cattle and situated on fertile soils  
93 dominated by deep clay soils (Johansson and Gorbatshev, 1973). At the two other sites,  
94 Långvassudde and Sturefors, there is no grazing and shallow soils are dominating. Levels  
95 of sun-exposure differ both among and within sites, but due to grazing or to the  
96 shallowness of the soils only a few trees are found in very dense situations (Fig. 1).  
97 Previously, the land was used for hay-making, which also inhibited the development of  
98 dense vegetation.

99 In surveys of all sites, for all trees with dbh > 10 cm we recorded circumference,  
100 whether the tree was alive or dead, and whether or not the tree had a hollow. Hollows were  
101 defined as cavities in which the inner space was wider than the entrance, and the diameter  
102 of the entrance was > 3 cm. To obtain data on the age and growth rates, sets of ten oaks per  
103 site were selected for ring sampling. The oaks were selected to match the mean diameter  
104 and proportion of hollow trees in the entire oak population at the respective sites (Table 1  
105 and 2).

106 We also needed a data set from trees that have grown under similar conditions.  
107 Therefore we selected sites with similar tree growth rates (Sundsbro, Brokind, Storängen,  
108 and Kalvhagen; Table 2) and extended the tree ring sample to 53–57 trees per site (three  
109 sites: Brokind, Sundsbro, and Bjärka Säby; Bjärka Säby consists of three subsites:  
110 Storängen, Kalvhagen and Bjärka äng) for a more detailed analysis of the among-tree-

111 variability in age at which hollow development commences (Table 3). Thus, in total we had  
112 tree ring samples from 195 trees (53 + 55 + 57 + 10 + 10 + 10; two of the seven study sites  
113 were included in the Bjärka Säby site). All trees were alive except two, which had died  
114 recently. We attempted to select approximately equal numbers of trees from each of the  
115 following categories: (i) young trees without hollows, (ii) older trees without hollows, and  
116 hollow trees with (iii) small entrances, (iv) intermediately-sized entrances and (v) large  
117 entrances.

118

#### 119 *Model outline and tree mortality*

120 The destiny of each tree was determined by stochastic equations that predicted tree growth,  
121 formation of hollows and tree mortality. For each simulated year, we summed the number  
122 of trees present categorised according to different tree characteristics. As we assumed the  
123 recruitment and mortality of trees and formation of hollows to occur with the same  
124 probability every year, our simulated stand of trees reached a steady state. The diameter  
125 distribution of the trees and proportion of hollow trees at the steady state was the outcome  
126 from the model.

127 The recruitment of young trees was assumed to be 20 trees per year, which was large  
128 enough to get a stable outcome over simulation runs. The trees were growing with different  
129 rates according to field data; growth rates were modelled for each tree by drawing numbers  
130 randomly from a normal distribution based on means and *S.D.* of growth rates for each  
131 simulated stand. The growth rate was assumed to decrease with tree age according to a  
132 function obtained from tree ring data (see *Results*). Furthermore, for each tree, the age at  
133 which hollows are most likely to form was calculated using a function based on the tree-

134 specific growth rate (see *Results*). The age at which hollows formed in individual trees was  
135 determined by drawing numbers randomly from a normal distribution, the deterministically  
136 predicted age being the mean and the estimated variability being the *C.V.*

137         The tree mortality of hollow oaks was estimated from observation of about 470 tree-  
138 years (number of trees multiplied by the years of study) between 1995 and 2007, during the  
139 course of investigations on the beetle *Osmoderma eremita* (e.g. Ranius and Hedin, 2001).  
140 During that time six trees died, suggesting a mortality rate for hollow oaks of about 1.3 %  
141 per year. Two of these trees fell down, while the other four trees remained standing; no tree  
142 died because the trunk was broken. For oaks in forests in Austria and Lithuania, an annual  
143 mortality rate of about 0.3 % has been reported, excluding trees affected by self-thinning  
144 (Monserud and Sterba, 1999; Ozolinčius et al., 2005). Therefore, in our simulations an  
145 annual mortality rate of 0.3 % was assumed for oaks without hollows, and 1.3 % for oaks  
146 with hollows. Thus, we assume that the difference in tree mortality between these studies  
147 reflects that the stability is considerably lower in hollow trunks, at least in those with wide  
148 cavities in relation with the tree diameter. Our assumption is in line with the increased  
149 mortality generally observed among the oldest trees (Monserud & Sterba 1999), however,  
150 we assume that young trees are growing under such conditions that self thinning does not  
151 enhance mortality among them. At a tree age of 500 years, the mortality rate was assumed  
152 to be 100 %, because we never observed trees older than that (maximum estimated age:  
153 478 years).

154



155 *Estimating tree age and relating age with incidence of hollows*

156 We estimated the age that the trees had in 2005, using the same method as Ranius et al.  
157 (2008). From each tree, two to four increment cores were taken at a height of 0.5 – 1.3 m.  
158 The cores were cross-dated using the classical memory dating method based on  
159 conspicuous pointer years (e.g. Stokes and Smiley, 1968). When the pith was reached by  
160 any of the increment cores, the age was estimated by counting the annual rings. When the  
161 pith was missed, but the best increment core reached a point less than 25 mm from the pith  
162 we estimated the distance to pith by fitting a transparent plastic with imprinted concentric  
163 circles on the sample. The number of rings missed was estimated by assuming the growth  
164 rate being equal with the three innermost rings of the core. For the remaining trees, age,  $a$ ,  
165 was estimated using the following equation:

166

$$167 \quad a = c + r / (k \times g) \quad \text{eqn (1)}$$

168

169 where  $c$  is the number of annual rings in the longest increment core,  $r$  is the length of  
170 missing radius (*i.e.* distance from the innermost tree ring present to the geometric  
171 midpoint),  $g$  is the annual average growth rate of the innermost ten years of the increment  
172 core, and  $k$  is a parameter that depends on how quickly the annual growth rate decreases  
173 with tree age. We assumed that the value of  $k$  may vary between trees with different  
174 characteristics due to different growth patterns.

