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1 **Estimation of yield and quality of legume and grass mixtures using partial least squares**  
2 **and support vector machine analysis of spectral data**

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## 22 **Abstract**

23 The project aim was to estimate N uptake ( $N_{up}$ ), dry matter yield (DMY) and crude protein  
24 concentration (CP) of forage crops both during typical harvest times and at a very early  
25 developmental stage. Canopy spectral reflectance of legume and grass mixtures was  
26 measured in Sweden using a commercialized radiometer (400 – 1000 nm range). In total, 377  
27 plant samples were tested in-situ in different grass and legume mixtures (6 grass species and  
28 2 clover species) across two years, two locations and five N rates. Two mathematical  
29 methods, namely partial least squares (PLS) and support vector machine (SVM) were used to  
30 build prediction models between  $N_{up}$ , DMY and CP, and canopy spectral reflectance. Of the  
31 total 377 samples, 251 were randomly selected and used for calibration, and the remaining  
32 126 samples were used as an independent dataset for validation. Results showed that the  
33 performance of SVM was better than PLS (based on mean absolute error (MAE) for both  
34 calibration and validation datasets) for the estimation of all investigated variables. Results for  
35 the validation set showed that the MAEs of PLS and SVM for  $N_{up}$  estimation were 17 and 9.2  
36 kg/ha, respectively. The MAEs of PLS and SVM for DMY estimation were 587 and 283  
37 kg/ha, respectively. The MAEs of PLS and SVM for CP estimation were 2.8 and 1.8 %,  
38 respectively. In addition, a subsample, which corresponded to an early developmental stage,  
39 was analysed separately with PLS and SVM as for the whole dataset. Results showed that  
40 SVM was better than PLS for the estimation of all investigated variables. The high  
41 performance of SVM to estimate legume and grass mixture N uptake and dry matter yield  
42 could provide support for varying management decisions including fertilization and timing of  
43 harvest.

44 **Keywords:** Dry matter yield; Forage crop; Grass; Hyperspectral reflectance; Nitrogen  
45 uptake; Nutritive value; Partial least squares; Red and white clover; Support vector machine.

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## 48 **1. Introduction**

49 Estimation of forage biomass and quality in the field is important for livestock farmers to  
50 make decisions such as adjusting the stocking rate, fertilization rate and timing, and harvest  
51 time. Laboratory wet chemical analysis has been used as the conventional method to  
52 determine nutritive value. However, these methods are laborious and expensive, and lead to  
53 time delays for decision making. Alternatively, near-infrared reflectance spectroscopy  
54 (NIRS) has been applied as a faster method to estimate nutritive value (Norris et al., 1976),  
55 particularly fibre, crude protein (CP), and metabolisable energy (ME). However, laboratory-  
56 based NIRS still involves laborious cutting and processing of plant samples. A fast and less  
57 laborious method is needed to estimate nutritive value, in order to provide rapid information  
58 for supporting decision making.

59 The canopy spectral reflectance (CSR) method has been developed to estimate crop biomass  
60 and physiochemical properties (e.g. N concentration), based on the principle that CSR in  
61 visible and near-infrared (NIR) bands is primarily affected by physiochemical properties (e.g.  
62 chlorophyll concentration) and cell structure of the canopy (Campbell, 1996). Numerous  
63 studies have concluded that CSR in the red band correlates well with chlorophyll and N  
64 concentration (Heath, 1969; Hatfield et al., 2008), and that CSR in the NIR range correlates  
65 well with plant biomass and leaf area (Allen and Richardson 1968).

66 Field spectroscopy has been successfully used to estimate nutritive value of forage crop  
67 (Starks et al., 2004; Biewer et al., 2009b; Pullanagari et al., 2012) and yield (Biewer et al.,  
68 2009a). Currently, an already commercialized spectrometer named the Yara N-sensor (Yara  
69 International ASA, Oslo, Norway), has been widely applied in Northern Europe to estimate N

70 status of winter wheat and assist N fertilization decisions. However, it has not been tested in  
71 forage crops, even though increasing interest has been raised in this area, and forages often  
72 dominate agricultural land use, such as in Sweden where the current study was undertaken.

73 In addition to the potential practical application, from a scientific perspective, there has been  
74 little research conducted to estimate forage crop yield and quality using field spectroscopy.  
75 The advantage of a field spectroscopy method is that it measures CSR in a broad range of  
76 bands, providing an abundant supply of information. Chemometric methods, which are used  
77 for the analysis of spectral data, include principal component analysis (PCA), partial least  
78 squares regression (PLS), and machine learning methods such as support vector machine  
79 (SVM) (Mutanga et al., 2005; Zhao et al., 2007; Karimi et al., 2008; Wang et al., 2013). For  
80 estimation of crop agronomic variables using spectral reflectance data, PLS has typically  
81 been used, for example to estimate winter wheat N concentration (Li et al., 2014a), and  
82 winter wheat leaf area index (Li et al., 2014b). PLS has also been shown to work well to  
83 estimate yield and quality in forage crops (Mutanga et al., 2005; Zhao et al., 2007; Biewer et  
84 al., 2009). In contrast to PLS, which is a linear mathematical method, SVM is a non-linear  
85 method and based upon statistical learning theory. The principle of SVM and its solution is  
86 described in Cristianini and Shawe-Taylor (2000). Non-linear multivariate models of SVM  
87 have been used for many applications, e.g. rice root density (Xu et al., 2017), weed and maize  
88 classification (Zheng et al., 2017), wheat plant density estimation (Jin et al., 2017), leaf area  
89 estimation (Durbha et al., 2007; Yang et al., 2008), crop N concentration (Karimi et al., 2008;  
90 Wang et al., 2013; Zhai et al., 2013). We are unaware of any studies conducted to predict  
91 yield or quality parameters of forage crops in the field using SVM. Therefore, the objective  
92 of this study was to test the performance of spectral reflectance data analyzed using PLS and  
93 SVM, for estimating forage yield and quality parameters.

