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1 Intraspecific variability in growth response to environmental fluctuations  
2 modulates the stabilizing effect of species diversity on forest growth

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21 *Running title* Effect of diversity on forest growth

22

23

## 24 **Summary**

25 **1.** Differences between species in their response to environmental fluctuations cause  
26 asynchronized growth series, suggesting that species diversity may help communities buffer the  
27 effects of environmental fluctuations. However, within-species variability of responses may  
28 impact the stabilizing effect of growth asynchrony.

29 **2.** We used tree ring data to investigate the diversity-stability relationship and its underlying  
30 mechanisms within the temperate and boreal mixed woods of Eastern Canada. We worked at the  
31 individual tree level to take into account the intraspecific variability of responses to  
32 environmental fluctuations.

33 **3.** We found that species diversity stabilized growth in forest ecosystems. The asynchrony of  
34 species' response to climatic fluctuations and to insect outbreaks explained this effect. We also  
35 found that the intraspecific variability of responses to environmental fluctuations was high,  
36 making the stabilizing effect of diversity highly variable.

37 **4. *Synthesis.*** Our results are consistent with previous studies suggesting that the asynchrony of  
38 species' response to environmental fluctuations drives the stabilizing effect of diversity. The  
39 intraspecific variability of these responses modulates the stabilizing effect of species diversity.  
40 Interactions between individuals, variation in tree size and spatial heterogeneity of environmental  
41 conditions could play a critical role in the stabilizing effect of diversity.

42 **Keywords:** biodiversity, dendrochronology, growth asynchrony, plant-climate interactions, plant-  
43 herbivore interactions, plant-plant interactions, plant population and community dynamics, tree  
44 growth

## 45 Introduction

46 Species diversity plays a key role in ecosystem functioning, particularly by stabilizing  
47 productivity through time (Loreau *et al.* 2001; Hooper *et al.* 2005; Cardinale *et al.* 2012; Hooper  
48 *et al.* 2012). It has been suggested that species diversity may be critical to ensure ecosystem  
49 sustainability in the face of environmental fluctuations. Both theoretical (Yachi & Loreau 1999;  
50 de Mazancourt *et al.* 2013; Loreau & de Mazancourt 2013) and grassland experiments (Tilman  
51 1999; Isbell, Polley & Wilsey 2009; Hector *et al.* 2010) suggest that differences in species  
52 response to environmental fluctuations is the primary mechanism underlying the stabilizing  
53 effect of diversity. As a result, these differences generate asynchronous population dynamics  
54 (Loreau 2010), enabling productivity compensations among species and thereby promote the  
55 stability of the community-level productivity. Interactions among individuals (i.e. competition  
56 and facilitation) may, however, modulate the stabilizing effect of diversity. For instance, it has  
57 been shown that competition can amplify the asynchrony of population dynamics by promoting  
58 the abundance of species which are better adapted to the growing season climate (Gonzalez &  
59 Loreau 2009; Mariotte *et al.* 2013). Although there is mounting evidence of the involvement of  
60 these factors in the stabilizing effect of diversity, little is known about their respective  
61 contributions.

62 Unlike grasslands, forests offer several advantages to understanding the mechanisms that control  
63 the diversity-stability relationship. First, due to the long life span of trees, population dynamics  
64 are much slower in forest communities. As a consequence, forest composition cannot change in  
65 response to inter-annual environmental fluctuations. The stabilizing effect of diversity in tree  
66 communities would, therefore, mainly rely on the asynchrony of individuals' growth and not on  
67 the asynchrony of population dynamics. Second, long records of annual growth are available for

68 individual trees through the use of dendrochronology, providing a longer time perspective on the  
69 asynchrony of species response to environmental fluctuations. Finally, unlike grassland  
70 communities where individuals are often difficult to define due to the common occurrence of  
71 semi-independent parts, trees are easily distinguishable from one another. This feature makes it  
72 possible to take into account the variability of individuals' response within species, which may  
73 affect the stabilizing effect of diversity. de Mazancourt *et al.* (2013) has demonstrated  
74 analytically, that the stabilizing effect of the asynchrony of species' response to the environment  
75 decreases with intraspecific variability of response. This finding is consistent with a study  
76 conducted in tree communities (Clark 2010), which demonstrated that species having similar  
77 responses to environmental fluctuations may differ in their distributions of individuals'  
78 responses. The corollary of this observation is that individuals belonging to species with different  
79 (i.e. asynchronous) responses could have similar (i.e. synchronous) responses, which would,  
80 therefore, limit the stabilizing effect of the asynchrony of species response. Interactions among  
81 individuals and spatial heterogeneity of environmental conditions may be the source of the  
82 variability of individuals' response (Cescatti & Piutti 1998; Clark 2010; de Mazancourt *et al.*  
83 2013). As a result, asynchrony of response among species has been shown to be higher between  
84 individuals occurring in the same neighbourhoods than within an entire stand (Clark 2010).

85 Climatic fluctuations (Fritts 1976) and insect outbreaks (Morin *et al.* 2009; Sutton & C. Tardif  
86 2009) are two major drivers of the inter-annual growth variability of trees in North American  
87 forests. Since tree species typically respond differently to climatic fluctuations (Rozas, Lamas &  
88 García-González 2009; Drobyshev *et al.* 2013), and since insects may be host specific (Jactel &  
89 Brockerhoff 2007; Castagneyrol *et al.* 2013), an increase in tree diversity could help stabilize  
90 forest productivity. **In the face of insect outbreaks, the stabilizing effect of diversity could not**

91 only stem from species differences in their susceptibility to insect attacks, but also from a  
92 reduction of herbivory in more diverse forests due to a “host dilution” effect (Jactel &  
93 Brockerhoff 2007; Castagneyrol *et al.* 2013). Some recent studies have investigated the  
94 diversity-stability relationship in forest ecosystems in the face of extreme climatic events  
95 (Pretzsch 2005; Pretzsch, Schütze & Uhl 2013; Jucker *et al.* 2014) and herbivory (Jactel &  
96 Brockerhoff 2007; Castagneyrol *et al.* 2013). They concluded that diversity has a stabilizing  
97 effect on the overall productivity of mixed stands.

98 We used dendrochronological data (1) to determine whether tree species diversity stabilizes  
99 productivity in the temperate and boreal mixed woods of Eastern Canada and (2) to identify the  
100 mechanisms underlying the stabilizing effect of diversity. We, therefore, paid particular attention  
101 to the intraspecific (i.e. among single trees) variability of responses to annual environmental  
102 fluctuations, whatever the mechanisms generating this variability. We conducted our analyses on  
103 pairs of individuals occurring in the same neighbourhood so that we worked with individuals that  
104 were likely to be interacting together and sharing the same micro-environmental conditions. This  
105 approach also enabled us to take into account the variability of individuals’ response to  
106 environmental fluctuations while linking measures of stability to growth asynchrony. We first  
107 assessed stability as the inverse of the coefficient of variation (mean/variance) of the total growth  
108 of pairs of individuals, and compared it between monospecific and mixed pairs. We  
109 hypothesized that (**H1**) tree mixture promotes growth stability. We, therefore, expected stability  
110 to be higher for pairs of individuals belonging to different species than for pairs of individuals  
111 belonging to the same species. Thereafter, we decomposed the effect of diversity on stability into  
112 its effect on the mean and the variance of the total growth of pairs of individuals. We  
113 hypothesized that (**H2**) diversity stabilizes growth by reducing the variance of the total growth of

114 pairs of individuals, and that, because of a higher growth asynchrony among individuals  
115 belonging to different species. We, therefore, expected the variance of the total growth to be  
116 lower for pairs of individuals belonging to different species than for pairs of individuals  
117 belonging to the same species. We also expected covariance of growth to be lower among  
118 individuals belonging to different species than among individuals belonging to the same species.  
119 Finally, using multivariate analysis, we identified individuals' response to climatic fluctuations  
120 and insect outbreaks. We hypothesized (**H3**) that individuals' response asynchrony to  
121 environmental fluctuations drove, at least partially, the stabilizing effect of diversity. We,  
122 therefore, expected to obtain significant correlations between environmental variables and  
123 growth, indicating that individuals' growth variability stemmed from environmental fluctuations  
124 and growth asynchrony stemmed from differences in individuals' response to these fluctuations.

125

126

## 127 Material and Methods

128 Data were collected at five 1 ha plots within both temperate and boreal mixed-wood stands in  
129 Eastern Canada (Fig. 1). Two boreal mixed-wood stands were sampled on the shores of the Lake  
130 Duparquet in Western Quebec, which are found within the balsam fir-white birch bioclimatic  
131 domain and at 270-275 m above sea level (a.s.l.). These two stands; D1823 (48.45791; 79.23920)  
132 and D1847 (48.50398; 79.32084) were both of fire origins established following fires occurring  
133 in 1823 and 1847, respectively (Bergeron 2000). Temperate mixed wood stands were sampled at  
134 three locations. The first stand, ABI (48.16253; 79.40121), was located in Abitibi, in the balsam  
135 fir-white birch domain at the northern limit of the mixed hardwood forest subzone, 375 m a.s.l.  
136 The second stand, BIC (48.33361; 68.81771), was located in the St-Lawrence Lowlands, in the  
137 balsam fir-yellow birch domain, approximately at 240 m a.s.l. Finally, the third stand, SUT  
138 (45.11280; 72.54129) was located in Eastern Townships, in the sugar maple-basswood domain at  
139 an elevation ranging between 645 and 690 m a.s.l. The topography was generally flat at all of the  
140 sites, except for SUT, which was on a slope facing north-west. The D1823, D1847 and ABI sites  
141 were located in the Clay Belt, a large physiographic region in western Quebec and north-eastern  
142 Ontario, characterized by generally thick clay deposits (Veillette 1994). The main soil deposit for  
143 the BIC and SUT sites was a glacial till with pockets of organic soil in local depressions.