175 A function that predicts  $k$  has been obtained by using tree ring data from 95 trees  
176 with intact trunks (Ranius et al., 2008), which all were included also in the present study.  
177 From these trees, hollow trees were simulated by assuming the inner part of the trunk to be

178 absent. The absent inner parts corresponded to multiples of ten annual rings. We weighted  
179 the data set of simulated trees to obtain the same distribution of trunk diameters and core  
180 lengths as among the trees we wanted to age. For each simulated tree, we calculated the  
181 true value of  $k$  from the intact annual rings. The value of  $k$  (or the logarithm of  $k$ ) was used  
182 as dependent variable in a multiple linear regression model. As independent variables we  
183 used characteristics that were available for all trees and might be correlated with the growth  
184 rate pattern (trunk diameter, length of missing radius, growth rate of the inner ten years,  
185 and bark crevice depth). By including both the independent variables and their logarithms,  
186 and successively removing non-significant ( $p < 0.05$ ) variables, we obtained the following  
187 function:

188

$$189 \quad k = 1.66 - 0.90 \ln (g) \quad \text{eqn. (2)}$$

190

191 where  $g$  is the growth rate (in  $\text{mm yr}^{-1}$ ) of the inner ten rings of the increment core. For the  
192 simulated hollow trees, there was a strong correlation between the real age and the age  
193 estimated by the function ( $R^2 = 0.839$ ). When eqn. (1) and (2) were used to estimate tree  
194 age in the present study, the piths were assumed to be at the geometric centres of the  
195 trunks.

196

### 197 *Relationships between tree age and occurrence of hollows*

198 We estimated the age at which hollow development commences using data from all seven  
199 study sites. Among the 70 oaks selected for tree-ring sampling (see *Study sites and study*  
200 *trees*), we analysed the relationships between the presence/absence of hollows and trunk

201 diameter and estimated tree age by univariate logistic regression. We also constructed a  
202 multiple logistic regression model with diameter, growth rate (total radius / total age) and  
203 openness as independent variables. Diameter was replaced by growth rate, because growth  
204 rates could be directly used in the simulation model, and openness might be relevant  
205 because it may affect the wind exposure and growth of branches. In all of the multiple  
206 logistic regressions in this study, the statistical significance of the examined relationships  
207 was evaluated by calculating log likelihood ratios.

208         We used increment cores from 165 oaks in three relatively similar sites (see *Study*  
209 *sites and study trees*), to obtain a measure of the variability in tree age at which hollow  
210 formation commenced. To obtain a data set representative for the entire oak populations at  
211 these sites, we categorised the sampled trees in terms of diameter (categories: 10–40, 40–  
212 60, 60–80, 80–100, and >100 cm) and presence/absence of hollows, and weighted the  
213 categories to match the distributions of sampled trees with the entire oak population. The  
214 variability in tree age at which hollow formation commenced was estimated assuming that  
215 the difference in proportions of hollow trees between a younger age class and an older age  
216 class reflects the probability of hollow formation at a tree age among these classes. For  
217 instance, if 4 % of the trees that are 100-200 years had hollows, and 57 % of the trees that  
218 are 200-300 years had hollows, we estimated that for 53 % of the total number of trees,  
219 hollow formation commences at an age of about 200 years. From these percentages, we  
220 estimated the variability (*C.V.*) in tree ages at which hollow formation commenced.

221

222 *Growth rate*

223 We analysed growth rate data from the 165 cored oaks in Sundsbro, Brokind, and Bjärka-  
224 Säby. We analysed changes in annual ring width in relation to the ageing of trees, using all  
225 trees that were both old ( $> 100$  years) and large (diameter  $> 50$  cm), and in which it was  
226 possible to obtain a core to the pith ( $n = 77$ ). For each of these trees, we set the mean ring  
227 width during the earliest 50 years to 1, and for every 10-year period (including the first 50  
228 years) a relative value of growth rate was calculated. We then derived functions between  
229 tree age and relative growth rate by linear regression. We used both the variables and the  
230 logarithms of the variables (*i.e.* four different combinations are possible), to find the  
231 function with the strongest correlation (highest  $R^2$  value).

232

233 *Model evaluation*

234 The model was used to predict the diameter distribution of the trees and incidence of  
235 hollow trees at equilibrium at the seven study sites. This was compared with field data  
236 obtained for all 1948 oaks (with a diameter  $> 10$  cm) at the sites. Large differences between  
237 the model outcome and the field data may indicate that the model should be improved, but  
238 it may also be a consequence of variation in recruitment and mortality of trees over time,  
239 which may imply that the study stands are not in the steady state that is assumed in the  
240 simulations.

241

242 **Results**

243 *Presence of hollows in age-estimated trees*

244 Across the seven study sites, where there were wide variations in growth rates, the presence  
245 of hollows was positively related to both tree age and diameter ( $p < 0.001$  for both;  
246 univariate logistic regression,  $n = 70$ ). According to the multiple logistic regression  
247 analysis, also the age and growth rates of the trees were positively correlated with the  
248 presence/absence of hollows ( $p$  (Age)  $< 0.001$ ,  $p$  (Growth rate) = 0.012,  $n = 70$ , model:  $P /$   
249  $(1 - P) = \exp(-9.72 + 0.028 \text{ Age} + 1.39 \text{ Growth rate (in mm yr}^{-1}\text{)})$ , where  $P$  is the  
250 probability of presence). Openness was excluded from the model, because its effect was not  
251 statistically significant ( $p = 0.724$ ). The obtained logistic regression model was used to  
252 predict the age at which the probability that hollows would be present exceeded 50 %. At  
253 growth rates of 0.65, 1.8 and 3.4 mm yr<sup>-1</sup> (the 2.5th percentile, mean and 97.5th percentile,  
254 respectively), this occurred when the oaks were 315, 258 and 178 years old, and their  
255 diameters (with bark) were 45, 101 and 132 cm, respectively.