## 94 **2. Materials and Methods**

95 *2.1. Site description and plant sampling*

96 Field experiments were carried out in 2015 and 2016 at two sites in Sweden: Röbbäcksdalen  
97 (63° 48' N, 20° 14' E) and Rådde (57° 36' N, 13° 15' E). Each site included different  
98 mixtures of grass and legume species (Table 1-2). The soil at Röbbäcksdalen is a silt loam (1%  
99 clay, 76% silt, 23% sand) with 4 % organic matter. The soil at Rådde is also a silt loam (1 %  
100 clay, 53 % silt 46 % sand) with 6 % organic matter. The plot size was 1.5×13.5 m at  
101 Röbbäcksdalen and 2.1×12.5 m at Rådde. The harvested area was 20.3 m<sup>2</sup> at Röbbäcksdalen  
102 and 18.8 m<sup>2</sup> (1.5 ×12.5 m) at Rådde, which was used for fresh yield determination. A  
103 representative sub-sample was selected from the harvested material for dry matter  
104 determination and chemical analysis. Sub-samples were oven-dried at 60°C for 48 hours until  
105 they reached a constant weight. Dried samples were ground to pass a 1-mm sieve. Nitrogen  
106 concentration of dry samples was determined using the Kjeldahl method.

107 Crops at each site were harvested three times each year. The harvest dates at Rådde were 4  
108 June, 10 July and 24 August in 2015; and 27 May, 5 July and 22 August in 2016. The harvest  
109 dates at Röbbäcksdalen in 2016 were 13 June, 18 July, and 2 September, however for the 1<sup>st</sup>  
110 harvest the YARA N-sensor data are not available due to equipment malfunction. In addition,  
111 in 2016 samples were also taken at an early developmental stage before the 1<sup>st</sup> harvest  
112 (corresponding to the time window of N fertilization), in order to investigate if crop N uptake  
113 can be estimated using field measured reflectance. The early cutting dates were 12 May and 3  
114 June at Rådde and Röbbäcksdalen, respectively.

115 ***Table 1 and 2***

116 *2.2. Canopy spectral reflectance measurement*

117 On the same day as harvesting, canopy spectral reflectance was measured using a Yara N-  
118 sensor (Yara International ASA, Oslo, Norway) spectrometer, which is a commercialized

119 instrument used for site-specific fertilization in Sweden and other European countries. It  
120 measures CSR at wavelengths of 400 – 1000 nm with resolution of 10 nm, a 25° field of view  
121 and a zenith view angle of 45° (Fig.1). The solar radiation was measured simultaneously for  
122 calculation of CSR reflectance by dividing the radiant exitance by the solar irradiance (the  
123 reader is referred to (Schaepman-Strub et al., 2006) for definitions). The sensor was held at a  
124 constant height of 1.0 m above the canopy. Measurements were taken around noon when the  
125 sun was not obscured by clouds. To take into consideration the effect of solar direction on  
126 CSR, measurements were taken at opposite ends of each plot, corresponding to facing the sun  
127 and opposite to the sun direction. The mean value of measurements in each plot was used for  
128 data analysis.

129 ***Fig. 1***

### 130 *2.3. Data analysis*

131 Spectral reflectance data and laboratory measurements of the variables of interests were  
132 analysed with two mathematical methods, namely partial least squares (PLS) and support  
133 vector machine (SVM) to build regression models between CSR and variables of interest.  
134 Two datasets were used to build the models. The first one consisted of 377 samples that were  
135 randomly split into calibration (251 samples) and validation (126 samples) subsets. This  
136 dataset included all available data across sites, years, species, harvest dates and N fertilization  
137 rates. The aim was to test if a robust prediction model could be built for estimations of  $N_{up}$   
138 (the nitrogen uptake, in kg/ha), DMY (the dry matter yield, in kg/ha) and CP (the crude  
139 protein concentration, in % of DM). In the second dataset, only the data acquired at an early  
140 developmental stage (i.e., sampled prior to the 1<sup>st</sup> harvest) were considered. This dataset  
141 consisted of 78 samples split into calibration (52 samples) and validation (26 samples)  
142 subsets. The aim of only using data from an early developmental stage was to test if the

143 variables of interest (especially N uptake) can be well estimated, as accurate estimation of N  
144 uptake could provide information on soil N mineralization at a very early stage and guide  
145 decision support on N fertilization.