144 Climate at the sites ranged from boreal continental, characterized by large variability in  
145 temperatures between warm and cold seasons, to a moister temperate climate, characterized by  
146 warmer temperatures and more precipitation. The monthly average temperature ranged between -  
147 16.9°C in January and 17.3°C in July for the D1823 and D1847 sites over the 1953-2013 period.  
148 Annual total precipitation was, on average, 866.6 mm. The temperature was similar at the ABI  
149 site (-16.6°C; 17.5°C), but annual precipitation was, on average, higher (894.3 mm). The annual



150 average temperature ranged between  $-13.3^{\circ}\text{C}$  in January and  $17.1^{\circ}\text{C}$  in July at BIC, and annual  
151 precipitation was, on average, 1050.4 mm. Finally, the SUT site was the warmest and the  
152 moistest site with temperatures ranging between  $-11.6^{\circ}\text{C}$  in January and  $16.9^{\circ}\text{C}$  in July, and  
153 annual precipitation of, on average, 1464.8 mm.

154 All sites were mature forests stands that were undisturbed by logging, with the exception of the  
155 BIC site, which was selectively harvested prior to being designated a National Park in 1984. We  
156 considered seven species: eastern white cedar (*Thuja occidentalis* L.), white spruce (*Picea*  
157 *glauca* (Moench) Voss), trembling aspen (*Populus tremuloides* Michx.), balsam fir (*Abies*  
158 *balsamea* L.), yellow birch (*Betula alleghaniensis* Britton), red maple (*Acer rubrum* L.), and  
159 sugar maple (*Acer saccharum* Marshall).

160 All trees equal or above 10 cm in diameter at breast height (DBH) were measured (Fig. 2) and  
161 mapped at each site. Tree positions were used to calculate their relative distance for the  
162 neighbourhood analyses. We randomly chose 70 individuals per species and per site in five DBH  
163 classes for coring. Sampling intensity across DBH classes was stratified to follow the DBH  
164 distribution of each species. Two cores were extracted on the opposite sides of the trunk at breast  
165 height for each of the selected trees. Cores were measured at 0.01 mm precision, cross-dated and  
166 quality checked following standard dendrochronological methods (Stokes & Smiley 1996; Speer  
167 2010). We removed from the analyses cores with a considerable amount of wood rot making tree  
168 ring measurement impossible, yielding a total of 43 to 63 individuals per species and site. The  
169 analyses were performed on 2041 cores from 1078 trees (Table 1).

170 We obtained climate data for each site for the time period 1953-2013 using the BioSIM 10.3  
171 software (Régnière 1996; Régnière & St-Amant 2007). BioSIM is a collection of bioclimatic  
172 models and daily weather databases, which can generate climate variables at various temporal

173 resolutions, using a user-supplied list of locations. For each site, BioSIM interpolated data from  
174 the eight closest weather stations using inverse distance weighting output, while adjusting for  
175 differences in latitude, longitude and elevation between the data and sites. We considered  
176 monthly mean temperatures, growth season length (period with daily means above 5°C), total  
177 monthly precipitation, total monthly snowfall, and monthly mean drought-code, which reflects  
178 water content of the deep compact organic layers (Girardin & Wotton 2009).

179 We detrended growth series to keep only the variability associated with the annual climatic  
180 variability and to remove temporal autocorrelation. Detrending was done by first averaging  
181 growth series associated with a single tree to obtain single-tree chronologies. We then  
182 standardized these single-tree chronologies using a 32-year cubic smoothing spline with a 50%  
183 frequency response (Speer 2010). We pre-whitened the resulting series by autoregressive  
184 modelling to remove temporal autocorrelation (Cook 1987) and to obtain detrended individual  
185 chronologies. We averaged the detrended individual chronologies using a bi-weight robust mean  
186 to obtain detrended master chronologies for each species and site. Transformations were  
187 performed using the R package *dplR* (Bunn 2008). Detrended individual and master chronologies  
188 were used to analyse the climate-growth relationship, whereas raw individual chronologies were  
189 used to investigate individual and species annual growth.

190 Several insect outbreaks of forest tent caterpillar (*Malacosoma disstria* Hubner.) and spruce  
191 budworm (*Choristoneura fumiferana* Clem.) occurred in Eastern Canada during the 1953-2013  
192 period (Morin *et al.* 2009; Sutton & C. Tardif 2009), causing large reductions in tree diameter  
193 growth and suggesting that trees responded more to defoliation events rather than to climate  
194 during these periods. We ran the analyses for two versions of chronologies, with and without  
195 insect outbreaks. To avoid insect-related signals, we removed periods of forest tent caterpillar

196 outbreaks from aspen chronologies, and periods during which spruce budworm outbreaks  
197 occurred from white spruce and balsam fir chronologies.

198 We identified insect outbreaks in a two step procedure. First, we consulted the large-scale aerial  
199 surveys of defoliation, carried out by the *Ministère des Forêts de la Faune et des Parcs*, to obtain  
200 approximate outbreak dates (Ministère des Forêts 2015). **Periods of defoliation attributed to**  
201 **forest tent caterpillar and spruce budworm outbreaks all matched periods of abrupt growth**  
202 **reduction observed in the host species raw master chronologies (obtained by averaging**  
203 **individuals' raw chronologies).** For each site, we then identified the exact outbreak dates using  
204 pointer years. These are years with particularly narrow or large rings observed in multiple tree  
205 ring series in a region (Schweingruber 1996). We identified site-specific pointer years for each  
206 species as years for which at least 70% of the trees exhibited a variation in their growth of at  
207 least 10% as compared to the previous year. We obtained the exact outbreak dates using the  
208 negative and positive pointer years enclosing the periods of defoliation-reduced growth in the  
209 **raw** master chronologies of host species.

#### 210 *Statistical analyses*

211 **Temporal stability (*TS*, Tilman 1999) has been commonly used to measure the stabilizing effect**  
212 **of species diversity on the productivity of a community. It is conventionally measured as the**  
213 **inverse of the coefficient of variation (mean/variance) of the total productivity. The effect of**  
214 **diversity on the stability of the total productivity may be decomposed into its effect on the mean**  
215 **and the variance. Furthermore, the variance of the total productivity may be expressed as the sum**  
216 **of the growth variances and covariances of all species in the community. As a consequence,**  
217 **species having asynchronous growth (i.e. low covariance) will decrease the community *TS*. The**  
218 **productivity variance at the community level could be decomposed further as the sum of the**

219 growth variances and covariances of all its constituent individuals. Decomposing variance this  
 220 way allowed for taking into account the variability of individuals' growth (i.e. growth variances),  
 221 and to link the measures of *TS* to growth asynchrony among individuals (i.e. growth  
 222 covariances). To facilitate interpretations, we calculated *TS* on the total radial growth of pairs of  
 223 individuals occurring in the same neighbourhood (defined as an area within 20 m from a focal  
 224 tree), following the approach of Clark (2010). Proceeding this way enabled us to express the  
 225 variance of the total growth, and thus *TS*, from a measure of asynchrony (i.e. covariance). *TS* was  
 226 thus given by:

$$227 \quad TS = \frac{\mu_{pair}}{\sqrt{\sigma^2_{pair}}} \quad (\text{eqn 1})$$

228 where  $\mu_{pair}$  and  $\sigma^2_{pair}$  were the mean and the variance of the total growth of a pair of individuals  
 229 and where

$$230 \quad \sigma^2_{pair} = \sigma^2_i + \sigma^2_j + 2.cov(i,j) \quad (\text{eqn 2})$$

231 with *i* and *j*, the growth chronologies of two individuals.

232 We compared the distributions of *TS*,  $\mu_{pair}$ ,  $\sigma^2_{pair}$ , or  $cov(i,j)$  obtained for pairs of individuals  
 233 belonging to the same species to those obtained for pairs of individuals belonging to different  
 234 species to estimate the effect of species mixture on growth stability, and to understand the  
 235 mechanisms underlying it. We ran four linear models to disentangle the effect of species mixture  
 236 from the effect of sites and species based on the following structure:

$$237 \quad Y = \alpha + MIX + SITE + SP + \varepsilon \quad (\text{eqn 3})$$

238 where *Y* was alternately *TS*,  $\mu_{pair}$ ,  $\sigma^2_{pair}$ , and  $cov(i,j)$ ;  $\alpha$  - the reference mean; *MIX* - the effect of  
 239 mixture on the reference mean, indicating whether the measures of *Y* were calculated on trees

240 belonging to the same species or to different species; *SITE* - the effects of sites on the reference  
241 mean; *SP* - the effect of species on the reference mean. *SP* is a factorial effect coded as dummy  
242 variables with two categories indicating the presence or the absence of each of the seven species  
243 in the pairs of individuals.