256 We estimated the coefficient of variation (*C.V.*) of the age at which formation of  
257 hollows commences to be 35 %, which was used as a parameter in the model. This estimate  
258 was based on data from trees examined at the Sundsbro, Bjärka-Säby and Brokind sites,  
259 because the growth rates were similar at these three sites. Among these trees, the  
260 presence/absence of hollows was significantly related to age, but not to growth rate ( $p$   
261 (Age)  $< 0.001$ ,  $p$  (Growth rate) = 0.620, multiple logistic regression,  $n = 165$ , weighted  
262 samples). The *C.V.* estimate was derived from observed frequencies of hollows in each of  
263 the age classes (Fig. 2) and the fact that the youngest hollow tree found was 90 years old.  
264 We estimated that the first hollow is formed in <1, 4, 53, 15 and 29 % of trees when they

265 are 90, 100, 200, 300 and 400 years old, respectively, which is corresponding to a C.V. of  
266 35 %.

267

### 268 *Growth rate and model predictions*

269 Growth rate slightly declined as tree age increased (Fig. 3), but tree age only explained a  
270 minor part of the variability in growth rate over time ( $p < 0.001$ ,  $R^2 = 0.050$ ,  $n = 1455$ ). At  
271 the study sites, there were no clear trends in the mean annual growth rate over time (Fig. 4).

272 For six study sites out of seven, the simulation model predicted that trees in the  
273 smallest size class would be the most frequent (Fig. 5). However, according to the field  
274 data this was only true for two sites – Storängen and Sturefors. At most of the sites, there  
275 were greater frequencies of trees of intermediate size (40 – 100 cm) than the model  
276 predicted.

277 As expected, the frequency of hollows increased with tree size according to both the  
278 field data and model predictions. Furthermore, at sites with relatively low growth rates  
279 (Långvassudde and Sturefors) the frequency of hollows was higher in given size classes  
280 than at sites with higher growth rates both according to field data and model predictions  
281 (Fig. 6).

282

## 283 **Discussion**

### 284 *Presence of hollows in age-estimated trees*

285 Our study is probably the first in which ring analyses of individual trees have been used to  
286 estimate the probability of hollow formation as a function of tree age. Such estimates are  
287 essential for placing the occurrence of tree hollows in a temporal perspective. We have

288 shown that for pedunculate oak hollow formation begins rather late; in an oak with an  
289 average growth rate, the probability for the presence of a hollow reached 50 % when the  
290 tree was 258 years and in only 4 % a hollow is present at an age of 100–200 years. Because  
291 managed oak stands are subject to final felling at ages of 120–150 years (Almgren et al.,  
292 1984), this largely explains why hollows are so rare in managed oak forests. For European  
293 tree species, previous estimates of the age at which hollow formation commences have not  
294 been based on any systematically collected data. According to Speight (1989)  
295 “accumulation of tree humus can have started in rot holes” at the age of 150 years, and at  
296 ages exceeding 250-300 years, the presence of habitats for saproxylics can be “obvious”.  
297 Studies of tree hollow formation have been more common in Australia than in the Northern  
298 hemisphere (Gibbons and Lindenmayer, 2002). These studies have mainly been based on  
299 general relationships between diameter and age, rather than age estimates of individual  
300 trees (e.g. Wormington et al., 2003; but see Whitford (2002) who considered the age of  
301 individual trees and the number of hollows, although not presence/absence of hollows).

302         We found that in fast-growing trees, hollows are generated at an earlier age than in  
303 slow-growing trees. However, when hollow formation commences, fast-growing trees have  
304 still usually reached a larger girth than slow-growing trees. Thus, the probability of  
305 presence of hollows increases with both the age and the growth rate of the trees  
306 independently. Probably most of the hollows in our study area have been formed by  
307 shedding of branches. Only if the branches are big enough, a hollow will develop in the  
308 scar. This is supported by the fact that the highest frequency of hollows was at a height of 2  
309 – 5 m, which is the height of the largest branches (pers. obs.). Rotten centres were common  
310 in trunks of hollow trees, but very rare in trees without hollows, which indicates that the

311 decay usually starts from a scar and goes inwards, rather than in the opposite direction.  
312 Trees that grow faster get big branches earlier, which gives an explanation to the earlier  
313 formation of hollows in fast-growing trees.

314 In this study we found a difference in hollow formation between fast-growing and  
315 slow-growing trees. If comparisons were made between areas with different tree species  
316 and different current and historical management regimes, the variability in the dynamics of  
317 hollow development would most likely be wider. In other regions, forest fire (Inions et al.,  
318 1989) have been found to be important for hollow development, but our study trees have  
319 not been affected by that. Pollarding may have a big influence on hollow development  
320 (Ranius et al. 2005). In Sweden, pollarding of oaks have been forbidden, but in the 18<sup>th</sup>  
321 century oaks were damaged in several ways that may speed up hollow formation (Eliasson  
322 and Nilsson, 2002).

323

#### 324 *Growth rate*

325 Growth rate, measured as the annual ring width, decreased with tree age (Fig. 3). This type  
326 of growth trend has been frequently observed in openly-grown competition-free trees  
327 (Cook, 1990). The decreasing growth rate is partly due to the geometric relationship  
328 between increments in volume and the circumference of the stem; if a given volume of  
329 wood is added to a thin stem, the diameter will grow more than if added to a larger stem  
330 (cf. Cook, 1990; White, 1998). In the trees we examined, the decline in growth rate with  
331 age was fairly small; at ages of 200–300 years, the growth rate was still > 70 % of the  
332 growth rate during the first 50 years of the trees' lifetimes (Fig. 3). In addition to low  
333 mortality rates (Ozolincius et al., 2005), the sustained growth rate of oak trees at high ages



334 accounts for much of their ability to attain huge sizes. Consequently, oak is one of the  
335 largest tree species in Northern Europe (Nilsson, 1997).

