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1 **Chemical properties of plant litter in response to elevation: subarctic**
2 **vegetation challenges phenolic allocation theories**

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16 **Running headline: Shifts in leaf litter phenolics with elevation**

17

18

19 **Summary**

20 1. Several theories predict that increasing stress (e.g., decreasing nutrient availability or
21 decreasing temperature) should result in higher amounts of plant phenolic compounds both at the
22 interspecific and intraspecific levels. Further, several theories predict that plant phenolics are
23 major drivers of plant-soil feedbacks whereby they influence litter decomposition rates and the
24 return of nutrients to plants.

25 2. We investigated the potential influence of shifts in abiotic factors on litter phenolic properties
26 using an elevational gradient in northern Sweden, for which temperature and soil fertility decline
27 with increasing elevation. The system consists of two vegetation types; heath, (associated with
28 low soil fertility), and meadow (associated with higher fertility) which occur across the entire
29 gradient.

30 3. We hypothesized that total phenolics, tannins, and protein complexation capacity (PCC) of leaf
31 litter would increase with elevation within and among plant species. We further hypothesized that
32 at the whole-plot level (using community weighted averages) these properties would be higher in
33 heath than meadow, and that phenolic properties for meadow vegetation would show stronger
34 responses to elevation than for heath.

35 4. We measured phenolic properties in leaf litter for 13 species from both vegetation types across
36 an established elevational gradient (500-1000 m) in Swedish subarctic tundra.

37 5. Contrary to our hypotheses, different species showed highly contrasting responses in their
38 phenolic characteristics to elevation. At the across-species level, total phenolic content in litter
39 decreased with elevation. At the whole plot level, tannin concentrations were higher for the heath

40 than for the meadow, whereas total phenolics and PCC did not differ. However, consistent with
41 our hypothesis, our results showed that phenolic properties were more responsive to elevation for
42 the meadow compared to the heath, as a consequence of greater species turnover for the meadow.

43 6. Our results are inconsistent with theories predicting higher plant phenolic concentrations with
44 increasing environmental stress or decreasing nutrient availability. They also provide evidence
45 that across abiotic gradients in the subarctic tundra there are large shifts in litter phenolic
46 properties (including those that are able to complex protein), and highlight that the direction and
47 strength of such shifts may differ greatly among vegetation types.

48

49 **Key-words:** carbon nutrient balance hypothesis, decomposition, litter chemistry, litter feedback,
50 plant defence theory, protein complexation capacity, condensed tannins, tundra.

51 **Introduction**

52
53 Phenolics are a broad class of carbon-based secondary metabolites that can protect plants against
54 photo-damage (Close & McArthur 2002) and are well known to defend plants against herbivores
55 or pathogens by reducing their palatability and interfering with the enzyme activities of their
56 enemies (Coley, Bryant & Chapin 1985; Joannis *et al.* 2007). Further, phenolic compounds
57 persist in plant tissues following senescence, and have been found to have important litter
58 afterlife effects on decomposition, microbial nutrient immobilization and nutrient availability
59 (Northup *et al.* 1995; Hättenschwiler & Vitousek 2000; Cornelissen *et al.* 2004). One class of
60 phenolics, tannins, are defined by their reactivity with proteins, and can inhibit microbial enzyme
61 activity (Joannis *et al.* 2007) and form recalcitrant tannin-protein complexes (Hagerman 1987;
62 Hättenschwiler & Vitousek 2000; Joannis *et al.* 2009), which can reduce rates of decomposition,
63 nitrogen (N) mineralization (Northup *et al.* 1995) and the availability of N to plants (Kraus,
64 Dahlgren & Zasoski 2003). These effects of litter phenolics on soil processes are proposed to
65 control the supply rate of nutrients back to plants, and therefore have feedback effects on plant
66 growth (e.g. Northup, Dahlgren & McColl 1998; Meier & Bowman 2008; Joannis *et al.* 2009).

67 In natural environments there is great variability in the biotic and abiotic conditions that
68 plants encounter, and there is much interest in understanding how plant secondary metabolites
69 allow plant species and communities to respond to this variability (Kraus *et al.* 2003a; Barbehenn
70 & Constabel 2011). Several theories have been developed to explain the inter- and/or intra-
71 specific variation in plant phenolic production observed in many natural environments. For
72 instance, the ‘Carbon Nutrient Balance Hypothesis’ (Bryant, Chapin & Klein 1983), the
73 ‘Oxidative Pressure Hypothesis’ (Close & McArthur 2002), the ‘Growth Rate Hypothesis’

74 (Coley *et al.* 1985), and the ‘Protein Competition Model’ (Jones & Hartley 1999) all predict that
75 either increasing stress or decreasing nutrient availability should result in higher production of
76 phenolic compounds. However, the generality of these theories and their applicability to
77 contrasting environments have been strongly debated (Hamilton *et al.* 2001; Koricheva 2002;
78 Lerda & Coley 2002; Stamp 2003). Further, some resource competition theories propose that, as
79 nutrient availability and plant productivity decline, competition for soil resources should become
80 relatively more important than competition for light (Tilman 1985; Huston & DeAngelis 1994).
81 Since green leaf traits are usually strongly related to litter traits (Cornwell *et al.* 2008; Fortunel *et al.*
82 *al.* 2009) including chemical traits such as phenolics (Pastor & Naiman 1992; Schweitzer *et al.*
83 2004, Hättenschwiler, Hagerman & Vitousek 2003), it has been hypothesized that low litter
84 quality and decomposability (Berendse 1994) and a high content of some phenolics (particularly
85 tannins) in litter provides an adaptive advantage for plants in low resource environments through
86 the afterlife effect of those litters on soil fertility (Hättenschwiler & Vitousek 2000;
87 Hättenschwiler *et al.* 2003; Joannis *et al.* 2009). In support of this, recent studies suggest that the
88 creation of protein-tannin complexes by certain plant species, notably ericaceous shrubs, allows
89 them to exclude competing species, because their ericoid mycorrhizal associates can
90 preferentially access this tannin-complexed organic N (Joannis *et al.* 2009; Wurzbürger &
91 Henrick 2009).