### 146 *2.3.1 Partial least squares*

147 PLS is a prominent method which can effectively deal with multicollinearity in data and  
148 eliminate the less important or redundant variables. It is especially useful when the number of  
149 predictor variables is greater than the number of observations (Wold et al., 2001). PLS is used  
150 to linearly relate predictor variables (CSR in this study) and response variables ( $N_{up}$ , DMY  
151 and CP) by decomposing data matrices (predictors and response variables) simultaneously  
152 using a set of orthogonal latent variables (PLS-components). The orientation of latent  
153 variables is selected to maximize covariance between the predictors and response values  
154 represented by the components.

155 In this research we implemented PLS methods using the “mdatools” package in the R  
156 programming environment (R Core Team, 2016). Models were built using a calibration set  
157 and full cross-validation. The optimal number of components was identified by looking at the  
158 minimum root mean square error (RMSE) for the cross-validated predictions. External  
159 validation using an independent validation dataset was subsequently conducted to test the  
160 performance of the calibrated model.

### 161 *2.3.2. Support vector machine*

162 Support vector machine (SVM) is a supervised statistical learning algorithm developed by  
163 Vapnik (1982) that can be applied to both classification and regression tasks. It has gained  
164 increasing popularity for various purposes such as expression recognition (Lekdioui et al.,  
165 2017), water resources management (Deo et al., 2017) and medicine (Zheng et al., 2014). The  
166 general idea behind SVM is to map the vectors of covariates into a higher dimensional



167 feature space using a kernel trick where a linear regression can be performed. Details of the  
168 theory of SVM are described in Cristianini and Shawe-Taylor (2000). The linearity of the  
169 relationship between  $N_{up}$ , CP and DMY and the spectral reflectance remains uncertain. With  
170 this consideration, a SVM, capable of constructing both linear and nonlinear inversion, was  
171 employed in this study. We used the *svm* function from the “e1071” package of the R  
172 software (R Core Team, 2016) to estimate  $N_{up}$ , CP and DMY of the experimental plots based  
173 on their spectral reflectance. Based on a radial basis kernel, we performed a grid search to set  
174 the optimal values of the hyper-parameters  $\varepsilon$ ,  $C$  and  $\gamma$ , as they influence the accuracy and  
175 generalisation capabilities of the SVM (Cherkassky and Ma, 2004; Wang et al., 2003).  $\varepsilon$  is  
176 the insensitive-loss function that penalizes the prediction errors that fall within the  $\pm\varepsilon$  range.  
177  $C$  is the cost parameter that defines the penalty weight of deviations higher than  $\pm\varepsilon$ . Finally,  $\gamma$   
178 is a radial basis kernel-specific parameter that controls the tradeoff between error due to bias  
179 and the variance in the adjusted model.

180 In order to test the performance of different models, mean absolute error (MAE), root mean  
181 square error (RMSE) (Loague and Green, 1991), and  $R^2$  were compared for the calibration  
182 and validation subsets.

$$183 \quad MAE = \frac{\sum_{i=1}^n |P_i - O_i|}{n} \quad (1)$$

$$184 \quad RMSE = \sqrt{\frac{1}{n} \times \sum_{i=1}^n (P_i - O_i)^2} \quad (2)$$

185 where  $P_i$  and  $O_i$  are predicted and observed values, respectively, and  $n$  is the number of  
186 samples.

### 187 **3. Results**

#### 188 *3.1. Statistics of nitrogen uptake, dry matter yield and crude protein concentration*

189 At Rådde, the average  $N_{up}$ , DMY and CP were 84 kg/ha, 3131 kg/ha, and 17 %, respectively,  
190 and at Röbbäcksdalen, 30 kg/ha, 1785 kg/ha, and 12 %, respectively (Fig.2). There was large  
191 variation within all response variables at both sites, providing a wide range of data for the  
192 calibration of the models.

193 **Fig. 2**

### 194 3.2. Spectral measurements

195 Examples of spectral reflectance signatures were plotted to show the typical effects of N rate  
196 and mixture type (Fig.3, Fig.4). In the visible range (400 – 700 nm), the reflectance was  
197 lower in the blue and red parts of the spectrum, and higher in the green part of the spectrum,  
198 due to the leaf-contained chlorophyll. The spectral signature showed a sharp increase  
199 between 680 and 740 nm (known as the red-edge domain) to reach a plateau in the measured  
200 near infrared (NIR) domain, from 740 to 1000 nm. A concave shape was systematically  
201 observed between 950 and 1000 nm, due to the leaf-contained water (Peñuelas et al., 1993).  
202 “Pure grass” showed a higher reflectance in the visible range compared to “grass and clover  
203 mixture”, whereas in the NIR the reflectance was higher for the “grass and clover mixture”  
204 (Fig. 3). The N rate also had an influence on the spectral signature, as treatments fertilized  
205 with high N levels (300 kg N/ha) showed higher reflectance in the NIR domain and lower  
206 reflectance in the visible domain, when compared to the zero N treatment (Fig. 4). All spectra  
207 showed an increase of reflectance during the season, which is particularly noticeable in the  
208 NIR domain. This increase is more pronounced for the “grass and clover mixture” than for  
209 the “pure grass” (Fig. 3). The dynamics are similar for the two contrasted nitrogen levels  
210 (Fig. 4).