244 We expected that distributions of *TS* values obtained for paired individuals belonging to different  
245 species would be higher than those obtained for individuals belonging to the same species,  
246 indicating a stabilizing effect of mixture on growth. We also expected that distributions of  $\sigma^2_{pair}$ ,  
247 and  $cov(i,j)$  values obtained for paired individuals belonging to different species would be lower  
248 than those obtained for individuals belonging to the same species, indicating that growth  
249 asynchrony is a driver of the stabilizing effect of mixture. We conducted these analyses on the  
250 1953-2013 period. Since tree neighbourhoods could have been different 60 years prior to  
251 sampling, we also conducted these analyses on the 1993-2013 period to ensure the robustness of  
252 the results obtained on the 1953-2013 period. In doing so, we assumed changes in tree  
253 neighbourhoods to be insignificant during the last 20 years. We performed these analyses both  
254 after removing insect outbreak periods from individual chronologies and with insect outbreak  
255 periods included.

256 We used bootstrapped response functions (Fritts 1976; Guiot 1991) to identify the climatic  
257 variables that significantly influenced species growth. In response function analysis, a detrended  
258 master chronology of a species (free from insect outbreak signals) was regressed against the  
259 principal components obtained on the set of climatic variables. Our rationale to use response  
260 functions in this study was twofold. First, we wanted to identify the climatic factors controlling  
261 species-specific growth on each site. Second, the response functions were used as a filter to  
262 select climatic variables to be introduced in the analysis assessing individuals' response to

263 environmental fluctuations. We ran response functions on site- and species-specific detrended  
264 master chronologies and site-specific climate datasets using R package *treeclim* (Zang & Biondi  
265 2015). In these analyses, we used 52 climatic variables of both the year concurrent with and  
266 preceding the growth period, starting from June of the year preceding the ring formation and  
267 ending with August of the year concurrent with the ring formation. July and August total  
268 snowfalls were not used in the response functions since they were null most of the time.  
269 Following the same logic, we only considered drought codes for the periods June through August  
270 for the year prior to the growing period, and May through August for the current growing season.  
271 We also used growing season lengths for the previous and the current years.

272 We ran redundancy analysis (RDA) to identify individuals' response to environmental  
273 fluctuations and to determine whether the asynchrony of response of individuals belonging to  
274 different species contributed to the stabilizing effect of diversity. RDA runs a set of independent  
275 multivariate regressions, similar to response functions, but then performs a constrained  
276 ordination to position the individuals in a multidimensional space of environmental factors  
277 (Legendre & Legendre 2012). The distance between individuals in the ordination indicated the  
278 asynchrony in their response to environmental fluctuations among them. Our **H3** hypothesis was,  
279 therefore, contingent upon obtaining significant RDAs, indicating that environmental  
280 fluctuations controlled the variability of individuals' growth. Significant RDAs would, therefore,  
281 demonstrate that the asynchrony of individuals' response to environmental fluctuations enabled  
282 growth compensations among individuals and thus contributed to the stabilizing effect of species  
283 diversity. We ran RDAs on two sets of chronologies, without and with the growth variability  
284 caused by insect outbreaks. In the first case, we aimed to consider exclusively the effects of  
285 climatic fluctuations on growth. In the second case, we sought to identify tree's response

286 simultaneously to both factors. For these analyses, we added a binary variable indicating the  
287 presence of each insect as an additional explanatory variable. The climatic variables used in  
288 RDAs were those previously identified in response function analysis. Detrended individual series  
289 were considered as response variables, with each annual growth value considered as an  
290 observation. RDAs were performed for each site including only years for which all species had  
291 growth data for at least 30 individuals. The significance of RDAs was tested with the F-test of  
292 the canonical relationships between growth index values and environmental variables. The  
293 explained variance values associated with each RDA provided information on the variability of  
294 individuals' response to environmental fluctuations. We computed the RDAs with the R package  
295 *rdaTest* (Legendre & Durand 2012).

296 To determine whether diversity had a stabilizing effect through the reduction of herbivory, we  
297 studied the relationship between the intensity of the damages caused by insects to host trees and  
298 the diversity in the neighbourhood of host trees in a linear regression. We estimated the intensity  
299 of insect attacks as the ratio between the mean growth of trees outside insect outbreak periods  
300 and their growth during insect outbreaks. We estimated diversity around trees using the Shannon  
301 diversity index which measured diversity as a function of species proportion ( $p_i$ ) in the  
302 community. For  $i = 1, \dots, s$  species within a radius ( $R=20$  m) around a tree, the Shannon diversity  
303 index  $H$  was given by:

$$304 \quad H = - \sum_{i=1}^s p_i \ln(p_i) \quad (\text{eqn 4})$$

305 where  $p_i = ba_i/BA$ , with  $ba_i$  being the basal area of species  $i$  in the neighbourhood and  $BA$  being  
306 the total basal area in the neighbourhood. We conducted this analysis for trees belonging to the  
307 three species susceptible to insect attacks in our sites (*A. balsamea*, *P. glauca*, *P. tremuloides*).  
308 We expected trees growing in diverse neighbourhoods to be less affected by insect outbreaks.

309



## 310 Results

311 Models describing  $TS$ ,  $\mu_{pair}$ ,  $\sigma^2_{pair}$ , and  $cov(i,j)$  as a function of mixture (equation 3) showed the  
312 same trends in both the 1953-2013 (Table 2) and 1993-2013 (see Table S1 in Supporting  
313 information) periods.  $TS$  was significantly higher for pairs of individuals belonging to different  
314 species than for pairs of individuals belonging to the same species, indicating a stabilizing effect  
315 of species mixture (i.e. diversity) on growth (Fig. 3 and Table 2). In contrast,  $\mu_{pair}$  (Fig. S1),  
316  $\sigma^2_{pair}$  (Fig. S2), and  $cov(i,j)$  (Fig. S3) were significantly lower for pairs of individuals belonging  
317 to different species than for pairs of individuals belonging to the same species, as indicated by  
318 the negative and significant parameters associated with the MIX variable in the model (Table 2).  
319 Insect outbreaks amplified the effect of mixture on  $TS$ ,  $\sigma^2_{pair}$ , and  $cov(i,j)$ . The stabilizing effect  
320 of mixture was higher when the signal from insect outbreaks was preserved in the chronologies  
321 (MIX = 0.80) as compared to chronologies with no insect outbreak signal (MIX = 0.52; Table 2).  
322 The negative effect of mixture on  $\sigma^2_{pair}$  and  $cov(i,j)$  was stronger when insect outbreaks were  
323 preserved in the chronologies (MIX = -0.61, -0.15 respectively) as compared to chronologies  
324 without them (MIX = -0.44, -0.10 respectively; Table 2). In contrast, insect outbreaks slightly  
325 decreased the negative effect of mixture on  $\mu_{pair}$  (Table 2).

326 Response functions showed that the climatic conditions (temperature, precipitation and drought  
327 code) of summer months (June to August) of the current growing season were the most  
328 influential to growth across species and sites (Table 3). In contrast, we found few significant  
329 correlations between species growth and climatic conditions of the autumn of the previous  
330 growing season and the early winter (October to February). The northernmost sites (D1823 and  
331 D1847) showed a more pronounced global effect of climatic conditions of summer months of the

332 previous growing season on species growth than all of the other sites. We observed some  
333 asynchrony between conifers and deciduous species response to climate. For example, on the  
334 BIC site, while growth of all deciduous species significantly correlated to current summer  
335 drought (i.e. to drought code), this was not the case for balsam fir. Similarly, on the D1823 site,  
336 while all conifers growth significantly correlated to current summer drought, the growth of  
337 trembling aspen did not.

338 RDAs showed that the asynchrony of response to environmental fluctuations of individuals'  
339 belonging to different species contributed to the stabilizing effect of diversity by enabling growth  
340 compensation among individuals (Fig. 4). All RDAs were significant except RDAs performed on  
341 chronologies free from insect outbreak signals for the D1823 and D1847 sites (Fig. 4a).

342 However, rather than a lack of correlation between environmental fluctuations and growth, this  
343 could be due to the relatively short period on which these RDAs were performed (24 and 29  
344 years for the D1823 and D1847 sites, respectively), after removing the 4 years of forest tent  
345 caterpillar outbreak, the 17 years of spruce budworm outbreak, and years for which not all  
346 species had growth data for at least 30 individuals. Species-specific ellipses, however,  
347 overlapped broadly, despite distinct locations of centroids (i.e. distinct average responses),  
348 indicating that species could have close responses to environmental fluctuations. The explained  
349 variance for RDAs ranged from 8.6 to 25.6%, indicating that the variability of individuals'  
350 response to environmental fluctuations was high.