92 While several studies have investigated potential afterlife effects of phenolics in litter at
93 either the inter- or intraspecific-level along natural abiotic gradients in forested ecosystems
94 (Northup *et al.* 1995; Hättenschwiler *et al.* 2003; Schweitzer *et al.* 2008; Gundale *et al.* 2010),
95 fewer have been conducted in other major types of ecosystems, such as subarctic or tundra
96 ecosystems. In the present study we use an elevational gradient in Swedish subarctic tundra

97 (Sundqvist *et al.* 2011a, Sundqvist, Giesler & Wardle 2011) to explore whether inter- and
98 intraspecific variation in litter phenolic properties is consistent with several theories predicting
99 how plant phenolic levels and plant-soil feedback effects change across abiotic gradients.
100 Elevational gradients serve as powerful tools for testing how ecological properties and processes
101 respond to changes in temperature, especially when other extrinsic factors are relatively constant
102 (Fukami & Wardle 2005; Körner 2007). As elevation (and thus temperature) has important
103 effects on the soil microbial community, nutrient cycling and nutrient supply rates from the soil
104 (Ruess *et al.* 1999; Sundqvist *et al.* 2011a), they also provide the opportunity for investigating
105 plant litter phenolics in response to these factors.

106 The Swedish subarctic tundra contains large gradients of elevation across which two
107 functionally contrasting vegetation types co-occur, heath (dominated by dwarf shrubs) and
108 meadow (dominated by herbaceous plants), which are likely to differ greatly in terms of their
109 litter phenolics as well as in the afterlife effects of these compounds. The heath is characterized
110 by lower pH and soil N availability (Björk *et al.* 2007; Eskelinen, Stark & Männistö 2009), and
111 higher soil P availability, than the meadow (Giesler *et al.* 2011; Sundqvist *et al.* 2011a). Previous
112 work on this gradient has shown that for both vegetation types, increasing elevation is associated
113 with an increase in foliar and leaf litter N:P ratios both within and across species, as well as at the
114 whole-community level (see Fig. 1., Table S1 in Supporting Information; Sundqvist *et al.* 2011b).
115 This work has also revealed that other leaf traits sometimes also shift with increasing elevation
116 from those associated with high growth rates and a nutrient acquisitive strategy, toward those
117 associated with slow growth and a nutrient conservative strategy. Further, most leaf and litter
118 traits, and litter decomposability, show a greater responsiveness to elevation for the meadow
119 vegetation (Fig. 1, Table S1; see also Sundqvist *et al.* 2011b) which also has a greater turnover of

120 species with increasing elevation relative to the heath (Sundqvist *et al.* 2011a). With regard to
121 soil properties, available N and P concentrations decline, and fungal:bacterial ratios increase with
122 elevation for the heath, while these properties vary greatly but idiosyncratically with elevation for
123 the meadow (Sundqvist *et al.* 2011a). Therefore, this system enables determination of how litter
124 phenolic properties for a range of species occurring in functionally contrasting vegetation types
125 respond to the same elevational gradient.

126 In this study system we tested the following four hypotheses: 1) For those species
127 spanning a significant portion of the elevational gradient, we hypothesized that an increase in
128 elevation (and thus a decline in temperature and soil fertility) would be associated with an
129 increase in plant litter concentrations of total phenolics and total tannins, and would exhibit a
130 greater capacity to complex proteins; 2) At the across-species level, we hypothesized that species
131 that dominate at higher elevations will produce litter with higher concentrations of phenolics and
132 total tannins, and exhibit a greater capacity to complex proteins compared to those species that
133 dominate at lower elevations; 3) We hypothesized that the community weighted average
134 concentrations of total phenolics and tannins of the local community (i.e. at the plot-level) would
135 be higher in the infertile heath vegetation than in the meadow vegetation; and 4) We
136 hypothesized that these plot-level measures of phenolic properties would be more responsive to
137 elevation for the meadow than the heath. This prediction is consistent with the greater rate of
138 species turnover with increasing elevation previously shown for the meadow relative to the heath
139 (Sundqvist *et al.* 2011a).

140

141 **Methods**

142

143 *Study site and litter sampling*

144

145 This study was performed on the north-east facing slope of Mt Suorooaivi (1193 m), located
146 approximately 20 km south-east of Abisko, Sweden (68°21'N, 18°49'E). The bedrock consists of
147 salic igneous rocks and quartic and phyllitic hard schists. Measurements of air temperature by
148 data-loggers have confirmed that air temperature decreases with elevation across this gradient
149 (Sundqvist *et al.* 2011a, Fig. S1 in Supporting Information). Two types of vegetation, heath and
150 meadow, grow in a mosaic on the slope, with meadow commonly found in shallow depressions.
151 The heath is characterized by ericaceous dwarf-shrubs such as *Vaccinium vitis-idaea*, *Empetrum*
152 *hermaphroditum*, and *Betula nana*. Meadow vegetation is characterized by graminoids such as
153 *Deschampsia flexuosa* and *Anthoxanthum odoratum*, sedges such as *Carex bigelowii* and herbs
154 such as *Trollius europaeus* and *Solidago virgaurea*. The mean soil humus depth across the study
155 system (mean \pm SE) is 6.0 (\pm 0.3) cm and 2.7 (\pm 0.4) cm for heath and meadow respectively (data
156 derived from Sundqvist *et al.* 2011a). For the heath, the maximum soil humus depth is 7.3 (\pm 0.4)
157 cm, and the minimum is 4.6 (\pm 0.4) cm, found at the highest and the lowest elevation,
158 respectively. For meadow, the maximum soil humus depth is 6.8 (\pm 1.2) cm, and the minimum
159 humus depth is 1.2 (\pm 0.1) cm, found at the lowest and highest elevation, respectively. Previous
160 work along this study site has revealed that increasing elevation is associated with an increase in
161 foliar and leaf litter N:P ratios, but that this increase is greater for the meadow than for the heath
162 (Sundqvist *et al.* 2011b). It has also been shown in this system that available soil N and P decline
163 with elevation for the heath and vary greatly but idiosyncratically for the meadow (Sundqvist *et*
164 *al.* 2011a). For more details on the study system see Sundqvist *et al.* (2011a,b), Fig. 1 and Table
165 S1.

166 In September 2007 four replicate plots (each 10 x 10 m) were located in each of the
167 vegetation types, at every 100 m along an elevational gradient ranging from 500 to 1000 m,
168 yielding a total of 48 plots. The plots, centred on smaller (2 m x 2 m) plots, used in a previous
169 study across this gradient (Sundqvist *et al.* 2011a). To ensure that pseudoreplication was
170 minimized within each elevation, the mean distance of each plot to the next nearest plot was
171 approximately 15 m (with the mean distance between the two most distance plots being
172 approximately 100 m). Because of the high spatial heterogeneity over short distances (i.e., in the
173 order of a few meters) in microtopography, hydrology and soil fertility in these communities
174 (Björk *et al.* 2007), it is expected that the 15 m distance among plots is sufficient to ensure
175 adequate independence among them. The plots at 500 m are situated in open birch forest, plots at
176 600 m are located immediately above the forest line, and plots at 700-1000 m sites are situated
177 above the treeline.