336

### 337 *Model predictions*

338 Given that the establishment of oaks may vary widely over space and time due to  
339 management history (Rozas 2004), it was not surprising that there were deviations between  
340 field data and predictions of the proportions of trees in different size classes. At all study  
341 sites, we found lower proportions of small trees (diameter < 40 cm) than predicted by the  
342 model, in which constant mortality and regeneration rates were assumed (Fig. 5). The low  
343 density of small trees may be due to unsuccessful regeneration (e.g. due to grazing) or  
344 cutting of young trees. These findings imply that the density of hollow oaks will probably  
345 decrease in 100–200 years, but the length of the period in which hollow tree density is  
346 lower than it is now will depend on whether actions to promote regeneration are taken.  
347 Consequently, planning over at least two centuries is required to ensure that sufficient  
348 numbers of hollow trees are maintained at such sites.

349 As predicted by the model, and observed in many previous studies (e.g. Wormington  
350 et al., 2003; Harper et al., 2005), there was a strong positive relationship between the  
351 frequency of presence of hollows and tree size (Fig. 6). However, for several size classes at  
352 individual sites the model predictions fitted poorly with the field data. The most distinct  
353 deviation between the predictions and the field data was that at Långvassudde, and to lesser  
354 extents Sturefors and Kalvhagen, the model overestimated the proportions of hollow trees  
355 in the category with the biggest trees. Sturefors and Långvassudde had the lowest average  
356 growth rates, and at Kalvhagen too there were trees with low growth rates, because the

357 growth rate varied widely among trees at this site. The reason for the deviation might be  
358 that we assumed the mortality of trees to be equal for all hollow trees, but falling rates may  
359 be higher among small hollow trees than among larger ones (Lindenmayer et al., 1997),  
360 even though there are no data supporting this hypothesis for oak. The mortality rates of the  
361 relatively small hollow trees at Långvassudde, Sturefors and Kalvhagen may be higher than  
362 predicted by our model, which may explain why hollow trees were underrepresented in the  
363 large diameter class at these sites according to our field data. Thus, better data on tree  
364 mortality rates at different circumstances would be desirable. Other deviations between  
365 predictions and field data may be due to variations in land use history (with respect, for  
366 instance, to tree regeneration, cuttings and canopy closeness; cf. Rozas, 2004) that are  
367 unknown and thus were not taken into account in the model parameterisation. Regardless  
368 of the model used, unexpected events affecting the recruitment and mortality may  
369 sometimes cause wide deviations between real and predicted outcomes.

370

## 371 **Conclusion**

372 Hollow oaks occur in forests as well as in more open habitats, such as oak pastures. Today,  
373 those ancient oaks that still exist in forests in Europe are often slowly growing trees in  
374 steep or rocky terrain (e.g. Ek et al., 1995), as more productive forest land is usually  
375 managed. On the contrary, oak pastures often occur on relatively fertile soils. In forests,  
376 hollow oaks can at least theoretically occur in higher densities than in pastures, but  
377 competition and often also low productivity makes the annual tree growth lower and thus,  
378 the maximum tree girth smaller. Our study points out two reasons why oaks in pastures are  
379 generally more valuable for hollow-dwelling fauna than oaks in forests. Firstly, higher

380 growth rate implies that hollows are formed at an earlier tree age. Secondly, probably  
381 larger girth implies a lower tree mortality, and thus a longer average life-time in more open  
382 situations. Therefore, it is important that ancient trees are maintained at productive land,  
383 and not only retained at land of low economic value.

384         The time between the regeneration of trees and the formation of tree hollows is long  
385 (in the case of oak more than 200 years). Hence, long-term planning is necessary to ensure  
386 the persistence of fauna associated with tree hollows in many different tree species and in  
387 different forest types. The planning is facilitated by simulation models, which could be  
388 used to compare future management scenarios in terms of hollow tree dynamics. Such  
389 models become more realistic if based on tree ring methods applied on individual trees, as  
390 there may be a wide variability in growth rate and formation of hollows among trees also  
391 within sites.

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Fig. 1. Oaks (*Quercus robur*) at one of the study sites – Storängen – which is grazed by cattle.

Fig. 2. Proportions of oaks (*Quercus robur*) with hollows in different age classes. The data set was weighted, to obtain the same distribution in size categories and regarding presence/absence of hollows as seven sites with oaks in southeast Sweden. n-values in the unweighted data set: < 100 yrs, 32; 100-200 yrs, 37; 200-300 yrs, 45; 300-400 yrs, 35; > 400 yrs, 15.

Fig. 3. Relative growth rates (according to the field data and the function derived from linear regression, dotted line) in relation to tree age. Means from ln transformed values. Function:  $\ln(\text{Relative Growth Rate}) = 0.371 - 0.127 \ln(\text{Tree Age})$ . For each tree, the mean annual ring width at the age of 0 to 50 years was set to 1 and relative growth rates were calculated for each decade. Data from oaks (*Quercus robur*) in southeast Sweden in which the increment core was intact to the pith. The n-value decreases with increasing age from 77 (Age = 10) to 8 (Age = 300).

Fig. 4. Mean annual growth rate per decade in sampled oaks (*Quercus robur*) from three study sites in southeast Sweden. Only for categories including at least five trees, mean values are shown. Total number of sampled oaks: Sundsbro, 57; Bjärka-Säby, 53; Brokind, 55.



Fig. 5. Size distributions of oaks at the seven study sites in southeast Sweden. Black bars: predicted from the model, assuming constant recruitment and mortality over time. White bars: field observations.

Fig. 6. Frequency of oaks (*Quercus robur*) with hollows in different trunk diameter classes at the seven study sites in southeast Sweden. Black bars: predicted from the model, assuming constant recruitment and mortality over time. White bars: field observations.

Table 1. Frequencies of trees and characteristics of pedunculate oaks (*Quercus robur*) at the seven study sites in southeast Sweden.