351 **We found no significant relationship between the intensity of the damages caused by insects to**  
352 **host trees and the diversity in the neighbourhood of host trees (Table 4).**

353

## 354 Discussion

355 Our results showed that diversity stabilized growth in forest ecosystems, supporting the **H1**  
356 hypothesis. The stabilizing effect of diversity stemmed from a higher growth asynchrony among  
357 individuals belonging to different species, which reduced the variance of the total growth of pairs  
358 of individuals, supporting the **H2** hypothesis. The asynchrony of response to environmental  
359 fluctuations of trees belonging to different species contributed to the stabilizing effect of  
360 diversity, by controlling the growth asynchrony of trees, supporting the **H3** hypothesis. However,  
361 the *intraspecific* variability of response to environmental fluctuations was high, generating a  
362 broad overlap of species responses despite differences in their average responses (Fig. 4). This  
363 demonstrates the interest of working at the individual-level rather than at the species-level. These  
364 results were persistent regardless of whether the forest was temperate or boreal mixed, and in the  
365 face of different types of environmental fluctuations (climatic fluctuations and insect outbreaks).

366 We demonstrated that in forest ecosystems, even when controlling for population dynamics, tree  
367 species diversity could stabilize productivity through the asynchrony of responses to climatic  
368 fluctuations and insect outbreaks of individuals' belonging to different species. The asynchrony  
369 of individuals' response enabled growth compensation among individuals that ultimately  
370 produced a stabilizing effect. These results are consistent with previous studies in forest  
371 ecosystems (Jucker *et al.* 2014) and grassland communities (Tilman 1999; Isbell, Polley &  
372 Wilsey 2009; Hector *et al.* 2010), suggesting that the asynchrony of species response is a  
373 mechanism driving the stabilizing effect of diversity.

374 The stabilizing effect of species mixing was stronger in analyses including both climate and  
375 insect outbreak effects, as compared to the analyses operating on chronologies with insect signal  
376 removed. We explain that by species differences in their susceptibility to insects and the

377 resulting asynchronised growth series. We speculate that the stabilizing effect of diversity could  
378 be further enhanced through (1) a reduction in the outbreak-related mortality both for host and  
379 non-host species (both for host and non-host species; Bouchard, Kneeshaw & Bergeron 2005),  
380 and (2) the increase in the abundance of the insect natural enemies, limiting herbivory  
381 (Cappuccino *et al.* 1998). However, higher neighbourhood diversity did not reduce the insect-  
382 induced growth decline of host species during outbreaks, as it has been shown earlier (Jactel &  
383 Brouckhoff 2007; Castagneyrol *et al.* 2013). This divergence of results could stem from a  
384 difference in the scale of observation. Previous studies were done at the stand level while our  
385 study was carried out on a smaller neighbourhood level. Good dispersal abilities of forest tent  
386 caterpillar and spruce budworm (Greenbank 1957) could make the induced damage depend on  
387 the availability of their host at the stand and regional scales rather than at the neighbourhood  
388 scale.

389 We found a negative effect of diversity on the mean of the total growth of tree pairs. This  
390 outcome is contrary to both theoretical predictions and empirical results (Tilman 1999; Yachi &  
391 Loreau 1999; Isbell, Polley & Wilsey 2009; Hector *et al.* 2010; de Mazancourt *et al.* 2013;  
392 Loreau & de Mazancourt 2013), which have shown that diversity usually increases productivity,  
393 in particular through a better resource partitioning between species having different niches. The  
394 negative effect of diversity on the mean of the total growth of tree pairs could be an artefact  
395 arising due to the fact that we have trees of all sizes (Fig. 2). Radial growth typically initially  
396 increases with tree size before decreasing in larger trees. Comparing the total growth of a pair of  
397 intermediate-sized firs (growing rapidly) to a pair consisting of a fir and a birch, both of small  
398 size (growing slowly), for instance, would lead to the conclusion that diversity has a negative  
399 effect on growth, while it would actually be a size effect. The wide range of tree sizes in our data

400 did not allow us to make conclusions on the effect of diversity on the mean of the total growth of  
401 tree pairs. Nevertheless, the negative effect of diversity on the mean of the total growth of tree  
402 pairs indicates that diversity stabilized growth by reducing the total growth variance, and not  
403 because of a positive effect on the total growth mean.

404 The intraspecific variability of response to environmental fluctuations was high, leading to a  
405 highly variable effect of species mixture on *TS* among tree pairs. This variability could stem  
406 from interactions among individuals, such as competition and facilitation, and the spatial  
407 heterogeneity of environmental conditions (Cescatti & Piutti 1998; Clark 2010; de Mazancourt *et*  
408 *al.* 2013). By modulating individuals' response to environmental fluctuations, these two factors  
409 would affect the growth variability of individuals, their growth covariance and, therefore, the  
410 variance in the total growth of tree pairs. This outcome is complementary to the findings of  
411 Morin *et al.* (2014) who demonstrated, using virtual experiments based on a forest succession  
412 model, that the stabilizing effect of diversity in forest ecosystems was mainly driven by the  
413 asynchrony of species response to small disturbances rather than to environmental fluctuations.  
414 Finally, given that we worked in mixed stands, most individuals were interacting with trees of  
415 several species. Our approach using pairs of individuals did not allow us to conclude on the role  
416 of among-tree interactions on the stabilizing effect of diversity, in particular because pairs of  
417 individuals may interact with other individuals belonging to different species. This observation  
418 does not question the fact that interactions, size and micro-environment could modulate the  
419 stabilizing effect of diversity. Our study instead emphasizes the need to further investigate the  
420 role of the mechanisms underlying the intra-specific variability of response to environmental  
421 fluctuations.

422 Our work highlights the value of working in forest communities to study the mechanisms driving  
423 the diversity-stability relationship. This is especially valuable since it gives us access to the  
424 individual-level where growth compensation actually occurs, while eliminating the influence of  
425 population dynamics. We showed that diversity increased the stability of growth in forest  
426 ecosystems and that the asynchrony of response to environmental fluctuations of individuals'  
427 belonging to different species contributed to this stabilizing effect. Mechanisms at the origin of  
428 the variability of individuals' response, such as interactions between individuals and spatial  
429 heterogeneity of environmental conditions, could, therefore, play a crucial role in the stabilizing  
430 effect of diversity.

431

432

433

#### 434 Author's Contributions

435 RA, YB, DG and ID conceived the ideas and designed methodology; RA and KP collected the  
436 data; RA, CGM and KP analysed the data; RA led the writing of the manuscript. All authors  
437 contributed critically to the drafts and gave final approval for publication.

438

439

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449

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451 Data accessibility

452 tree-ring data: uploaded online at <http://ielab.recherche.usherbrooke.ca>

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- 561

562 **Table 1:** Number of trees cored per species and site. The number of cores are shown in brackets.

563

Site	A. balsamea	P. glauca	T. occidentalis	A. rubrum	A. saccharum	B. alleghaniensis	P. tremuloides
D1823	48 (84)	47 (94)	52 (98)	-	-	-	54 (107)
D1847	51 (96)	58 (109)	54 (110)	-	-	-	52 (101)
ABI	58 (104)	47 (93)	49 (96)	52 (98)	55 (107)	-	-
BIC	63 (107)	-	-	61 (126)	59 (121)	-	62 (116)
SUT	54 (91)	-	-	-	59 (106)	43 (77)	-

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571 **Table 2:** Summary of the four linear models describing  $TS$ ,  $\mu_{pair}$ ,  $\sigma^2_{pair}$ , and  $cov(i,j)$  as a function  
572 of mixture, controlling for species and site effects on the 1953-2013 period.  $\alpha$  is the mean of  $TS$   
573 measures calculated on pairs of individuals comprising at least one white cedar on the ABI site.  
574 We ran the model both after removing insect outbreak periods from individual chronologies (a)  
575 and with insect outbreak periods included (b).<sup>1</sup> Level of significance: \*\*\* < 0.001; \*\* < 0.01; \* <  
576 0.05; ns = not significant (> 0.05). Species are coded with their initials: Ab (*A. balsamea*), Ar (*A.*  
577 *rubrum*), As (*A. saccharum*), Ba (*B. alleghaniensis*), Pg (*P. glauca*), Pt (*P. tremuloides*).  
578  
579