178 In order to explore the effect of elevation (i.e. decline in temperature and soil fertility) on
179 leaf litter phenolic properties, senesced fresh leaf litter was hand-collected from three to four
180 plant species in each plot between 6 and 17 September 2008. This yielded a total of 13 plant
181 species; eight species were collected in meadow vegetation (*Bartsia alpina*, *Carex aquatilis* ssp.
182 *stans*, *Carex saxatilis*, *Geranium sylvaticum*, *Sibbaldia procumbens*, *S. virgaurea*, *Salix polaris*
183 and *T. europaeus*), three species were collected in heath vegetation (*E. hermaphroditum*, *B. nana*,
184 *V. vitis-idaea*), and two species were collected in both heath and meadow (*C. bigelowii*, *B.*
185 *pubescens* ssp. *czerepanovii*). These species were selected to ensure that we included species that
186 are common on a portion of the gradient, as well as those species that occurred across most or the
187 entire gradient, which allows us to effectively explore variation both across and within species
188 across the gradient (Wardle *et al.* 2009, Sundqvist *et al.* 2011b). For each species, a quantity of at

189 least 1 g of senesced leaves still attached to the stem, representing a minimum of 30 individual
190 leaves, were collected from each plot and all materials were air dried (>22°C) after collection.
191 Green leaf traits are often strongly related to litter quality (Cornwell *et al.* 2008; Fortunel *et al.*
192 2009), including phenolic content (Pastor & Naiman 1992; Schweitzer *et al.* 2004). Our approach
193 thus provides a relative comparison of phenolic properties and their potential litter afterlife
194 effects, among and within species, across our study system. For details on species functional
195 groups and at which elevations each species was collected, see Table S2 in Supporting
196 Information.

197

198 *Laboratory analysis*

199

200 For each litter sample, litter extracts were created by extracting 0.3 g of ground litter (ball mill,
201 Retsch, MM 301) in 100 mL of deionized water (DI) and left to shake for 24 hours, after which
202 samples were filtered through coarse filters, followed by 0.2 µm disposable filters under vacuum
203 (Garnett *et al.* 2004; Joannis, Bradley & Preston 2008). We extracted with deionized water
204 because water soluble phenolics represent the most biologically active fraction of the total pool of
205 phenolics, and previous work using many of the same species has shown that phenolic
206 concentrations in water extracts are strongly correlated with phenolic concentrations in organic
207 solvent extracts (Gundale *et al.* 2010). The Prussian blue technique (Stern *et al.* 1996) and acid-
208 butanol method (Porter, Hrstich & Chan 1986) were used to measure total extractable phenolics
209 and total amount of condensed tannins within these extracts, using catechin (+/-) and procyanidin
210 B2 (Sigma-Aldrich) as standards, respectively. The total concentrations of phenolics and tannins
211 are reported on a per gram of litter dry weight basis.

212 The protein complexation capacity (PCC) of each litter extract was quantified using the
213 method described by Gundale *et al.* (2010), whereby an external protein (Bovine Serum
214 Albumin; BSA) is added to each litter extract and the quantity of precipitated protein is
215 measured. The PCC is reported on a per gram of litter dry weight basis. Further details of the
216 method are given by Gundale *et al.* (2010). This PCC method provides an estimate of protein
217 complexation by all tannins present in the extract, including condensed tannins and the less easily
218 quantifiable hydrolyzable fraction. Therefore, for each sample we used the data for total PCC and
219 total phenolics to estimate the amount of protein complexed per unit of total phenol.

220

221 *Statistical analysis*

222

223 To determine whether each phenolic response variable (i.e. total phenolics, tannins, PCC, and
224 PCC per unit phenol) within individual species responded to the elevational gradient, we used
225 one-way ANOVA for each of five species that occurred on at least four of the six elevation sites
226 within the gradient, i.e., *B. nana*, *E. hermaphroditum*, *V. vitis-idaea*, *B. alpina* and *T. europaeus*
227 (Table S2). Whenever significant effects of elevation were found within species, differences
228 among means were explored using Tukey's honestly significant difference (h.s.d.) at $p = 0.05$. To
229 analyse variation in each phenolic response variable at the across-species level, we calculated the
230 mean value of each response variable for each species across all elevations, and the mean
231 elevation at which each species occurred. We recognize that some species may potentially occur
232 above the highest elevation or below the lowest elevation used in our study, but emphasize that
233 these mean elevation values for each species are intended only for comparative purposes, i.e.,
234 comparison among species within the range of elevations that we considered. We then used linear

235 regression on these calculated mean values to test for the relationship of each response variable
236 with elevation across this elevational range, with each species serving as an independent data
237 point, as described by Wardle *et al.* (2009) and Sundqvist *et al.* (2011b). To assess the effect of
238 vegetation type and elevation on each response variable at the community-level, we used a
239 community weighted average approach (Garnier *et al.* 2007). All species within a given plot were
240 weighted according to their relative abundance in that plot, based on previously published species
241 abundance data (Sundqvist *et al.* 2011a), yielding a single mean value of each variable for each
242 plot (Garnier *et al.* 2007; Fortunel *et al.* 2009). For each variable, the weighted average value for
243 each plot was calculated by the following equation according to Garnier *et al.* (2007):

$$244 \quad \text{variable}_{aggr} = \sum_{i=1}^n p_i \times \text{variable}_i$$

245 where variable_{aggr} is the aggregated value of that variable for all species collected in that plot, p_i
246 is the cover of species i as a proportion of the total cover for all species collected in that plot, and
247 variable_i is the value for that variable for species i . For each plot and for each variable we also
248 calculated the average of all species for each plot without weighting for relative abundance, as
249 used by Wardle *et al.* (2009) and Mason *et al.* (2012), to determine a plot average value. We used
250 two-way ANOVA to test for the effects of vegetation type and elevation (and their interaction) on
251 variable_{aggr} (i.e. the plot weighted average values) and the plot average value for each response
252 variable. When ANOVA yielded significant treatment effects of elevation, differences among
253 means were further analysed using Tukey's h.s.d. at $p = 0.05$. Using the plot average values and
254 variable_{aggr} yielded similar results and we therefore report our results for variable_{aggr} . For all data
255 analysis, all data variables were log-transformed when required, to comply with the assumptions
256 of parametric tests. All statistical analyses were performed using SPSS (PASW statistics 18.0).