	Area (ha)	Trees /ha <sup>a</sup>	Percentage of oaks	Percentage of oaks which had hollows	Percentage of oaks which were dead	Closeness (mean) <sup>b</sup>	Mean diameter of hollow oaks (cm) <sup>a</sup>	Mean diameter of oaks with no hollows (cm) <sup>a</sup>
Brokind	15.5	18	52 %	17 %	1 %	0.89	101	59
Kalvhagen	9.5	60	46 %	22 %	2 %	1.00	99	74
Långvassudde	2.9	226	47 %	27 %	12 %	1.88	56	52
Orräng	4.8	78	66 %	24 %	2 %	0.85	88	74
Storängen	5.2	84	70 %	17 %	3 %	1.08	96	50
Sturefors	2.6	173	48 %	21 %	0 %	1.36	51	40
Sundsbro	7.1	69	63 %	19 %	2 %	0.80	104	62

<sup>a</sup> Including all trees with a diameter at breast height > 10 cm.

<sup>b</sup> Closeness of the surrounding canopy was estimated for each tree as free-standing (= 0), half-open (= 1) or closed (= 2).

Table 2. Characteristics of the sets of ten oaks (*Quercus robur*) from which increment cores were taken at each site, selected to match the mean diameter and proportion of hollow trees in the entire oak population at the respective sites (see Table 1).

Site	Mean growth rate <sup>a</sup>	C.V. growth rate <sup>a</sup>	Tree age, Mean (Min - Max)	Percentage hollow trees	Mean diameter of hollow trees (cm)	Mean diameter of trees with no hollows (cm)
Brokind	2.2	25%	163 (94 - 298)	20%	113	72
Kalvhagen	1.6	63%	168 (17 - 276)	30%	107	63
Långvassudde	0.7	32%	263 (177 - 305)	30%	62	46
Orräng	2.0	52%	246 (124 - 368)	20%	92	74
Storängen	1.3	38%	198 (87 - 391)	40%	107	50
Sturefors	0.8	35%	199 (105 - 299)	20%	54	36
Sundsbro	1.5	36%	181 (94 - 320)	20%	103	66

<sup>a</sup> Growth rates were measured for each tree as the mean width of the annual rings over the last 40 years.

Table 3. Characteristics of oaks (*Quercus robur*) examined at the three study sites in southeast Sweden selected for a more detailed analysis of the tree growth and the variability in age at which hollow development commences among trees. Mean values (minimum and maximum values in parentheses).

Site	n	Diameter	Closeness <sup>a</sup>	Tree age <sup>b</sup>
Brokind	55	99 (10-199)	0.64 (0-2)	243 (25-478)
Bjärka-Säby	53	80 (12-166)	1.00 (0-2)	225 (17-457)
Sundsbro	57	82 (12-202)	0.72 (0-2)	211 (26-455)

<sup>a</sup> Closeness was estimated for each tree as free-standing (= 0), half-open (= 1) or shaded (= 2).

<sup>b</sup> Age estimated as described in the *Methods* section.



Fig. 1.

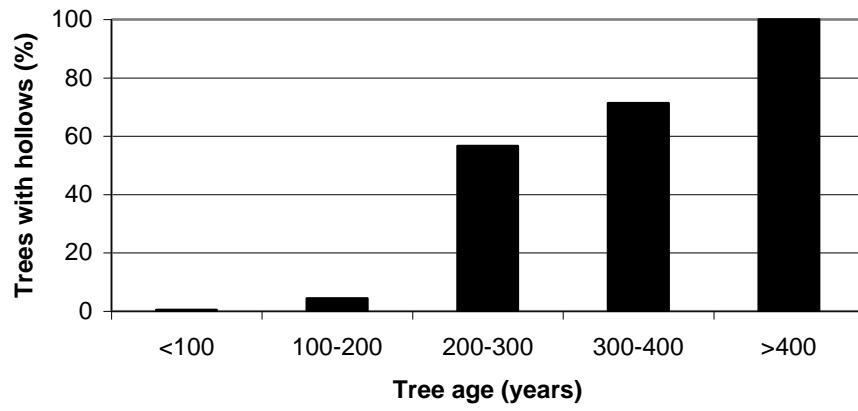


Fig. 2.