580

Reference mean and dummy variables	$TS$				$\sigma_{pair}^2$				$\mu_{pair}$				$cov(i,j)$			
	(a) Without insect outbreaks <sup>1</sup>		(b) With insect outbreaks <sup>1</sup>		(a) Without insect outbreaks <sup>1</sup>		(b) With insect outbreaks <sup>1</sup>		(a) Without insect outbreaks <sup>1</sup>		(b) With insect outbreaks <sup>1</sup>		(a) Without insect outbreaks <sup>1</sup>		(b) With insect outbreaks <sup>1</sup>	
$\alpha$ (reference mean)	3.044	***	2.847	***	1.154	***	1.293	***	2.750	***	2.692	***	0.201	***	0.244	***
MIX	0.516	***	0.804	***	-0.439	***	-0.606	***	-0.377	***	-0.341	***	-0.105	***	-0.152	***
SITE <sub>BIC</sub>	0.097	***	0.077	**	-0.395	***	-0.364	***	-0.534	***	-0.476	***	-0.105	***	-0.022	***
SITE <sub>D1823</sub>	0.068	*	-0.123	***	-0.370	***	-0.276	***	-0.473	***	-0.477	***	-0.030	***	-0.012	*
SITE <sub>D1847</sub>	0.252	***	0.031	ns	-0.43	***	-0.404	***	-0.533	***	0.570	***	-0.037	***	-0.021	***
SITE <sub>SUT</sub>	-0.495	***	-0.428	***	0.119	***	0.216	***	-0.145	***	-0.055	*	-0.003	ns	0.001	ns
SP <sub>Ab</sub>	-0.063	*	-0.551	***	0.448	***	0.566	***	0.729	***	0.582	***	0.031	***	0.060	***
SP <sub>Ar</sub>	-0.407	***	-0.699	***	0.111	***	0.216	***	-0.032	ns	-0.059	**	0.003	ns	0.026	***
SP <sub>As</sub>	-0.841	***	-1.007	***	0.066	***	0.127	***	-0.347	***	-0.331	***	-0.001	ns	0.014	**
SP <sub>Ba</sub>	-0.317	***	-0.505	***	1.012	***	1.077	***	1.036	***	1.020	***	0.083	***	0.098	***
SP <sub>Pg</sub>	-0.629	***	-0.697	***	0.639	***	0.631	***	0.674	***	0.622	***	0.063	***	0.076	***
SP <sub>Pt</sub>	-0.316	***	-0.736	***	0.477	***	0.722	***	0.853	***	0.844	***	0.042	***	0.079	***
Adjusted R <sup>2</sup>	0.173		0.164		0.242		0.257		0.349		0.319		0.071		0.111	
p-value	< 2.2e-16		< 2.2e-16		< 2.2e-16		< 2.2e-16		< 2.2e-16		< 2.2e-16		< 2.2e-16		< 2.2e-16	

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583 **Table 3:** Site and species-specific climatic variables identified by bootstrapped response  
 584 function as having a significant correlation with growth: drought code (DC), temperature (T),  
 585 precipitation (P), snowfall (S), growth season length (GSL). GSL in previous June correspond to  
 586 the previous year GSL. The sign (+/-) indicates the direction of the correlation. Species are coded  
 587 with their initials: Ab (*A. balsamea*), Ar (*A. rubrum*), As (*A. saccharum*), Ba (*B. alleghaniensis*),  
 588 Pg (*P. glauca*), Pt (*P. tremuloides*), To (*T. occidentalis*).

Site	Sp	previous year							current year							
		jun	jul	aug	sep	oct	nov	dec	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG
D1823	Ab															DC-
	Pg		DC-		DC+								P+		DC-	
	Pt			T-						S+						
	To								T-				T-		T-	
D1847	Ab	P+										T+				
	Pg		P+									T+				
	Pt			DC-						S+						
	To			T-	S-				S-				T-		P+	
ABI	Ab	GSL-														
	Pg												DC+	T-		T-
	To						P-						T-			P+
	Ar		T-								T+					
	As												T-	S-	DC-	DC-
BIC	Ab	GSL-		T-	S+											
	Pt													P+	DC-	
	Ar									S-				P+	DC-	
	As									S-				P+	DC-	
SUT	Ab							S-							S+	
	As													DC-		
	Ba					P+					S+					

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591 **Table 4:** Regressions between the intensity of the damages caused by insects to host trees and  
 592 the diversity in the host tree neighbourhood. <sup>1</sup> Level of significance: \*\*\* < 0.001; ns = not  
 593 significant (> 0.05). Host species are coded with their initials: Ab (*A. balsamea*), Pg (*P. glauca*),  
 594 Pt (*P. tremuloides*).

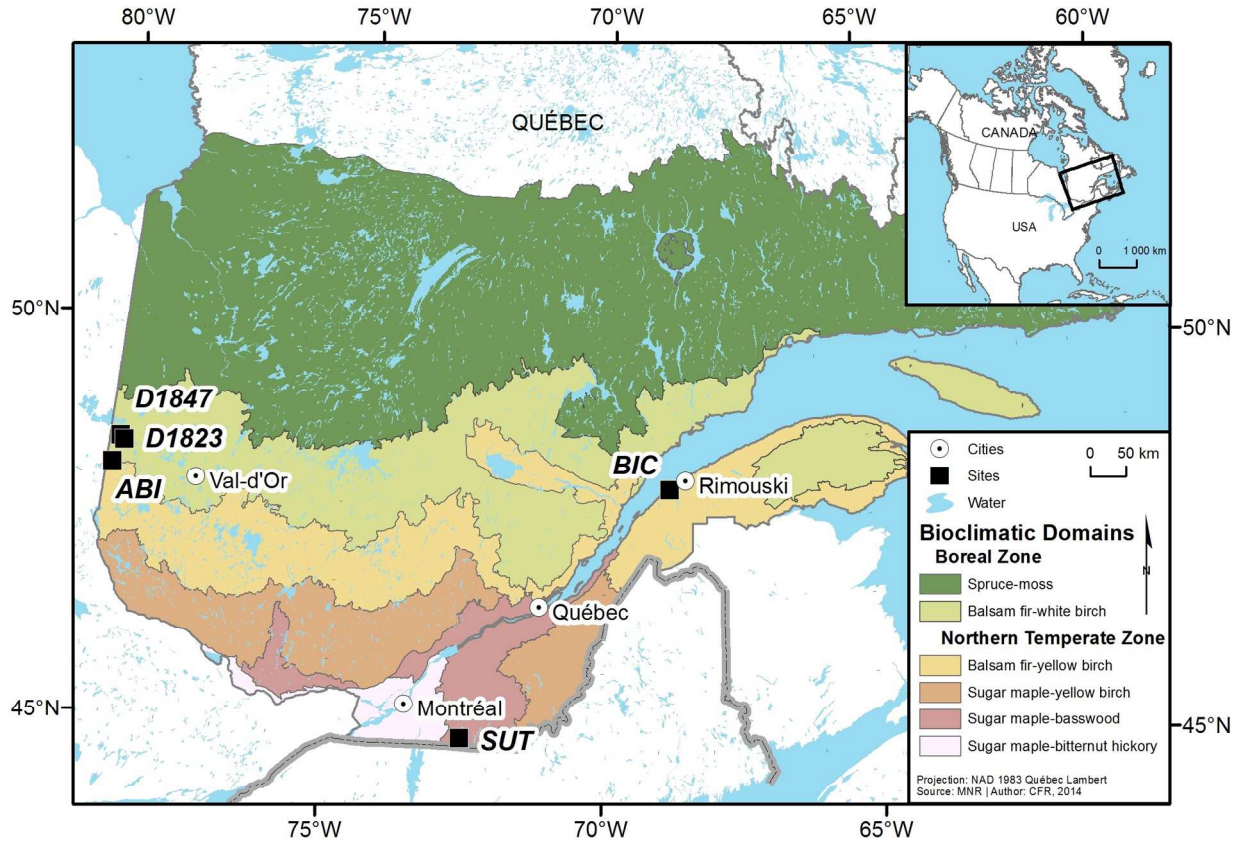
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	Ab <sup>1</sup>		Pg <sup>1</sup>		Pt <sup>1</sup>	
intercept	2.366	***	2.553	***	3.11	***
slope	- 0.093	ns	- 0.554	ns	0.286	ns
Adjusted R <sup>2</sup>	- 0.004		0.024		0	
p-value	0.763		0.07		0.307	