257

258 **Results**

259

260 *Effect of elevation within- and across species*

261

262 At the within-species level, elevation (and thus declining temperature and soil fertility) had
263 significant effect on total phenolic content for three of the five species we studied, i.e., two from
264 the heath (*E. hermaphroditum*, *V. vitis-idaea*) and one from the meadow (*T. europaeus*) (Fig. 2a).
265 Total phenolic content for *E. hermaphroditum* was highest at the 600 m elevation and lowest at
266 900 m, while that for *V. vitis-idaea* was lowest at the 500 m elevation and greatest at the three
267 highest elevations. For *T. europaeus*, the total phenolic content was highest at 600 m and lowest
268 at intermediate elevations. Further, elevation also had a significant effect on the total tannin
269 content, the PCC and the PCC to phenol ratio for *E. hermaphroditum* and *V. vitis-idaea* (Fig. 2b-
270 d). For *E. hermaphroditum*, total tannin content and PCC was significantly higher at the lowest
271 elevation than at the third and second highest elevations, respectively, while the amount of
272 protein complexed per unit phenol was significantly higher at the lowest elevation than at the
273 intermediate and highest elevations. For *V. vitis-idaea*, the total tannin content was highest at 900
274 m and lowest at 500 and 700 m, and the PCC and the amount of protein complexed per unit
275 phenol were both highest at the lowest elevation (Fig. 2d). No response variables for the other
276 two species (*B. nana* and *B. alpina*) showed any relationship with elevation (Fig. 2).

277 At the across-species level (when each species for each vegetation type was represented
278 as a single data point) there was a significant negative relationship between total phenolics and
279 elevation across the elevational range that we considered, while PCC was marginally non-

280 significantly negatively related to elevation at $p = 0.05$ (Fig. 3). Total tannins and the PCC to
281 phenol ratio had no relationship with elevation ($R^2 = 0.011$, $p = 0.711$; and $R^2 = 0.002$, $p = 0.871$;
282 respectively).

283

284 *Effect of vegetation type and elevation at the whole plot level*

285

286 When community weighted measures were used to assess each response variable at the whole
287 plot level, there was a significant main effect of vegetation type on the total tannin content in leaf
288 litter and the amount of protein complexed per unit phenol in leaf litter (Table 1). Overall the
289 total tannin content was significantly higher for the heath than for the meadow while the meadow
290 had a higher amount of protein complexed per unit phenol than did the heath (Fig. 4b,d). Further,
291 there was a significant main effect of elevation and interactive effect of elevation and vegetation
292 on all four variables measured (Table 1). For the heath, the total phenolic concentration was
293 significantly higher at 800 m than at 900 m and no other elevations differed significantly from
294 each other. For the meadow, the phenolic concentration was significantly higher at 500 m and
295 700 m than at the three highest elevations (Fig. 4a). Elevation had no effect on total tannin
296 content for the heath but for the meadow tannins were significantly higher at the highest elevation
297 compared to 600 m and 700-800 m elevations (Fig. 4b). The PCC was significantly higher at the
298 lowest elevation relative to all other elevations for the heath, and relative to all elevations except
299 at 600 m for the meadow (Fig. 4c). Further, the amount of protein complexed per unit phenol was
300 significantly higher at the lowest elevation relative to all other elevations for the heath, and
301 relative to the 700 m and 900 m elevations for the meadow (Fig. 4d).

302

303 **Discussion**

304

305 *Within- and across species variation in litter phenolic properties*

306

307 Increasing environmental stress and low nutrient availability are proposed to be primary factors
308 controlling inter- and intra-specific variation in plant phenolic levels (Bryant *et al.* 1983; Coley *et*
309 *al.* 1985), and in influencing plant-soil feedbacks that result from plant litter entering the soil
310 environment (Hättenschwiler & Vitousek 2000; Joannis *et al.* 2009). Therefore, we hypothesized
311 that an increase in elevation, and thus in environmental stress and nutrient limitation, would lead
312 to increases in total phenolic and tannin concentrations and protein complexation capacity (PCC)
313 of plant litter both within and among species. At the within-species level, only one species (*V.*
314 *vitis-idaea*) supported this prediction by showing a higher content of total phenolics with
315 increasing elevation and thus declining soil nutrient availability (Sundqvist *et al.* 2011a) and leaf
316 nutrient concentrations (Sundqvist *et al.* 2011b). In contrast, the most dominant species in the
317 heath community type, *E. hermaphroditum*, displayed the reverse pattern for all phenolic
318 properties we measured, despite increasing elevation being associated with declining soil nutrient
319 availability and leaf nutrient concentrations in *E. hermaphroditum* (Fig. 1; Sundqvist *et al.*
320 2011a,b). These data are not strongly supportive of theories predicting how patterns of plant
321 phenolic content (Bryant *et al.* 1983; Coley *et al.* 1985; Close & McArthur 2002) or resultant
322 plant-soil feedbacks (Hättenschwiler & Vitousek 2000; Joannis *et al.* 2009) respond to stress
323 across environmental gradients. Instead they show that there can be considerable differences
324 among co-existing species in how their phenolic properties respond to gradients of abiotic stress
325 and soil fertility (e.g. Koricheva *et al.* 1998; Hamilton *et al.* 2001; Hansen *et al.* 2006).

326 It is well known that phenolics are a diverse group of compounds that can differ greatly in
327 the strength of their effects on specific processes, such as herbivory, litter decomposition, nutrient
328 cycling and allelopathic effects against other plants (Schimel, Cates & Ruess 1998; Meier &
329 Bowman 2008; Barbehenn & Constabel 2011). It is also recognized that tannins exhibit great
330 variability in their protein complexation capacity and effect on litter decomposition (Coq *et al.*
331 2010; Salminen & Karonen 2011; Hättenschwiler *et al.* 2011). Consistent with this, we found for
332 *V. vitis-idaea* that although the total phenolic and tannin contents were low at the lowest
333 elevation, both PCC and the amount of protein complexed per unit of phenol were highest at this
334 elevation. As such, the PCC of *V. vitis-idaea* litter across our study system was greatest at the
335 elevation at which the overall highest leaf and litter nutrient concentrations occur for this species
336 (Sundqvist *et al.* 2011b). Further, we found that among our most abundant species, only 3-5 % of
337 the dry weight of *E. hermaphroditum* litter consisted of phenolic compounds, versus 7-14 % for
338 *V. vitis-idaea* and 7-13 % for *B. nana* (Fig. 2). Despite the lower phenolic concentrations of *E.*
339 *hermaphroditum*, previous studies have shown that one of the main phenolics produced by this
340 species is the low molecular weight phenolic compound, batatasin-III, which has been suggested
341 to have strong negative effects on belowground processes, and allelopathic effects on other
342 plants, even at very low concentrations (Nilsson 1994; Wardle *et al.* 1998; Tybirk *et al.* 2000;
343 Wallstedt, Gallet & Nilsson 2005). Our results further highlight the importance of considering not
344 only the total quantity of phenolics that a plant produces but also the properties and composition
345 of those phenolics (Hättenschwiler *et al.* 2011) and how they may vary among species that occur
346 on the same environmental gradient.