211 **Fig. 3**

212 **Fig. 4**

213 *3.3. Calibration of PLS and SVM models*

214  $R^2$  values obtained for PLS models were in the range of 0.65-0.77 for the whole dataset and  
215 0.79-0.88 for the early cutting dataset (Table 3).  $R^2$  values obtained for SVM were in the  
216 range of 0.90-0.96 for the whole dataset and 0.95-0.98 for the early cutting dataset.

217 For the whole dataset, MAE for PLS were 15.4 kg/ha, 512 kg/ha and 2.4 %-units for N  
218 uptake, dry matter yield and crude protein concentration, respectively (Table 3). These MAEs  
219 were higher than the corresponding statistics for SVM. For the early cutting dataset, the  
220 MAEs were lower than for the whole dataset, and were again lower for SVM than for PLS.  
221 The RMSE results followed the same trend as MAE.

222 **Table 3**

223 *3.4. Validation of PLS and SVM model*

224 Both PLS and SVM were validated using randomly selected sub-samples from either the  
225 whole dataset or early cutting dataset (Table 4). For the whole dataset,  $R^2$  values for PLS  
226 were 0.68 – 0.69 and for SVM 0.84 – 0.92. For the early cutting dataset,  $R^2$  values for PLS  
227 were 0.80 – 0.92 and for SVM 0.83 – 0.94. Typically,  $R^2$  values for validation were lower  
228 than those for calibration, with some exceptions.

229 For the whole dataset, MAE of SVM were 9.2 kg/ha, 283 kg/ha and 1.8 %-units for N uptake,  
230 dry matter yield and crude protein concentration, respectively (Table 4). For the early cutting  
231 dataset, MAE of SVM were 7.5 kg/ha, 123 kg/ha and 2.0 %-units for N uptake, dry matter  
232 yield and crude protein concentration, respectively. Both MAE and RMSE of validation were  
233 smaller for SVM than for PLS, irrespective of the dataset or variables.

234 The measured and predicted variables of the validation subsets were plotted using different  
235 crop species and experimental sites as markers (Fig. 5 and Fig. 6). Across the whole dataset  
236 and early cutting dataset they are distributed evenly around the 1:1 lines. No distinct groups

237 of species or site factors were detected, implying that forage species, cultivars and sites did  
238 not impose substantial influence on the prediction using PLS and SVM models. A stronger  
239 relationship between measured and predicted values was found in the early cutting dataset  
240 than the whole dataset.

241 **Table 4**

242 **Fig. 5 and Fig. 6**

## 243 **4. Discussion**

### 244 *4.1. Reflectance measurements*

245 The observed effects of N fertilization on reflectance are consistent with previous studies  
246 (e.g., Hinzman et al., 1986; McMurtrey et al., 1994; Yoder and Pettigrew-Crosby, 1995;  
247 Daughtry, 2000). These results are mainly related to (i) an increase in chlorophyll content that  
248 leads to a reduced reflectance in the visible range (especially in the green and red domains of  
249 the spectrum) and (ii) an increase of the leaf biomass that leads to an increased reflectance in  
250 the NIR range (Fig. 4).

251 A similar pattern is observed when clover is added in the crop mixture (Fig. 3). Clover is a  
252 leguminous plant, and as such, it is less sensitive to low N fertilization, due to its ability to fix  
253 atmospheric N. This can explain the differences in reflectance measured for pure grass vs  
254 clover-grass mixtures, although the differences in leaf chemistry and architecture between  
255 species might also impose influence on their spectral reflectance signatures.

256 An increase of the measured reflectance is observed for all samples (exemplified in Fig. 3 and  
257 Fig. 4). Such an increase can be explained by the concomitant increase of temperatures  
258 throughout the summer. The greater increase for the “grass and clover mixture” might  
259 suggest that there is either (i) a lower nitrogen limitation for the growth when compared to

260 “pure grass” or (ii) that the canopy structure of the “grass and clover mixture” would induce a  
261 stronger light reflection when compared to “pure grass”.

#### 262 4.2. PLS and SVM

263 The aim of this study was to predict  $N_{up}$ , DMY and CP using reflectance in the range of 400 –  
264 1000 nm measured using a commercialized tool. In this study, SVM performed better than  
265 PLS (in terms of MAE, RMSE and  $R^2$ ) for estimation of all response variables. Advantages  
266 of SVM lie in its robustness and insensitivity to the number of dimensions (Brown et al.,  
267 2000; Wu et al., 2008; Yao et al., 2015). SVM is also better than other methods at coping  
268 with potential confounding factors for different varieties, sites and developmental stages (Yao  
269 et al., 2015). Our results indicate that a non-linear relationship might exist between leaf N and  
270 canopy spectral reflectance (which can be seen in the top-right sub-plot in Fig. 5). This can  
271 also be a reason that non-linear SVM was superior to linear PLS for estimating quality  
272 variables in this study. Our results corroborate those of Yao et al. (2015) and Du et al. (2016),  
273 who showed that SVM performed better than PLS to estimate wheat and rice N  
274 concentrations.