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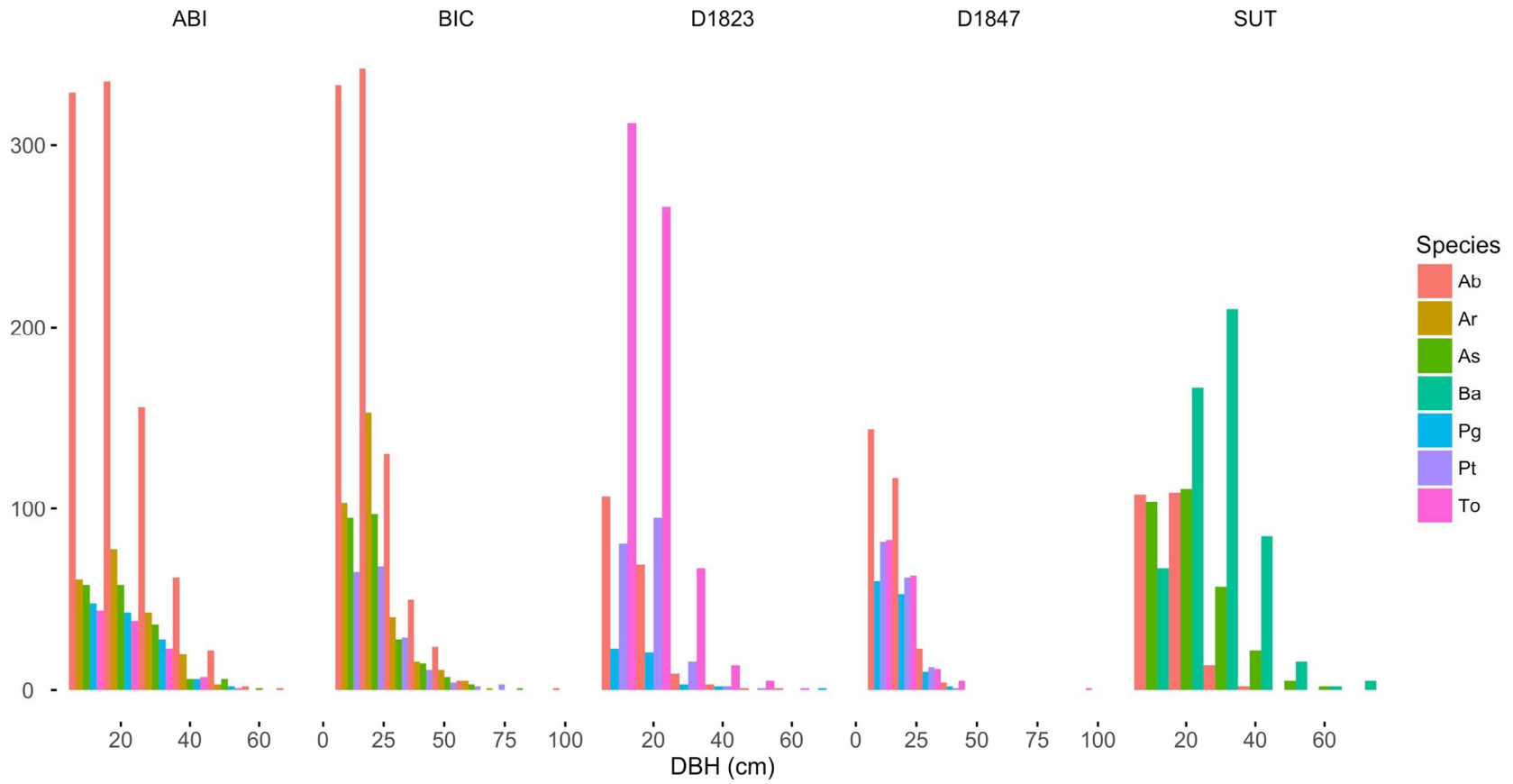


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600 **Fig. 1.** Study sites and bioclimatic domains of Québec.

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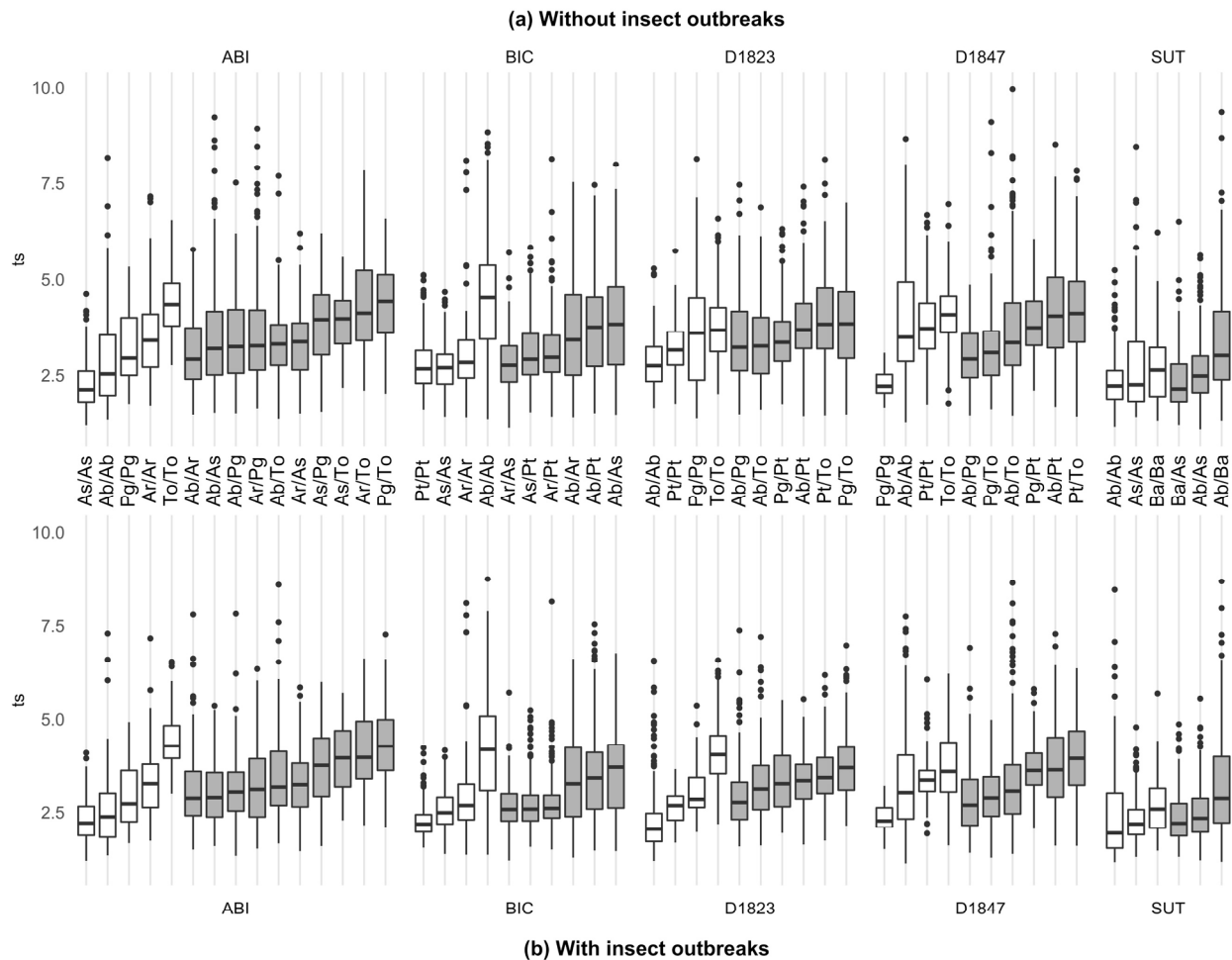


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605 **Fig. 2.** Species and site-specific distributions of tree diameters at study sites.

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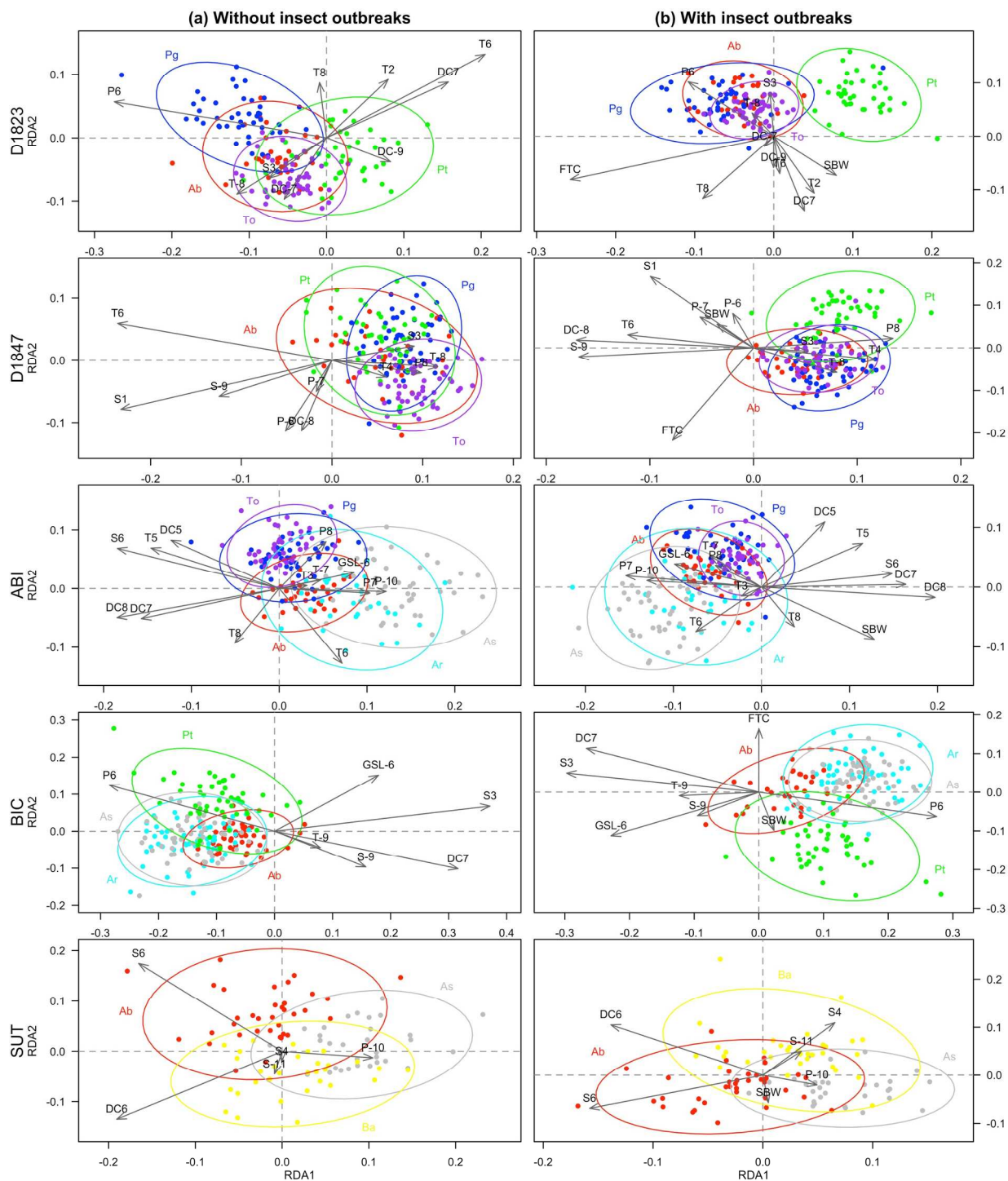
608 **Fig. 3.** Site and species-specific distributions of *TS* values measured on paired individuals609 occurring in the same neighbourhoods. White boxes refer to distributions of *TS* values measured610 on individuals belonging to the same species, while grey boxes refer to distributions of *TS* values