347 In contrast to our second hypothesis, and previous findings from this system that
348 increasing elevation is associated with a decline in SLA and leaf and litter P concentrations and

349 an increase in N:P ratios (Sundqvist *et al.* 2011b), we found that those species that were most
350 abundant at higher elevations generally produced litter which had the lowest concentrations of
351 phenolics and PCC (Fig. 3). These results are further inconsistent with theories proposing a
352 greater importance of plant phenolics in generating stronger plant-soil feedbacks in low fertility
353 environments (Hättenschwiler & Vitousek 2000; Northup *et al.* 1995). They are instead
354 consistent with studies in boreal forests showing that litter phenolics can often be higher for
355 species that dominate on more fertile soils (Wardle *et al.* 2003; Gundale *et al.* 2010). Our results
356 are also consistent both with studies which have found foliar phenolic content to decline with
357 increasing elevation (Carey & Wink 1994; Wallis, Huber & Lewis 2011) and decreasing
358 temperatures (Jonasson *et al.* 1986; Hansen *et al.* 2006). Several mechanisms have been proposed
359 to explain such patterns (Carey & Wink 1994; Hansen *et al.* 2006; Zidorn 2010). In a subarctic
360 tundra system, Jonasson *et al.* (1986) studied the effect of inter-annual variation in macroclimate
361 on plant chemical properties and proposed that, within their site, increasing temperature may
362 cause plant photosynthetic rates to increase more than plant nutrient availability. This was in turn
363 proposed to cause a plant carbon surplus, which enables plants to allocate a greater amount of
364 carbon to secondary chemistry production rather than to growth (Bryant *et al.* 1983). In contrast
365 to Jonasson *et al.* (1986), in our study system both temperature and soil nutrient availability is
366 highest at the lowest elevations for the heath (Sundqvist *et al.* 2011a). In addition, across all
367 species in our study system, those that dominate at low elevations display several functional traits
368 associated with faster growth and higher nutrient acquisition compared to those species that
369 dominate at high elevations (Sundqvist *et al.* 2011b), suggesting that the mechanism proposed by
370 Jonasson *et al.* (1986) is unlikely to explain the decline in phenolic properties with elevation we
371 observed. Alternatively, there is increasing evidence that low temperatures at high elevations

372 limit plant meristematic activity and synthetic process rates rather than photosynthesis (Hoch &
373 Körner 2003; Shi, Körner, & Hoch 2008; Hoch & Körner 2009), potentially leading to the plant
374 having a reduced capacity to synthesize complex molecules such as phenolics despite having an
375 excess of C available for synthesis.

376

377 *Variation in phenolic properties across plant community types and elevation*

378

379 We used community abundance-weighted measures to test our third hypothesis that, at the whole
380 plot level, leaf litter produced by heath communities (i.e. dominated by ericaceous dwarf shrubs)
381 and occurring on nutrient poor soils should have higher amounts of phenolic compounds than
382 meadow communities dominated by herbaceous species and occurring on more fertile soils.

383 Consistent with this, total amounts of tannins were highest in heath plots. This result supports
384 previous suggestions that the protein-tannin complexes in the litters produced by some ericaceous
385 plants can be accessed via their mycorrhizal fungal associates and thus allows them to potentially
386 exclude other, non-ericaceous species, by reducing their access to nitrogen (Joanisse *et al.* 2009;
387 Wurzburger & Henrick 2009). However, in our study, litter phenolic concentrations and PCC did
388 not differ between the vegetation types and, against our expectations, the meadow community
389 produced litter which contained phenolics that had a higher total capacity to complex proteins
390 than did the heath. Previous studies have revealed that there may be a great variability among
391 species in terms of the different tannins they produce (e.g. Kraus *et al.* 2003b). Our results could
392 be due to a higher concentration of hydrolyzable tannins in the meadow species (which we were
393 unable to quantify) and are consistent with a study by Saetnan & Batzli (2009) showing that
394 herbaceous species dominating in more fertile sites in a Norwegian alpine ecosystem produces

395 higher levels of total phenolics (Saetnan & Batzli 2009). Further, because species in the heath
396 likely root and recycle nutrients from within the organic layer, while some meadow species might
397 root and access nutrients from within the mineral soil, differences in rooting depth for heath and
398 meadow species may also help explain these findings. In total, our results highlight that the
399 ecological functions of specific phenolic compounds produced by different species or vegetation
400 types (Salminen & Karonen 2011; Hättenschwiler *et al.* 2011) may be more relevant for
401 understanding plant-soil feedbacks than total phenolic or tannin pool sizes *per se*.

402 It is recognized that both within species variability and species turnover are important
403 mechanisms by which communities respond to environmental variation (Schweitzer *et al.* 2008;
404 Lepš *et al.* 2011) but few studies have evaluated the relative importance of these factors among
405 different plant community types. We hypothesized that the litter phenolic properties of the
406 meadow community would be more responsive to elevation, and associated shifts in temperature
407 and soil fertility, than those of the heath community. This hypothesis was based on the previously
408 documented higher rate of species turnover with increasing elevation for the meadow relative to
409 heath (Sundqvist *et al.* 2011a) which is associated with a greater responsiveness to elevation of
410 plant leaf and litter traits, and litter decomposability (Fig. 1.; Sundqvist *et al.* 2011b). In support
411 of this, all phenolic properties we measured showed a stronger relationship with elevation for the
412 meadow community than for the heath, consistent with findings that the responsiveness of
413 community functional traits is greater across abiotic gradients which have a high degree of
414 species turnover (Wardle *et al.* 2009). Our results for the heath also show that even when
415 individual species are highly responsive to a gradient (Fig. 2), community-level trait responses
416 can remain very stable as long as individual species responses offset one-another. Therefore, our
417 data highlights that the relative importance of intra-specific variation versus species turnover in

418 determining the response of community traits to environmental gradients may differ greatly for
419 contrasting, but co-occurring, plant community types. Shifts in community traits (i.e., litter
420 chemical properties) in response to changes in abiotic factors can be a strong predictor of how
421 ecosystem properties and processes (i.e. decomposition rates and nutrient availability) respond to
422 the same abiotic factors (Suding *et al.* 2008). Our results therefore suggest that responses to
423 elevation of ecosystem properties and processes that are driven by litter phenolics may differ
424 considerably among plant community types.