#### 275 4.3. Application of oblique optics sensors and its limitations

276 Many studies using spectral reflectance for N estimation have been conducted with handheld  
277 sensors that measured CSR in the nadir direction. One novelty of this study is that the optical  
278 lens had an oblique view of 45 degrees (Fig. 1). The design of the instrument imitates the  
279 commercialised tractor-mounted version (Fig. 1), which is already widely used in northern  
280 Europe. Therefore, the results from this study are highly transferable to the tractor-mounted  
281 spectral sensor (e.g. by encoding SVM methodology to a portable and cheap computer that is  
282 connected to the spectral sensor). The advantage of the oblique sensor is that it can be more  
283 easily fitted to a tractor (Fig.1) than a nadir-directed sensor. However, oblique sensors can

284 potentially cause the problem of measurement variation depending on tractor driving  
285 direction with respect to the sun position. For example, the spectral reflectance from the  
286 oblique sensor facing to the sun-lit side of the plant will be different from the one facing the  
287 shaded side of the plant. This problem was addressed in our study by measuring spectral  
288 reflectance from each end of the experimental plots. For the application of this method on the  
289 tractor-mounted sensor, the variation of reflectance caused by tractor driving direction and  
290 sun position can be addressed by configuring the device in a way that fibre optics are oriented  
291 in four directions (two fibre optics on each side of the tractor). Such a configuration can take  
292 measurement in four directions, encompassing both the sun-lit and shaded sides of the plant.  
293 As the interaction between canopy geometry, solar elevation angle, solar azimuth angle and  
294 viewing angle influence observed reflectance (Jackson et al., 1979; Ranson et al., 1985),  
295 further studies are needed to clarify the response of reflectance to different combinations of  
296 solar position and sensor viewing angle for legume and grass mixture fields. A field bi-  
297 directional reflectance distribution function method could be used to build such a  
298 relationship. Despite the problems related to the oblique sensors mentioned above, it has been  
299 demonstrated that oblique sensors allow a more accurate estimation of field traits compared  
300 to nadir sensors. The potential of an oblique sensor for N status estimation has been  
301 investigated by Mistele et al. (2004) and Mistele and Schmidhalter (2008), who measured  
302 canopy reflectance from four oblique quadrilateral-views. This makes the measured  
303 reflectance almost independent of the solar zenith angle since both the sun-lit and shaded  
304 sides of plants can be captured by the sensor. Another disadvantage related to nadir  
305 measurement is that it only measures the reflectance of the plant upper layer. Oblique sensors  
306 can capture more information of plant structure by measuring reflectance deeper in the  
307 canopy, thus improving the estimation accuracy of plant characteristics (Diner et al., 1999).  
308 The advantages of oblique sensors are demonstrated in several studies. For example, Gianelle

309 and Guastella (2007) showed that oblique measurement is more appropriate than nadir for  
310 determining grass dry matter yield. Aparicio et al. (2004) found that the wheat dry matter  
311 yield can be more precisely estimated by oblique measurement when LAI is high. Perbandt et  
312 al. (2011) demonstrated that oblique measurement is better than nadir for DMY and  
313 metabolisable energy of maize, while CP is better estimated by nadir measurement.

314 The oblique sensor in this study showed good estimation of N uptake during the early  
315 developmental stage, which is the most important period for N application. Mounting oblique  
316 sensors on a tractor offers the possibility to scan the crop beside the tractor and apply N  
317 fertilizer accordingly.

#### 318 *4.4. Generalization of the models*

319 Heterogeneous sward structures, varying number of species and varieties, different locations,  
320 and different atmospheric conditions may impose confounding effects on the relationship  
321 between spectral reflectance and nutritive value. For example, Biewer et al. (2009b) built  
322 separate prediction models for grass and legumes, resulting in enhanced prediction accuracy  
323 for CP. The intention of our study was to estimate forage yield and quality by spectral  
324 methods across sites, mixture types and developmental stages rather than have to develop  
325 specific models for different sites, developmental stage and species mixtures. Neither the  
326 performances of PLS or SVM models were strongly influenced by those factors (Fig. 5).  
327 Thus, the model developed from the whole dataset in this study might be more generally  
328 useful compared with those based on a given mixture type or developmental stage, with the  
329 caveat that with more data collection such models can be improved and refined. One of the  
330 constraints of this study is that spectral method was only tested on CP, while other forage  
331 nutrition variables were not measured, nonetheless, there is a high correlation in forage crops  
332 between CP, fibre content, ash, lignin, and metabolisable energy (Pullanagari et al., 2012),

333 indicating potential for extending the method from this study for estimation of other nutrition  
334 variables.

## 335 **5. Conclusion**

336 The SVM method was better than PLS for forage yield and quality estimation. The  
337 performance of SVM models for estimating forage yield and quality was consistent among  
338 the calibration and validation datasets. These results imply that SVM is a promising tool to  
339 analyze on-field acquired spectral data for the estimation of forage crop quality and yield,  
340 especially when considering the tractor-mounted Yara-N sensor. Mounting oblique sensors in  
341 the very early crop developmental stage on a tractor offers the possibility to scan the crop and  
342 apply N fertilizer accordingly. The results presented in this study need to be confirmed with  
343 further measurements that would provide a more comprehensive database of sun and sensor  
344 interactions, including measurements during cloudy days, various row directions and solar  
345 positions. Nevertheless, as the models showed a good robustness and accuracy for various  
346 site and mixtures combinations, it is reasonable to assume that the models developed in this  
347 study could provide farmers with real time information on-the-go during the harvest period,  
348 informing the farmer about forage yield and quality.