611 measured on individuals belonging to different species. Distributions were developed both after

612 removing insect outbreak periods from individual chronologies (a) and with insect outbreak

613 periods included (b). Labels indicate to which species the individuals belonged to for each

614 distribution. Species are coded with their initials: Ab (*A. balsamea*), Ar (*A. rubrum*), As (*A.*615 *saccharum*), Ba (*B. alleghaniensis*), Pg (*P. glauca*), Pt (*P. tremuloides*), To (*T. occidentalis*).



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618 **Fig. 4.** Site-specific redundancy analysis (RDA) performed with individual standardized

619 chronologies, climatic variables and binary variables indicating the presence of insects. Points

620 correspond to individual chronologies. Species-specific ellipses containing 95% of species  
621 individuals are shown and identified with species initials: Ab (*A. balsamea*), Ar (*A. rubrum*), As  
622 (*A. saccharum*), Ba (*B. alleghaniensis*), Pg (*P. glauca*), Pt (*P. tremuloides*), To (*T. occidentalis*).  
623 Climate variables and binary variables indicating the presence of insects are represented by black  
624 arrows: drought code (DC), temperature (T), precipitation (P), snowfall (S), growth season  
625 length (GSL), forest tent caterpillar (FTC), spruce budworm (SBW). The numbers following the  
626 variables initials indicate the number of the month associated with the variable. Negative values  
627 refer to a month of the previous year. RDAs were performed both after removing insect outbreak  
628 periods from individual chronologies (a) and with insect outbreak periods included (b).  
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632 **SUPPORTING INFORMATION**

633 Additional supporting information may be found in the online version of this article:

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635 **Table S1:** Summary of the four linear models describing  $TS$ ,  $\mu_{pair}$ ,  $\sigma^2_{pair}$ , and  $cov(i,j)$  as a  
636 function of mixture, controlling for species and sites effects over 1993-2013.

637 **Figure S1:** Site and species-specific distributions of the mean of the total growth of individuals  
638 measured on paired individuals occurring in the same neighbourhoods.

639 **Figure S2:** Site and species-specific distributions of the variance of the total growth of  
640 individuals measured on paired individuals occurring in the same neighbourhoods.

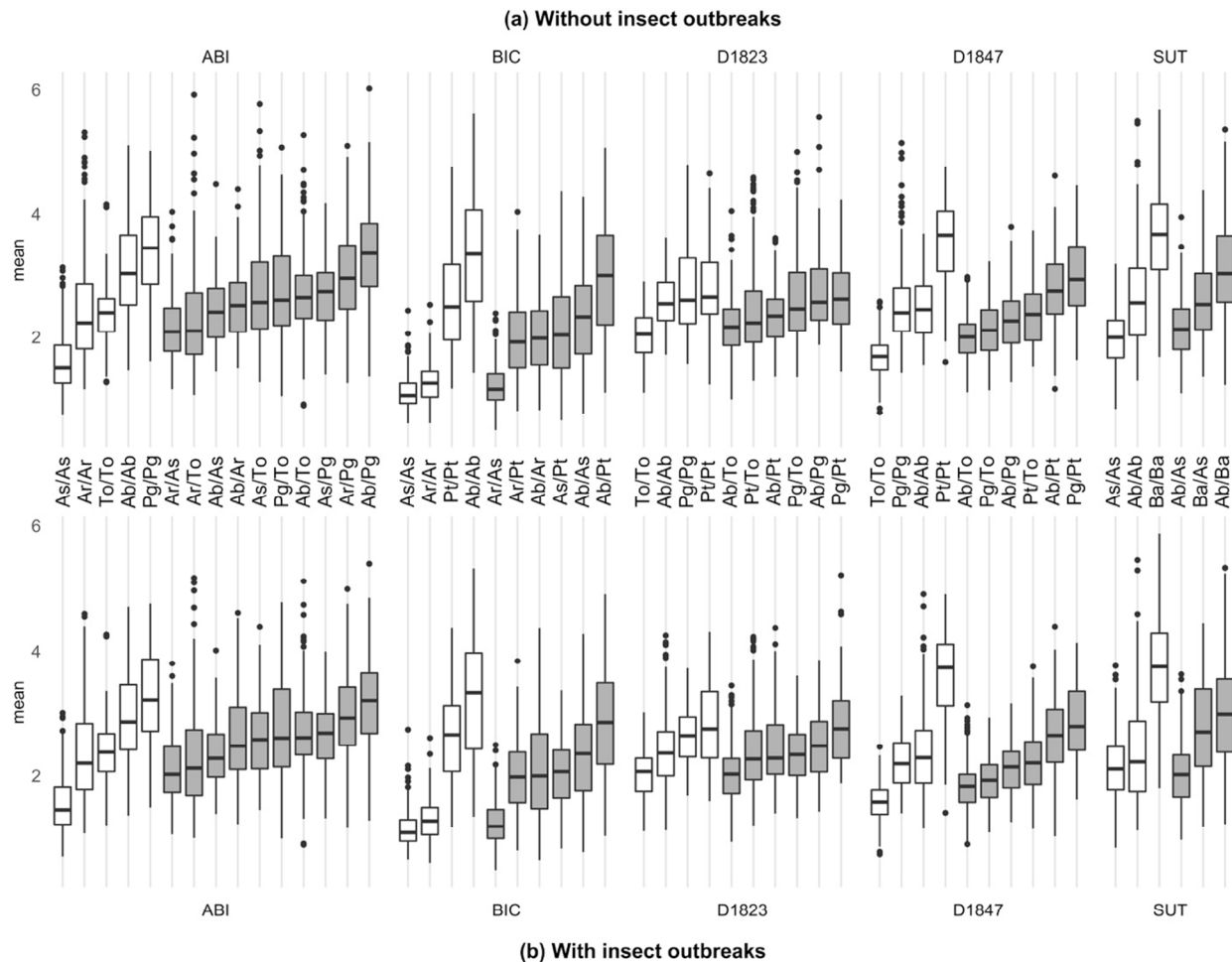
641 **Figure S3:** Site and species-specific distributions of covariance among individuals measured on  
642 paired individuals occurring in the same neighbourhoods.