425

426 *Conclusions*

427

428 Our study did not provide support for our hypotheses in that we did not find increases in
429 phenolics in plant leaf litter with increasing elevation (and thus declining temperature and
430 nutrient availability) within species, across species, or at the whole community level. It also
431 revealed large differences among species in how their litter phenolic properties responded to
432 elevation, suggesting that responses of phenolics to environmental factors are highly
433 individualistic, rather than regulated by universal mechanisms as predicted by several theories on
434 plant phenolic allocation (e.g. Bryant *et al.* 1983; Coley *et al.* 1985). Further, and also
435 inconsistent with such theories, total phenolics in leaf litter did not differ between the heath
436 vegetation (dominating on nutrient poor soils) and the meadow vegetation (dominating on more
437 fertile soils). Instead, our results highlight the importance of considering not only the total
438 amount of phenolics in litter produced by different plant species and communities, but also the
439 ecological functions of those phenolics (Hättenschwiler *et al.* 2011). Finally, our results showed
440 that the responsiveness of phenolic properties to elevation was greater in meadow than in heath

441 vegetation, indicating that large differences can exist among contrasting plant community types
442 in how litter chemical traits at the plant community level respond to abiotic gradients. These
443 types of differences are in turn likely to have consequences for ecosystem properties and
444 processes driven by litter chemical traits, such as litter decomposition, nutrient cycling, plant
445 nutrition and plant growth (Suding *et al.* 2008).

446

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454

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631

632 SUPPORTING INFORMATION

633

634 Additional supporting information may be found in the online version of this article.

635

636 **Figure S1** Daily mean air temperature (°C) during the growing season across the study site

637 **Table S1** Plant community characteristics and soil abiotic factors across the study site derived

638 from Sundqvist et al. (2011a,b)

639 **Table S2** Species sampled for this study

640

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644

645

646 Table 1. Results from a two-way ANOVA (*F* values, with *p* in parentheses) testing for the effect
 647 of vegetation type (heath versus meadow) and elevation on total phenolic content, total tannin
 648 content, protein complexation capacity (mg g⁻¹ litter) and the amount of protein complexed per
 649 unit phenol in plant litter. Data are weighted averages, where all species collected in each plot are
 650 weighted according to their relative abundance to provide a single value for each plot.

	ANOVA results		
	Vegetation type	Elevation	Vegetation × Elevation
Total phenolics	0.3 (0.579)	17.4 (<0.001)	24.2 (<0.001)
Total tannins	98.3 (<0.001)	3.4 (0.014)	4.5 (0.003)
Protein complexation capacity ^a	0.1 (0.801)	25.8 (<0.001)	5.6 (<0.001)
Protein:phenol ratio ^a	20.4 (<0.001)	17.9 (<0.001)	3.2 (0.019)

Degrees of freedom for F-values are 1,33 for V; 5, 33 for E; and 5,33 for V×E. Values in boldface indicate statistical significance at $p \leq 0.05$. ^aData were log-transformed prior analysis

651

652

653 Fig. 1. Summary of previous findings of responses of green leaf traits, litter decomposability and
654 soil properties for heath and meadow communities to elevation across the study system, derived
655 from data presented by Sundqvist et al. (2011a,b). All shapes represent trends in the data and not
656 actual values. For each row (i.e., for each property or process), when overall differences in means
657 between heath and meadow are statistically significant at $P = 0.05$ the shapes have different
658 shadings (i.e., black and grey), where the overall mean for the black-shaded shape is higher than
659 that for the grey-shaded shape. Within each vegetation type, rectangular shapes represent no
660 response to elevation; all other shapes represent a significant responsiveness to elevation for that
661 variable with highest values found where the shape is thickest.

662
663 Fig. 2. The mean (\pm SE) total phenolic content (a), total tannin content (b), protein precipitation
664 capacity (c) and amount of protein complexed per unit phenol (d) in litter for each of five plant
665 species across an elevational gradient, three from heath (*B. nana*, *E. hermaphroditum* and *V. vitis-*
666 *idaea*) and two from meadow (*B. alpina* and *T. europaeus*). For each response variable for each
667 species, F and p -values (with df) are from a one-way ANOVA testing for the effect of elevation.
668 Within each panel bars topped by the same letter are not significantly different at $p < 0.05$
669 (Tukey's h.s.d.).