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485 **Table 1**  
 486 Species, cultivars (cv), and seed rates used in the R b cksdalen field experiment.

Mixture treatment	Seed rate of different species and varieties (kg ha <sup>-1</sup> )						
	Timothy cv. Grindstad	Meadow fescue cv. Revansch	Tall fescue cv. Swaj	Festulolium cv. Hykor	Perennial ryegrass mixture of cvs.	Red clover cv. Torun	White clover cv. Undrom
TiMF	12.0	10.0					
TiTall	12.0		10.0				
TiHyk	12.0			10.0			
TiPerHyk	10.4			9.1	2.5		
TiTall-clover	9.7		7.9			3.3	1.1

487 **Table 2**  
 488 Species, cultivars (cv), and seed rates used in the R dde field experiment.  
 489

Mixture treatment	Seed rate of different species and varieties (kg ha <sup>-1</sup> )							
	Timothy cv. Switch	Perennial ryegrass cv. Foxtrot	Perennial ryegrass cv. Kentaur	Festulolium cv. Hykor	Red clover cv. Vicky	White clover cv. Klondike	Festulolium cv. Felopa	Meadow fescue cv. Minto
TiPerHyk	10.4	1.25	1.25	9.1				
TiPerHyk-clover	8.4	1.0	1.0	7.3	3.3	1.1		
TiPerHyk-Fel	10.4	1.25	1.25	4.6			4.5	
TiMF	12.1							9.9

490 **Table 3**  
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493 Calibration statistics of the prediction of N uptake, crude protein concentration and dry matter yield by partial  
 494 least squares regression (PLS) and support vector machine (SVM).

Dataset	Variables	n	Mean	PLS model			SVM model		
				MAE	RMSE	R <sup>2</sup>	MAE	RMSE	R <sup>2</sup>
All harvests	N uptake (kg/ha)	251	65	15.4	19	0.75	6.8	11	0.92
	Dry matter yield (kg/ha)	251	2696	512	667	0.77	200	284	0.96
	Crude protein (% of DM)	251	15	2.4	3.1	0.65	1.2	1.7	0.90
Early cutting	N uptake (kg/ha)	52	30	8.7	10	0.86	3.1	4	0.98
	Dry matter yield (kg/ha)	52	822	176	209	0.88	114	141	0.95
	Crude protein (% of DM)	52	19	2.1	2.8	0.79	1.2	1.3	0.95

495 n indicates the number of measurements, Mean is the average of measurements. MAE is mean absolute error.  
 496 RMSE is root mean square error.

497  
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 499 **Table 4**

500 Validation statistics of the prediction of N uptake, crude protein concentration and dry matter yield by partial  
 501 least squares regression (PLS) and support vector machine (SVM).

Dataset	Variables	n	Mean	PLS model			SVM model		
				MAE	RMSE	R <sup>2</sup>	MAE	RMSE	R <sup>2</sup>
All harvests	N uptake (kg/ha)	126	67	17	21	0.69	9.2	12.6	0.89
	Dry matter yield (kg/ha)	126	2660	587	732	0.68	283	374	0.92
	Crude protein (% of DM)	126	16	2.8	3.5	0.69	1.8	2.5	0.84
Early cutting	N uptake (kg/ha)	26	39	7.9	9.7	0.90	7.5	9.9	0.89
	Dry matter yield (kg/ha)	26	972	153	182	0.92	123	156	0.94
	Crude protein (% of DM)	26	22	2.5	3.2	0.80	2.0	2.7	0.83

502 n indicates the number of measurements, Mean is the average of measurements. MAE is mean absolute error.  
 503 RMSE is root mean square error

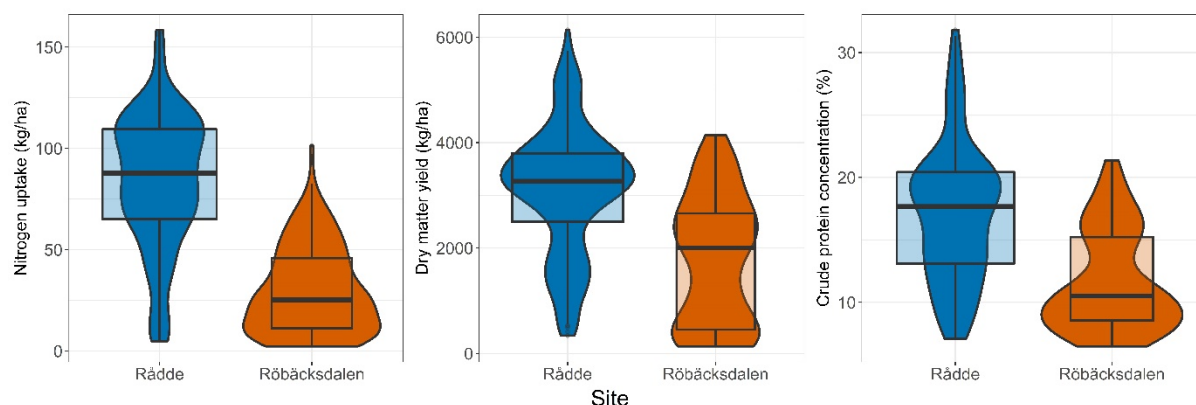
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 506 **Fig. 1.** The photo on the left shows the hand-held sensor used in this project. The photo on the right shows the  
 507 tractor-mounted Yara-N sensor and is from the Yara company homepage. The white instrument on the roof of the  
 508 tractor is the N sensor, which has an oblique view angle, enabling the estimation of N status on the crop on both  
 509 sides of the tractor. The yellow triangle in both photos is a depiction of the field of view of the reflectance  
 510 measurement.