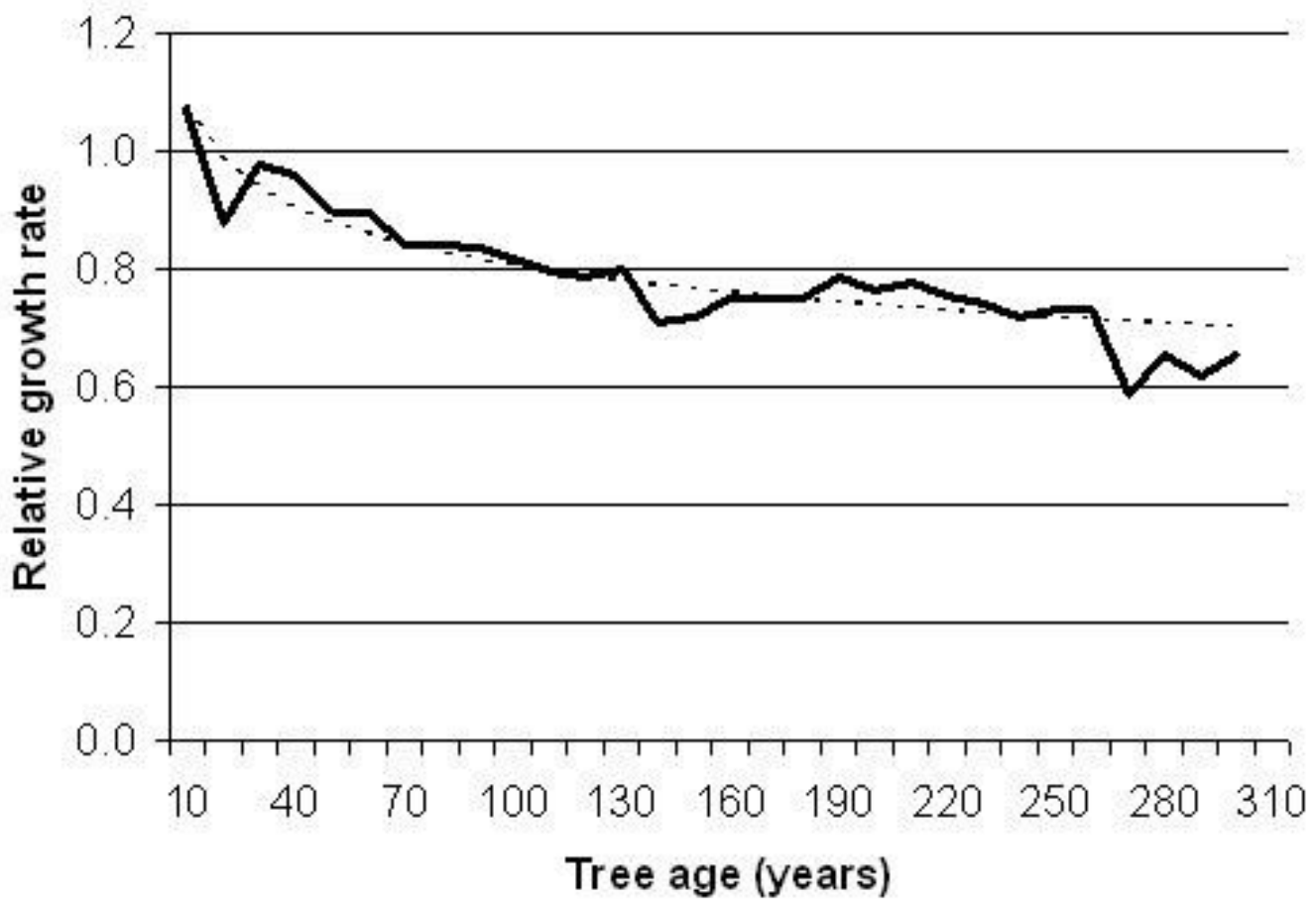


Fig. 3.

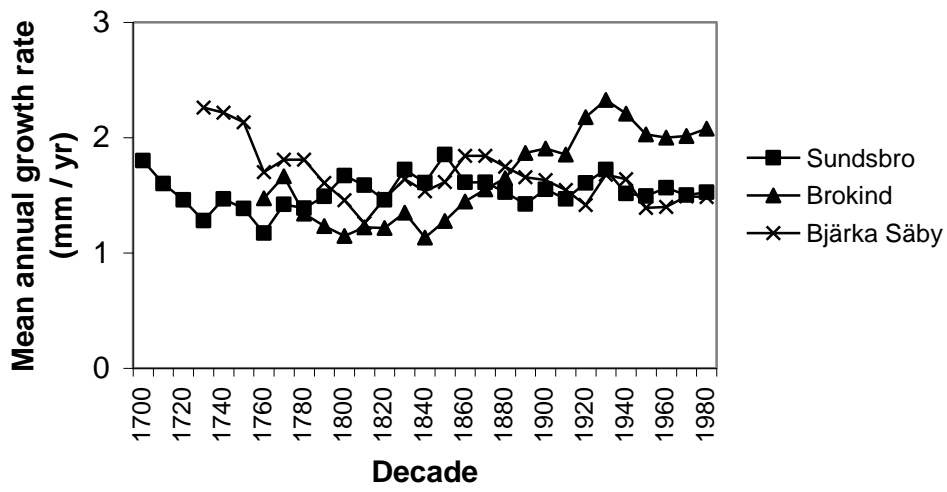
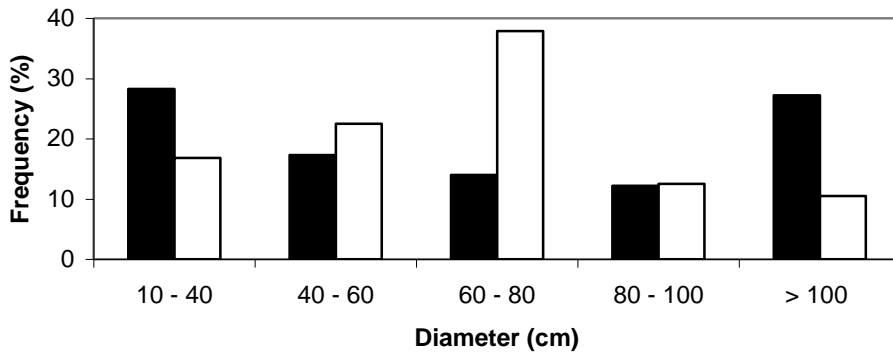


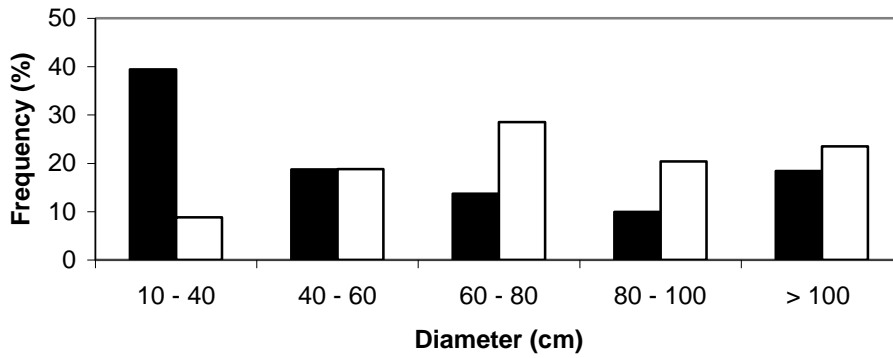
Fig. 4.