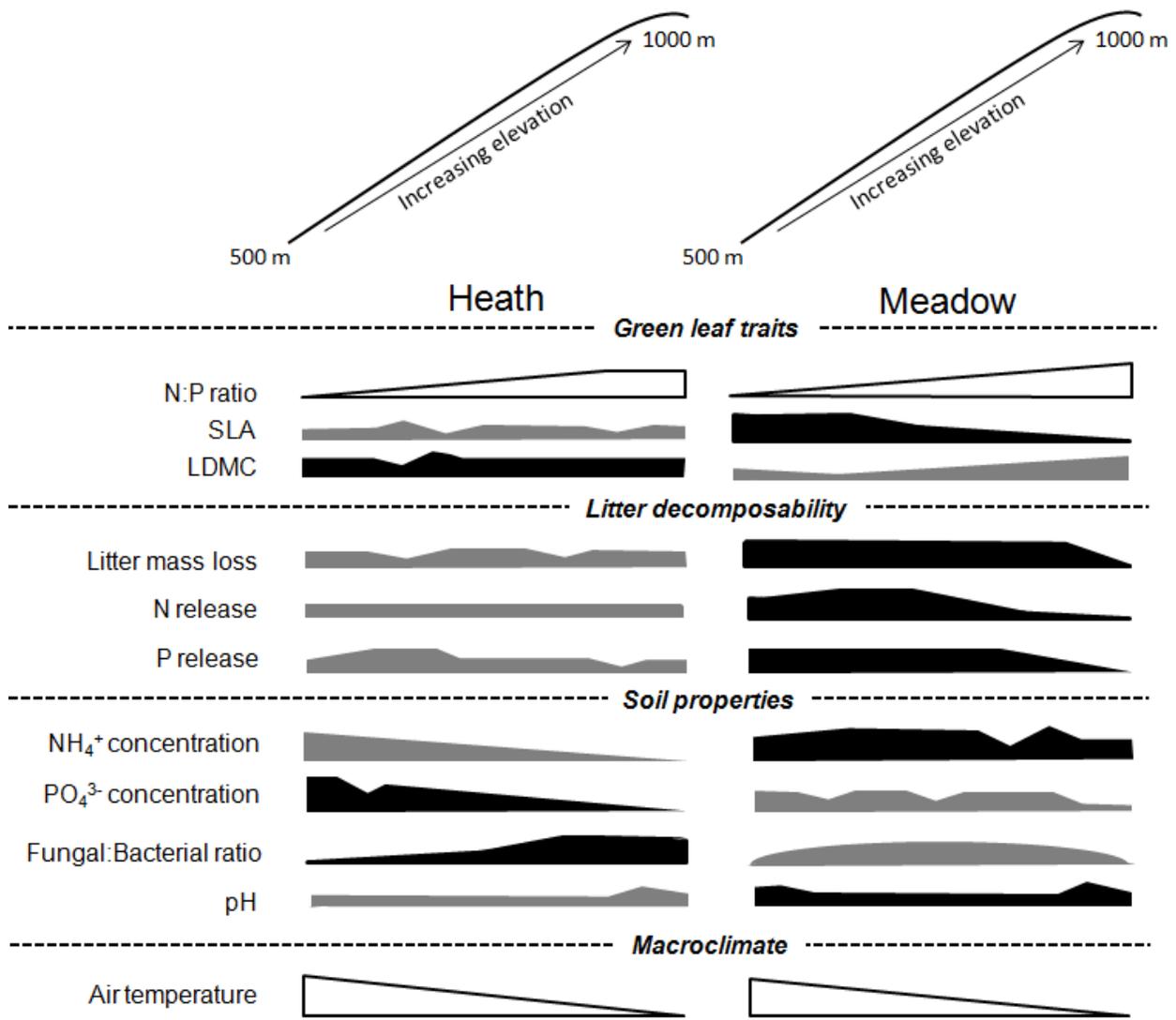
670
671 Fig. 3. Relationship between total phenolic content (a) and protein complexation capacity (b)
672 and elevation for 13 species from heath and meadow vegetation across the elevational range that
673 we considered (i.e., 500 - 1000 m), where each species represents an independent data point.
674 Each data point is the mean value of total phenolic content or protein complexation capacity for
675 one species across all elevations, and the mean elevation at which that species occurs. Ba –

676 *Bartsia alpina*; Bn – *Betula nana*; Bp – *Betula pubescens* ssp. *czerepanovii*; Ca – *Carex*
677 *aquatilis* ssp. *stans*; Cb – *C. bigelowii*; Cs – *C. saxatilis*; Eh – *Empetrum hermaphroditum*; Gs –
678 *Geranium sylvaticum*; So – *Salix polaris*; Sr – *Sibbaldia procumbens*; Sv – *Solidago virgaurea*;
679 Te – *Trollius europaeus*; Vv – *Vaccinium vitis-idaea*.

680

681 Fig. 4. Total plot-level mean (\pm SE) phenolic content, total tannin content, protein complexation
682 capacity, and protein complexed per unit phenol for heath and meadow vegetation across an
683 elevational gradient. All species collected in each plot are weighted according to their relative
684 abundance to provide a single value for each plot. Within each panel, bars topped by the same
685 letter are not significantly different at $p < 0.05$ (Tukey's h.s.d) following two-way ANOVA
686 (results in Table 1).

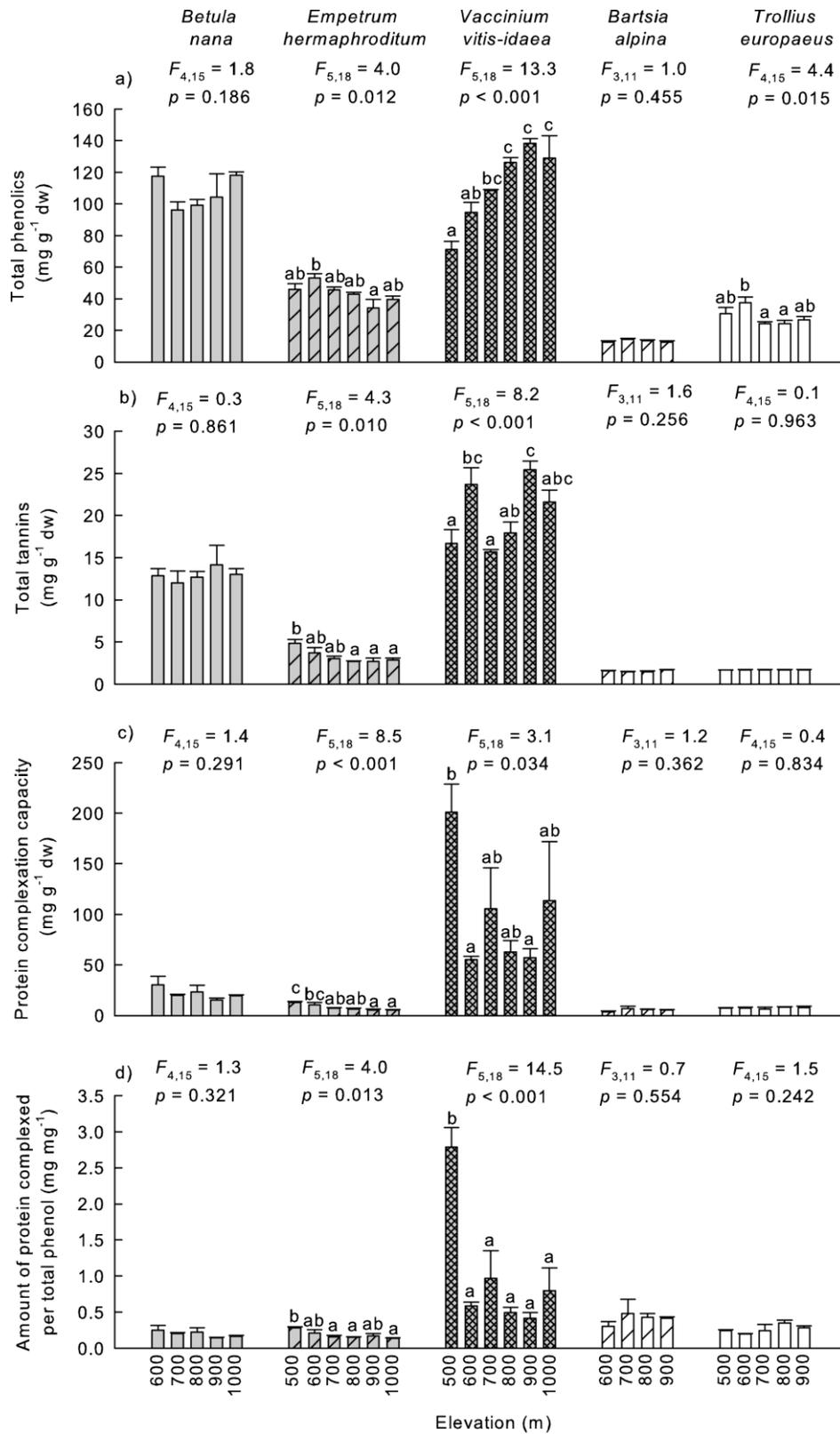
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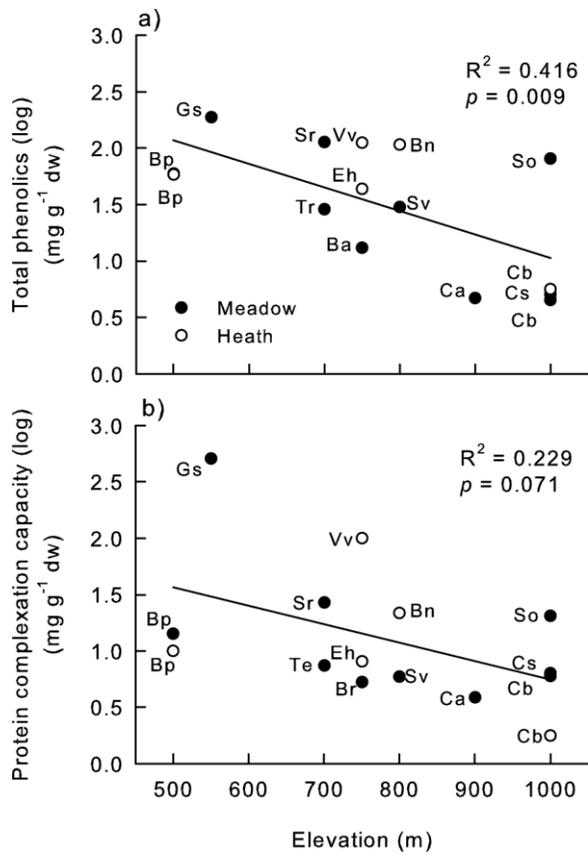
689 Figure 1