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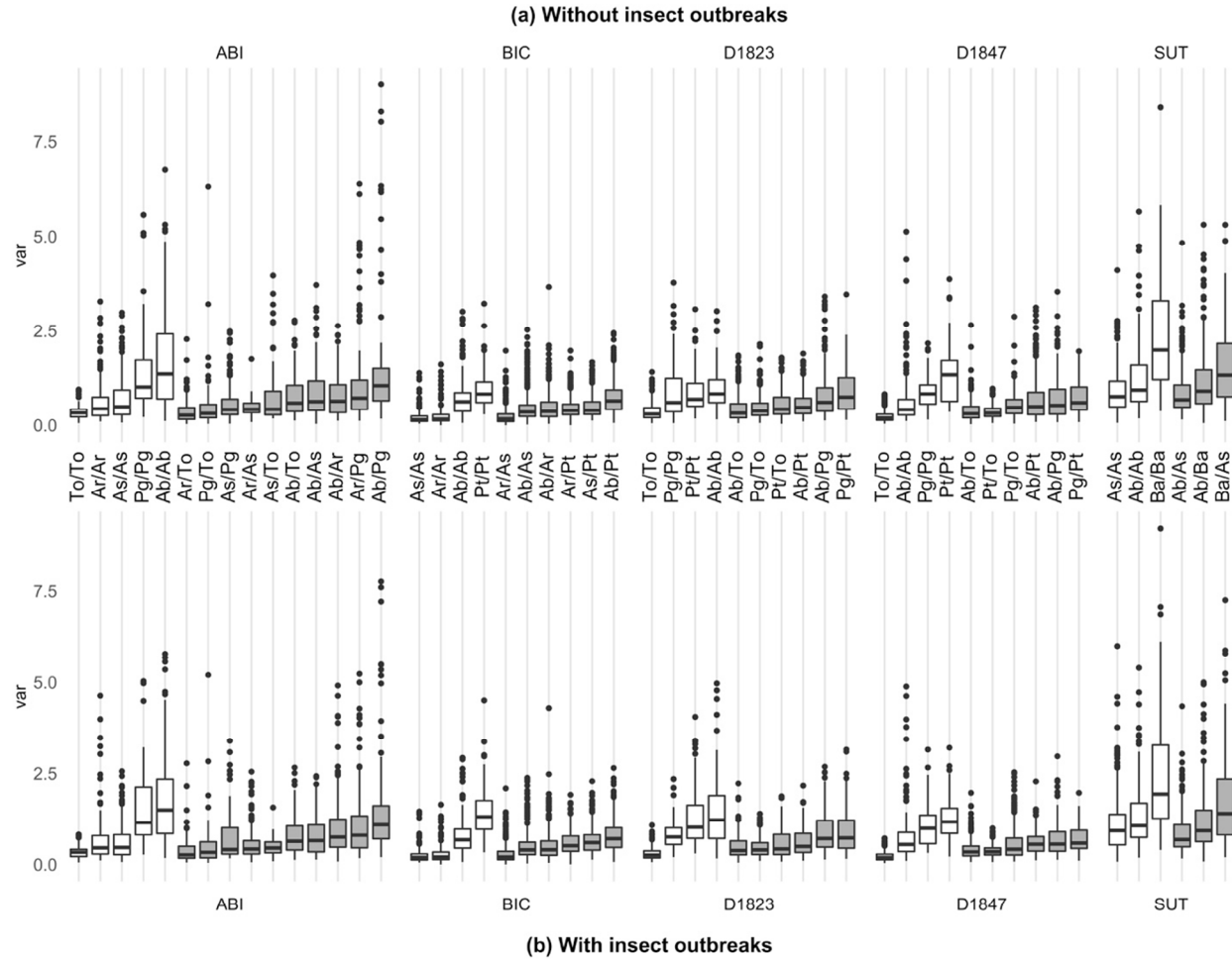
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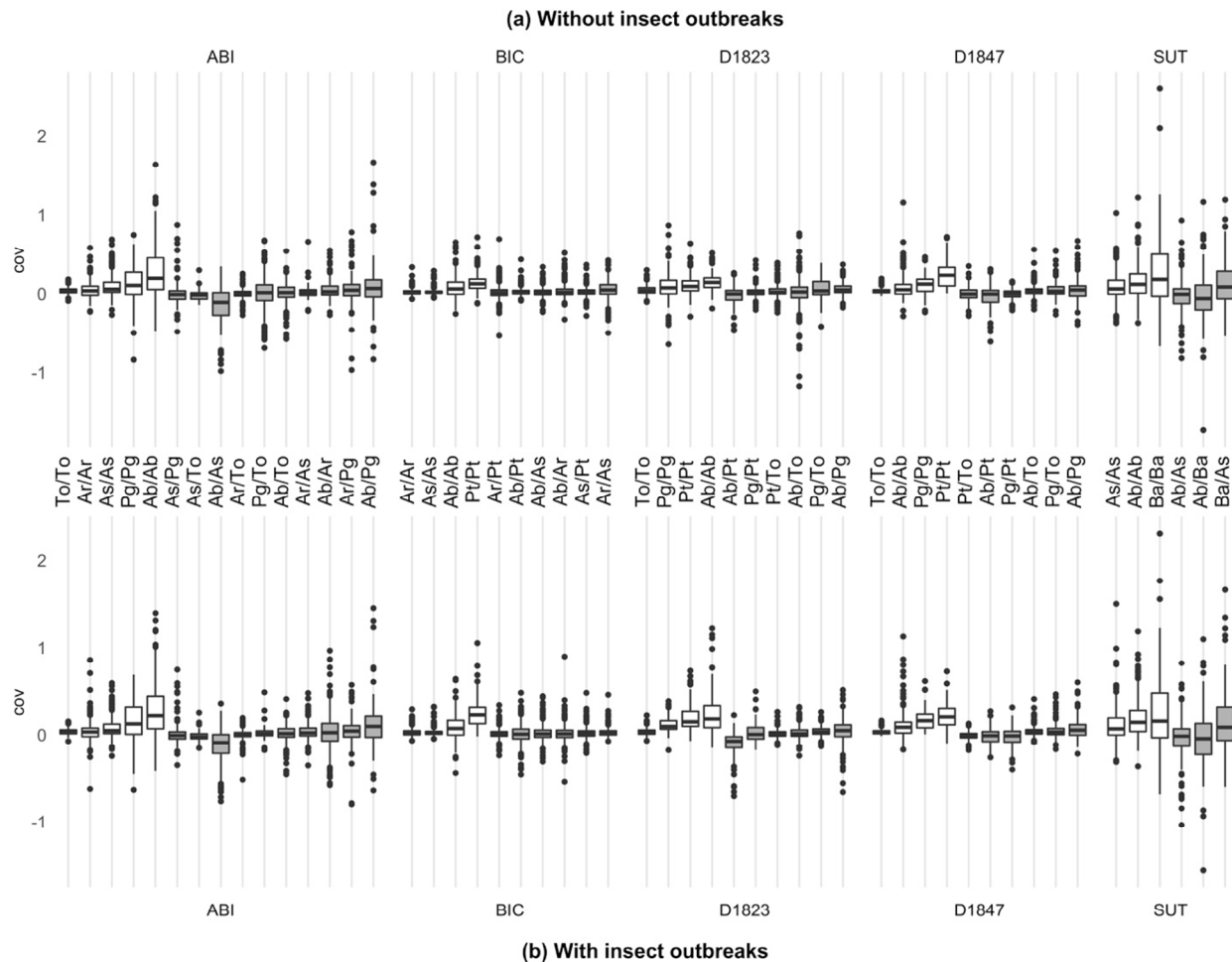


**Figure S1:** Site and species-specific distributions of the mean of the total growth of individuals measured on paired individuals occurring in the same neighbourhoods. White boxes refer to distributions of mean values measured on individuals belonging to the same species, while grey boxes refer to distributions of mean values measured on individuals belonging to different species. Distributions were developed both after removing insect outbreak periods from individual chronologies (a) and with insect outbreak periods included (b). Labels indicate to which species the individuals belonged to for each distribution. Species are coded with their initials: Ab (*A. balsamea*), Ar (*A. rubrum*), As (*A. saccharum*), Ba (*B. alleghaniensis*), Pg (*P. glauca*), Pt (*P. tremuloïdes*), To (*T. occidentalis*).





**Figure S2:** Site and species-specific distributions of the variance of the total growth of individuals measured on paired individuals occurring in the same neighbourhoods. White boxes refer to distributions of variance values measured on individuals belonging to the same species, while grey boxes refer to distributions of variance values measured on individuals belonging to different species. Distributions were developed both after removing insect outbreak periods from individual chronologies (a) and with insect outbreak periods included (b). Labels indicate to which species the individuals belonged to for each distribution. Species are coded with their initials: Ab (*A. balsamea*), Ar (*A. rubrum*), As (*A. saccharum*), Ba (*B. alleghaniensis*), Pg (*P. glauca*), Pt (*P. tremuloïdes*), To (*T. occidentalis*).



**Figure S3:** Site and species-specific distributions of covariance among individuals measured on paired individuals occurring in the same neighbourhoods. White boxes refer to distributions of covariance values measured on individuals belonging to the same species, while grey boxes refer to distributions of covariance values measured on individuals belonging to different species. Distributions were developed both after removing insect outbreak periods from individual chronologies (a) and with insect outbreak periods included (b). Labels indicate to which species the individuals belonged to for each distribution. Species are coded with their initials: Ab (*A. balsamea*), Ar (*A. rubrum*), As (*A. saccharum*), Ba (*B. alleghaniensis*), Pg (*P. glauca*), Pt (*P. tremuloïdes*), To (*T. occidentalis*).



ling for species and sites effects over 1993-  
 7/e ran the model both after removing insect  
 $p < 0.001$ ;  $** < 0.01$ ;  $* < 0.05$ ; ns = not  
 ghaniensis), Pg (*P. glauca*), Pt (*P. tremuloides*).

h insect eaks <sup>1</sup>	cov(i,j)	
	(a) Without insect outbreaks <sup>1</sup>	(b) With insect outbreaks <sup>1</sup>
***	0.09975 ***	0.13824 ***
***	-0.0561 ***	-0.0914 ***
***	0.00121 ns	-0.0051 ns
***	0.00053 ns	0.00886 **
***	-0.001 ns	0.00591 ns
**	0.02144 ***	0.01353 ***
***	0.02854 ***	0.03577 ***
***	0.00504 ns	0.01972 ***
***	-0.0168 ***	-0.0066 *
***	-0.0025 ns	0.01975 ***
***	0.0354 ***	0.04168 ***
***	0.04136 ***	0.07861 ***
983	0.07276	0.1334
!e-16	< 2.2e-16	< 2.2e-16