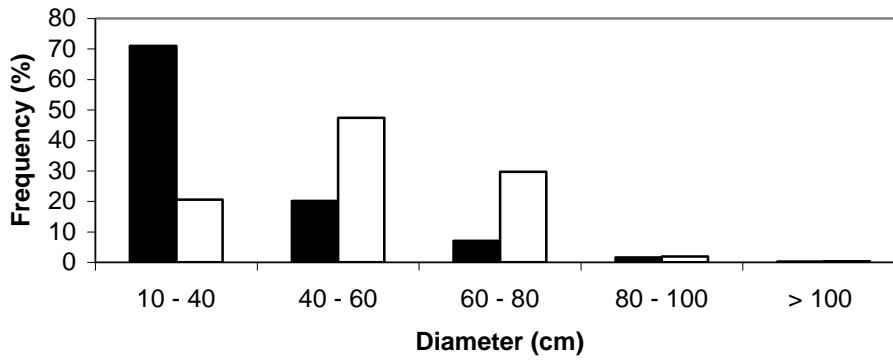
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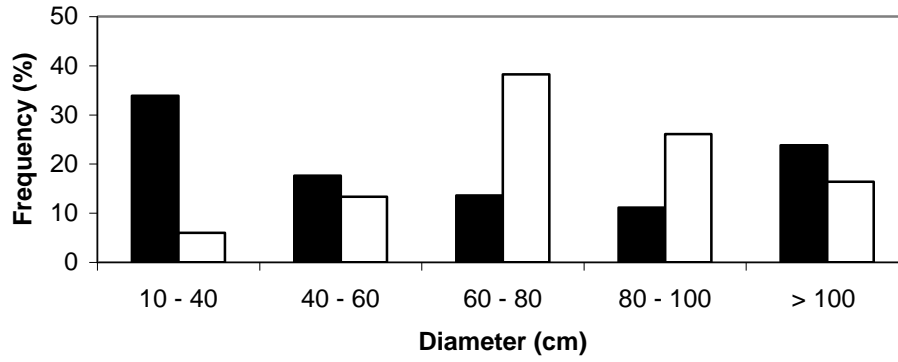
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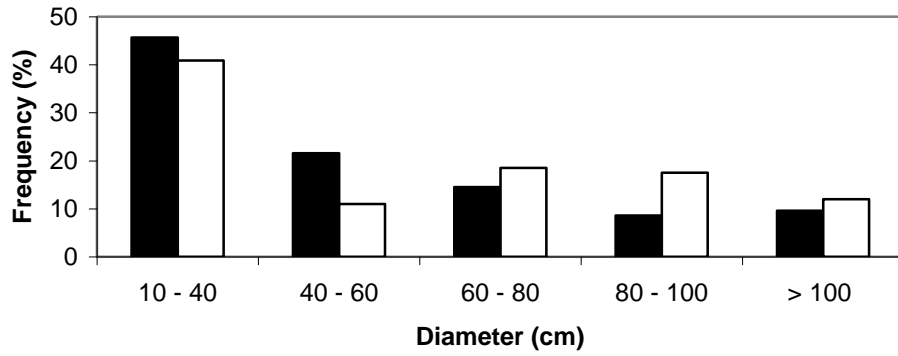
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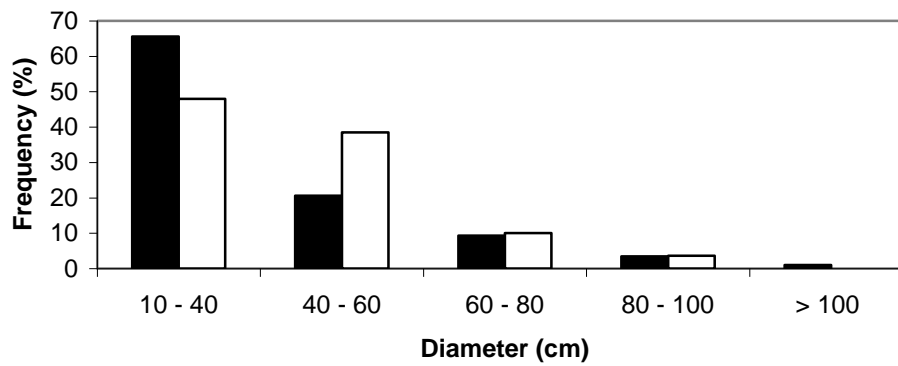
### Orräng



### Storängen



### Sturefors



### Sundsbro

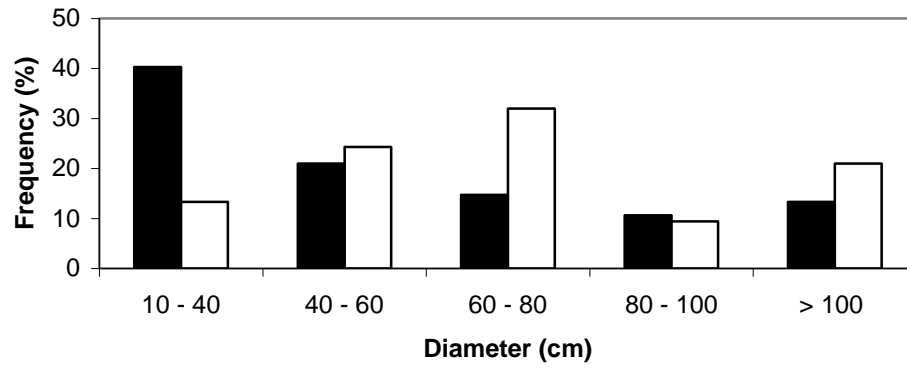
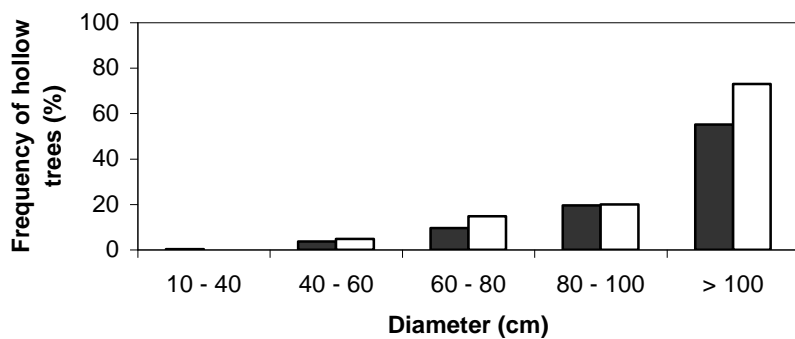
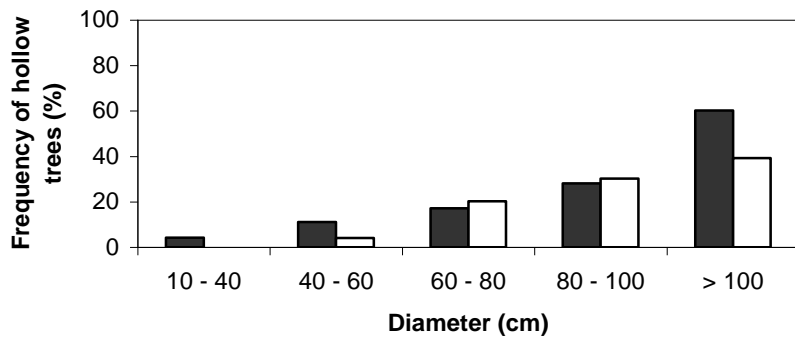