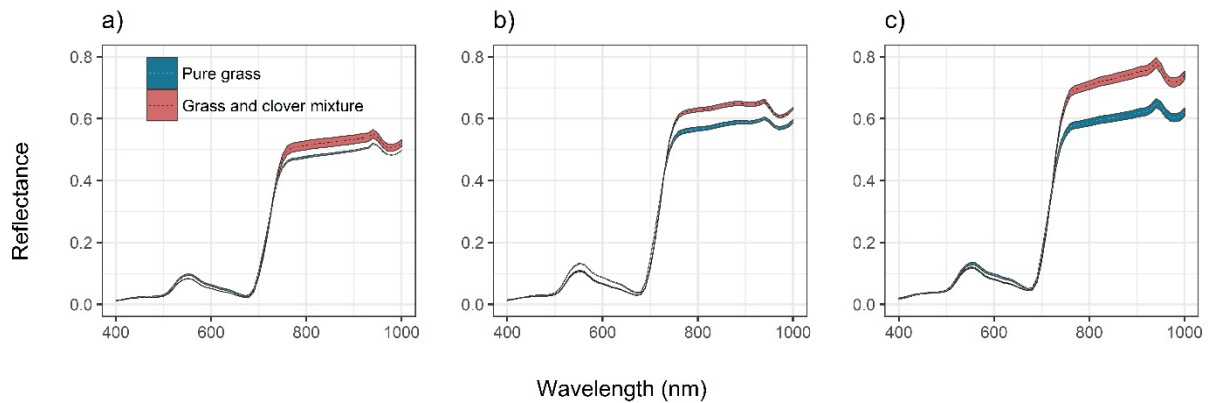
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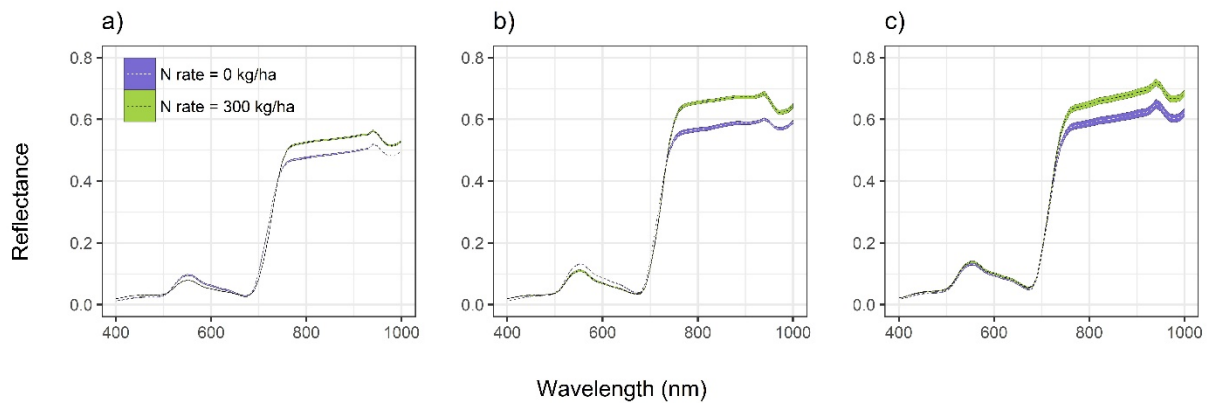
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 514 **Fig. 2.** Variation of agronomy variables of the whole dataset (377 samples) at two sites in 2015 and in 2016 for  
 515 nitrogen uptake, dry matter yield and crude protein concentration. The horizontal lines indicate the minimum,

516 first quartile, median, third quartile, and maximum of the dataset. Sample distribution is indicated by the width  
517 of the colored area.  
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520 **Fig. 3.** Comparison of the spectral signatures of pure grass (TiTall) and grass and clover mixture (TiTall-clover)  
521 for three dates: a) 03/06/2016, b) 18/07/2016, c) 02/09/2016 at R b cksdalen. Only the zero N treatment was  
522 used for plotting the spectral signature.