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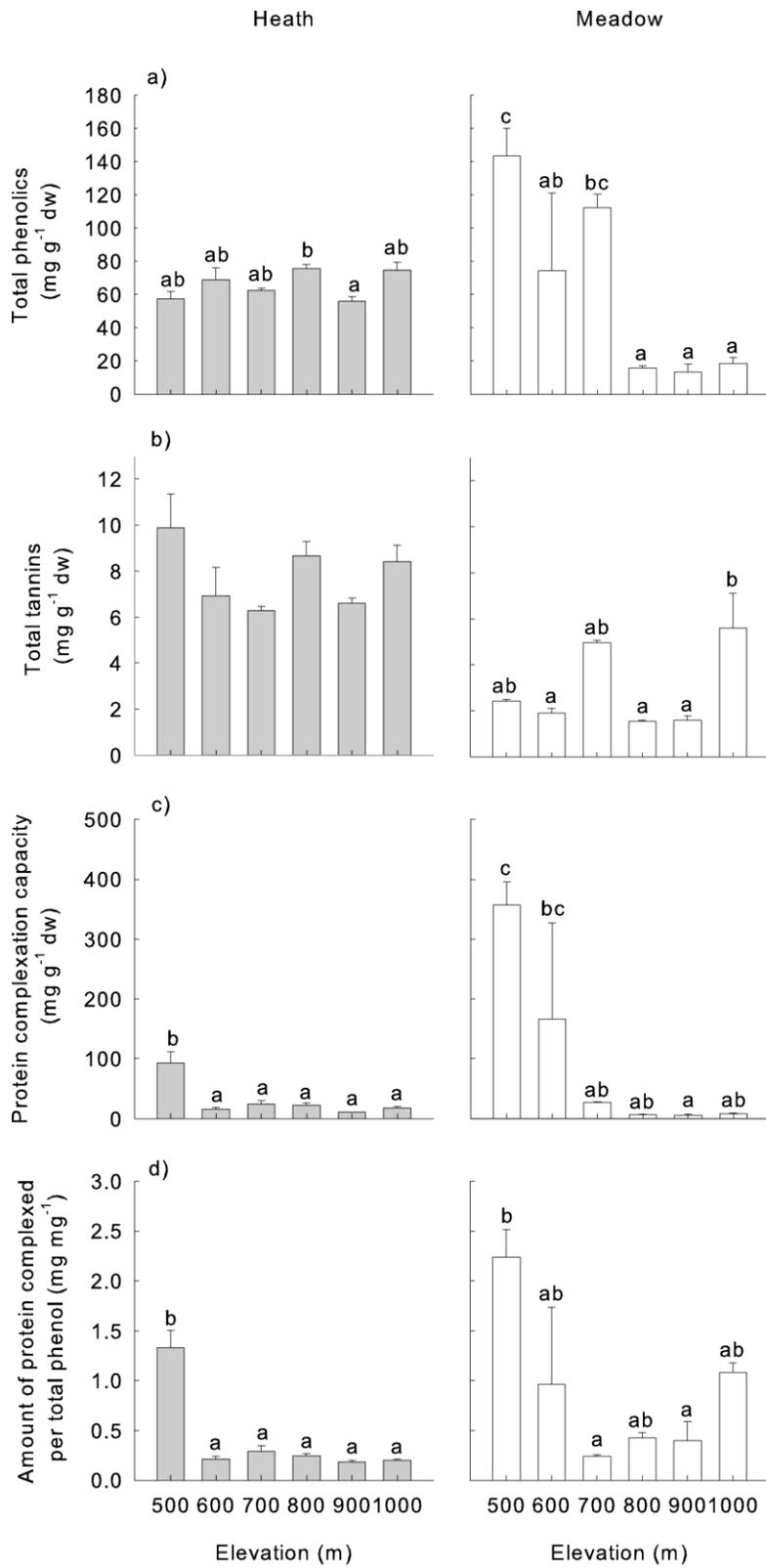
692 Figure 2



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694 Figure 3

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697 Figure 4