Fig. 5.

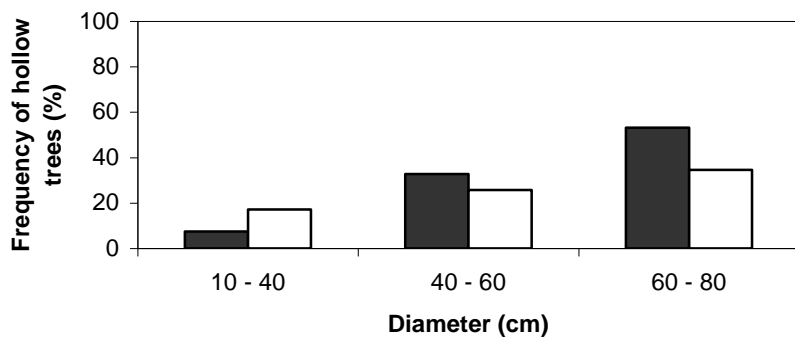
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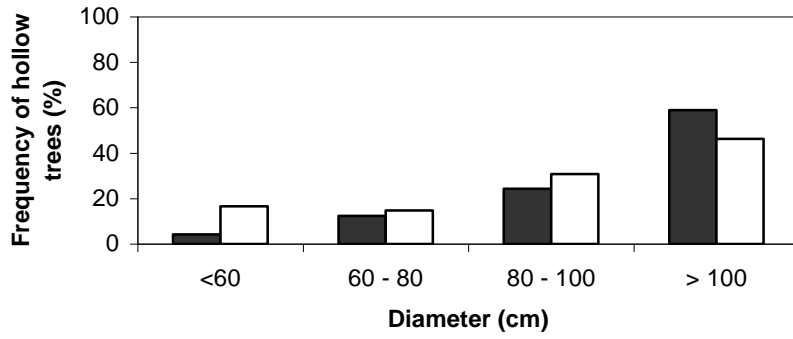
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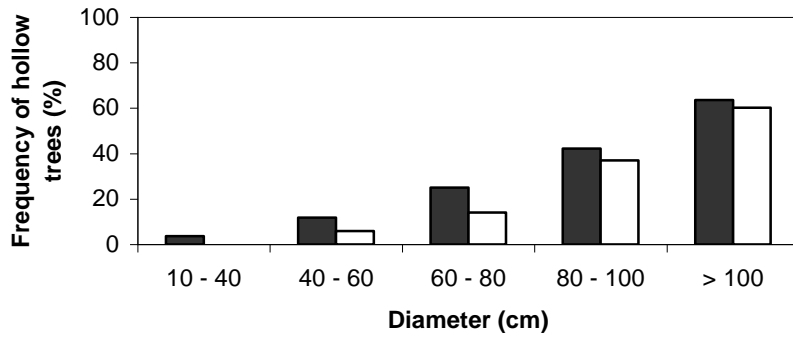
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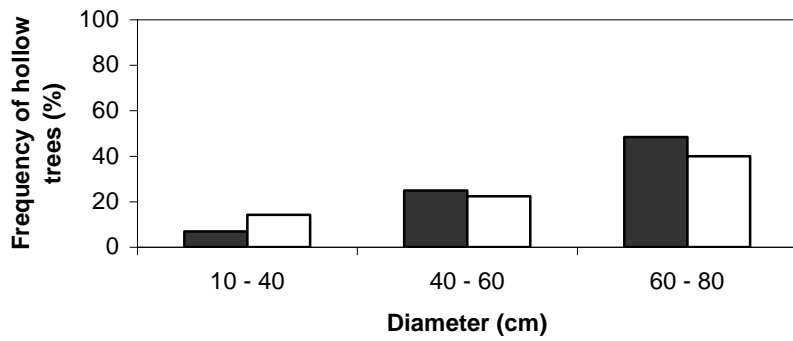
### Orräng



### Storängen



### Sturefors



### Sundsbro

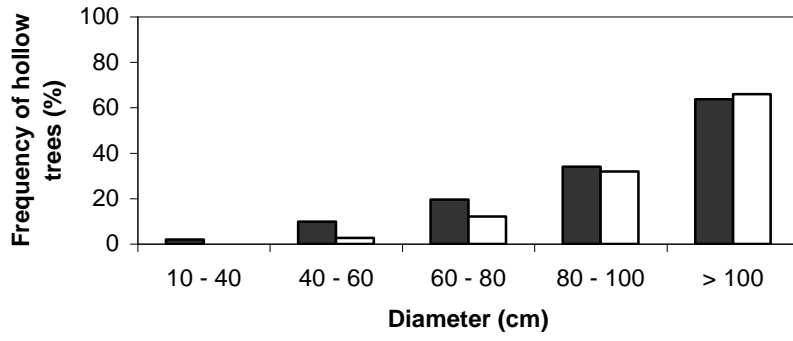


Fig. 6.