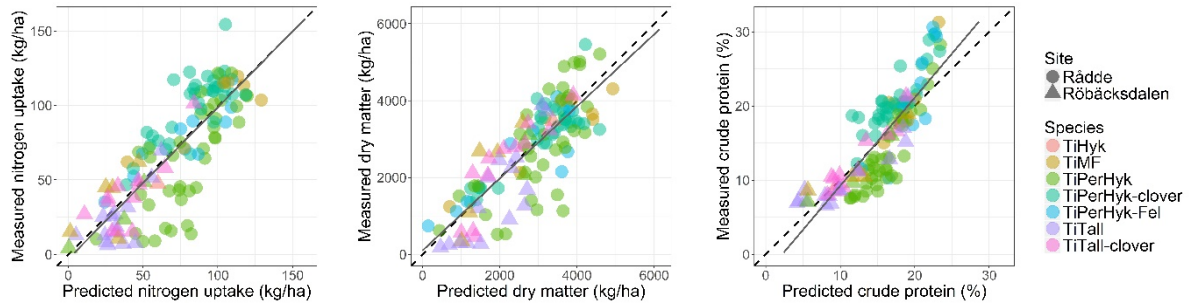


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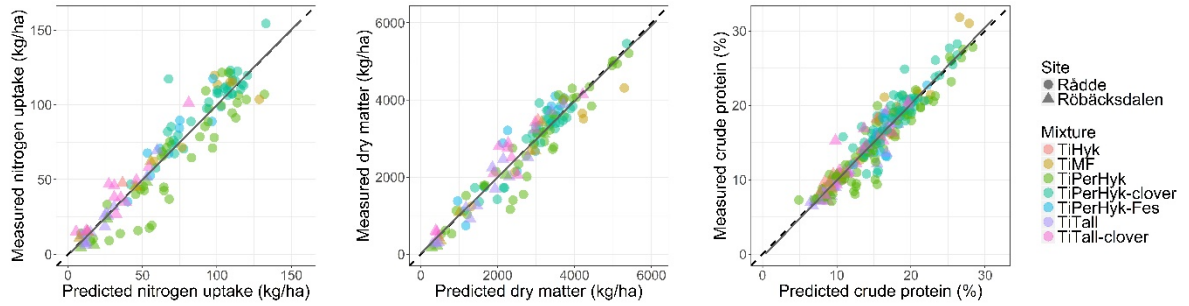
524 **Fig. 4.** Comparison of the spectral signatures of two contrasting nitrogen treatments for three dates: a)  
525 03/06/2016, b) 18/07/2016, c) 02/09/2016 at R b cksdalen. Only the pure grass (TiTall) treatment was used for  
526 plotting the spectral signature.

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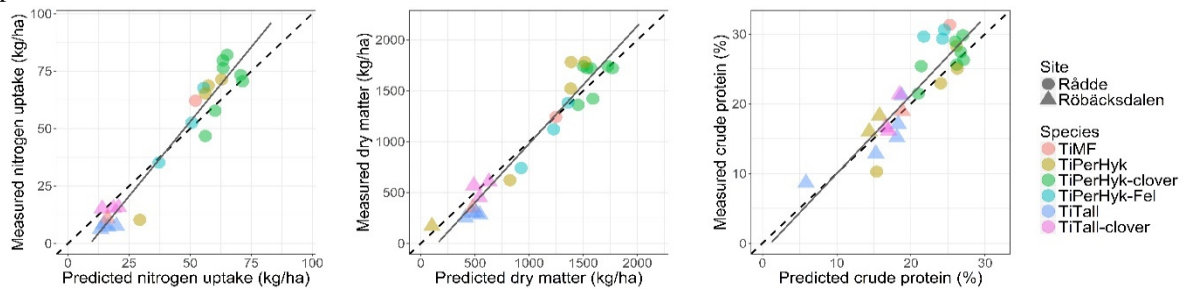
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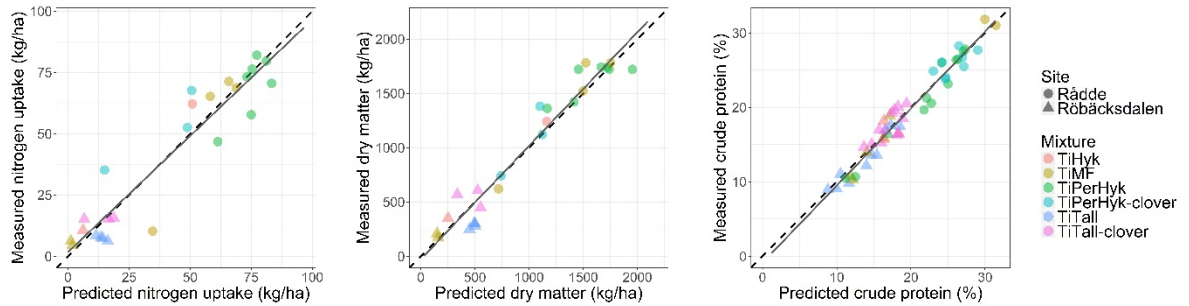
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**Fig. 5.** Validation of partial least squares (upper graphs) and support vector machine (lower graphs) models for nitrogen uptake, dry matter yield and crude protein concentration using data from all harvests. Abbreviations for species mixtures are described in Tables 1 and 2.

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**Fig. 6.** Validation of partial least squares (upper graphs) and support vector machine (lower graphs) models for nitrogen uptake, dry matter yield and crude protein concentration using data from the early cutting only. Abbreviations for species mixtures are described in Tables 1 and 2.

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